

# **Surgery for Lung Cancer and Malignant Pleural Mesothelioma**

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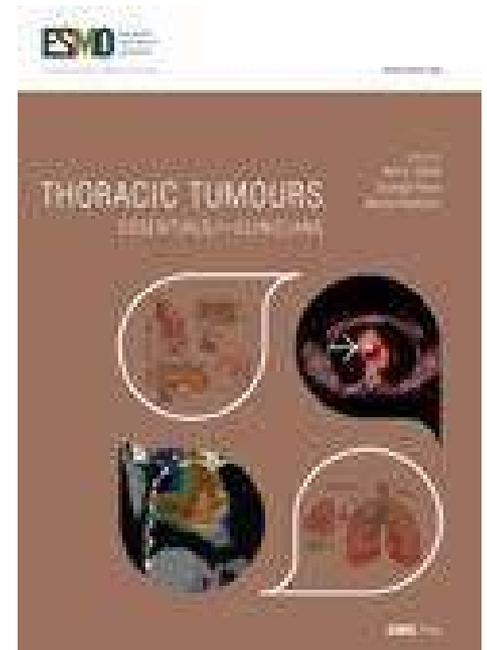
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West German Cancer Center  
Department of Thoracic Surgery and Thoracic  
Endoscopy  
(Director: Prof. Dr. Clemens Aigner)**

# Disclosure

- I have **no**, real or perceived, direct or indirect conflicts of interest that relate to this presentation.

# Summary provided in:

ESMO Thoracic Tumors: Essentials for Clinicians  
Chapter 5  
Hoda & Klepetko  
available at Oncology PRO or by.....



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# Surgery for lung cancer



# AGENDA

Overview

Surgery for early stage NSCLC

Surgery for locally advanced disease

Surgery for oligometastatic disease

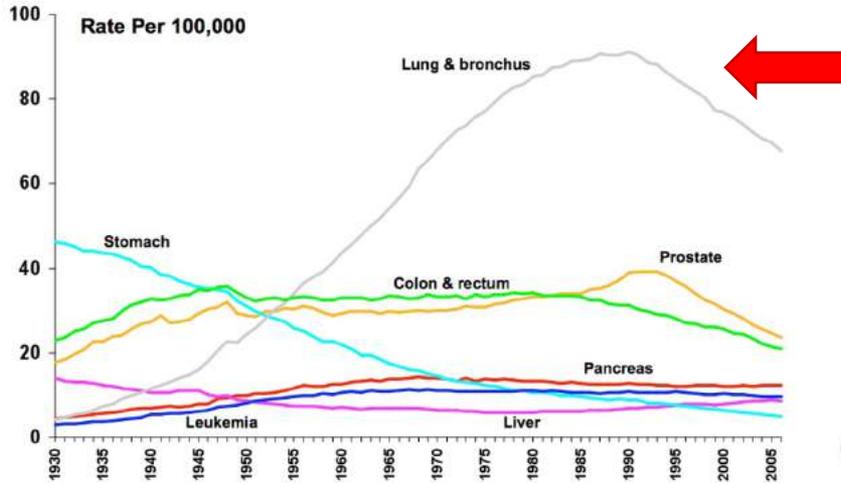
Palliative treatment options

Role of surgery in SCLC

Summary

# Male

Cancer Death Rates\* Among Men, US, 1930-2006

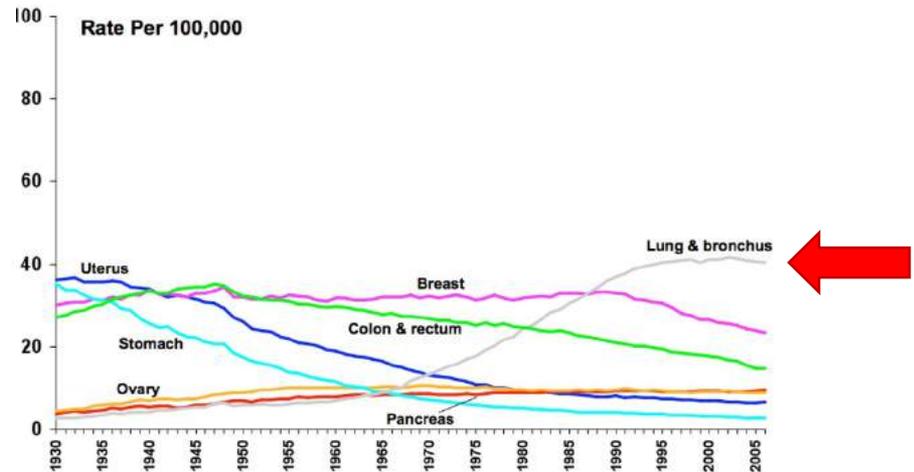


\*Age-adjusted to the 2000 US standard population.  
Source: US Mortality Data 1960-2006, US Mortality Volumes 1930-1959, National Center for Health Statistics, Centers for Disease Control and Prevention, 2009.



# Female

Cancer Death Rates\* Among Women, US, 1930-2006



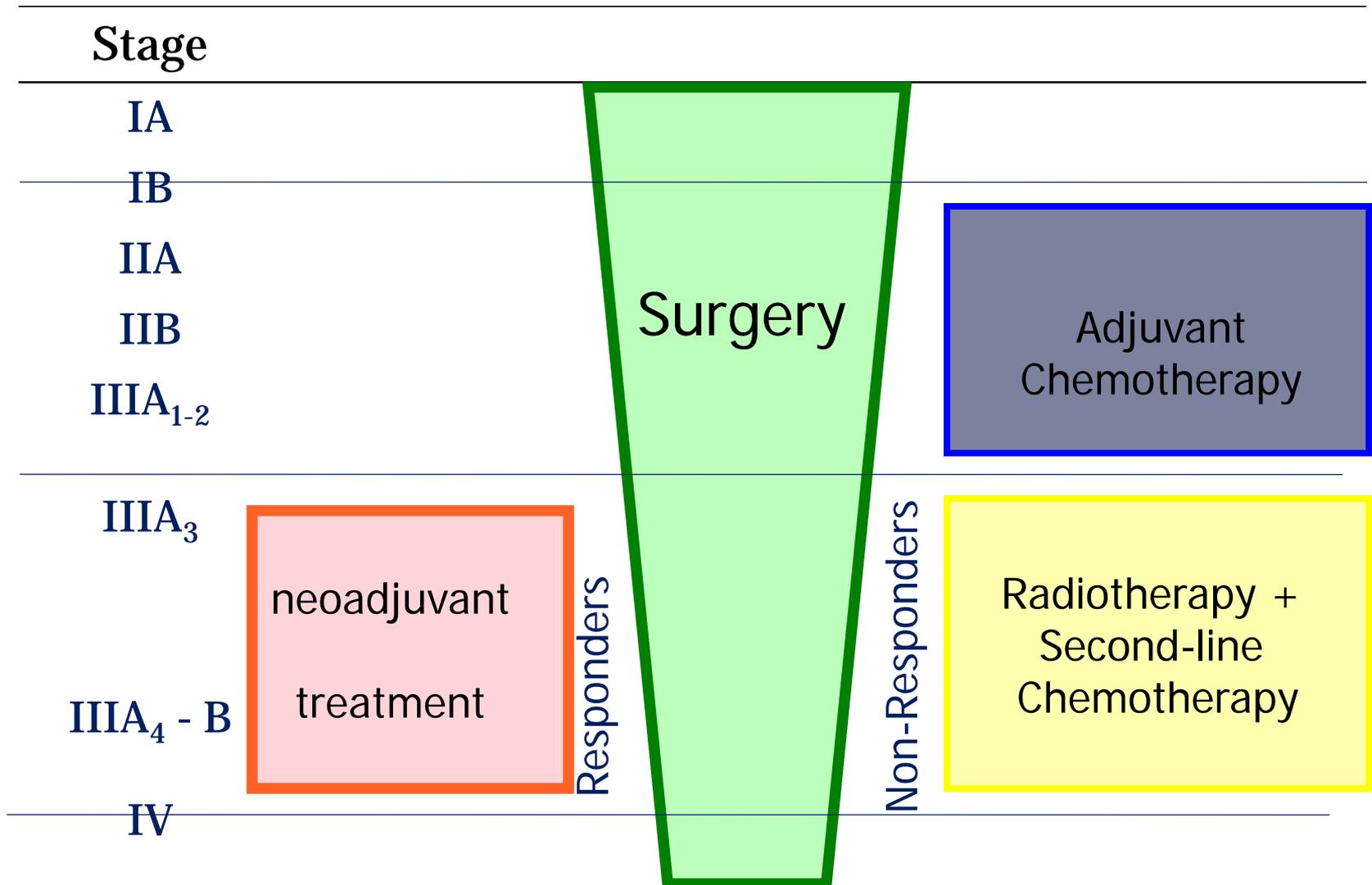
\*Age-adjusted to the 2000 US standard population.  
Source: US Mortality Data 1960-2006, US Mortality Volumes 1930-1959, National Center for Health Statistics, Centers for Disease Control and Prevention, 2009.

**Lung Cancer Mortality since 1930**

# Classical treatment protocol for Lung cancer

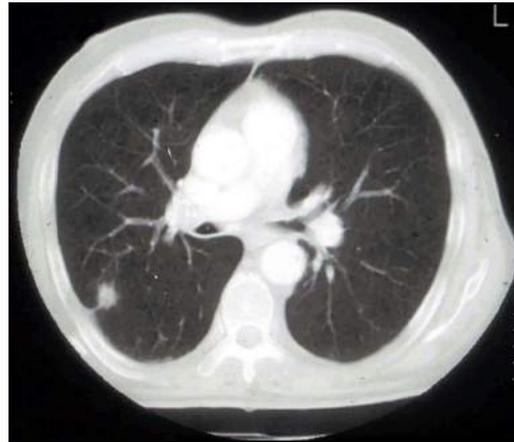
Stage		TNM
IA		T1N0M0
IB		T2N0M0
IIA	<b>Surgery</b>	T1N1M0
IIB		T2N1M0
		T3N0M0
IIIA	<b>Chemo/Radio</b>	T1-3N2M0
		T3N1M0
IIIB		T1-3N3M0
		T4anyNM0

# Modern Treatment Algorithm for Lung cancer



# Surgery for early stage NSCLC





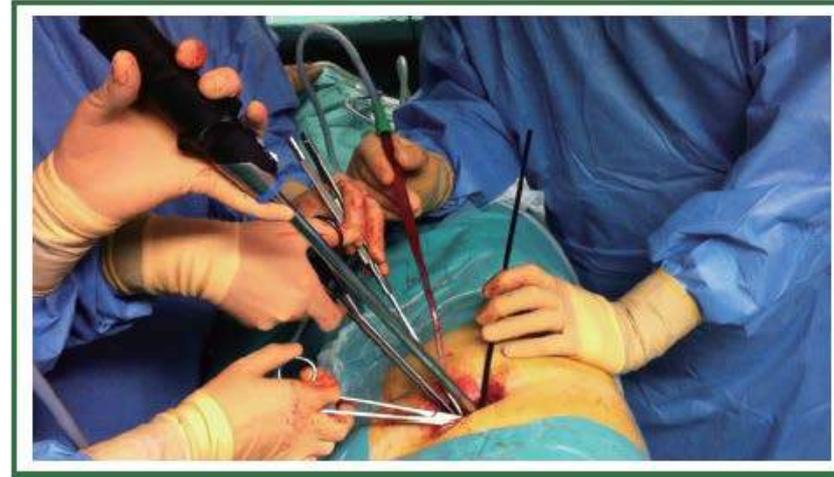
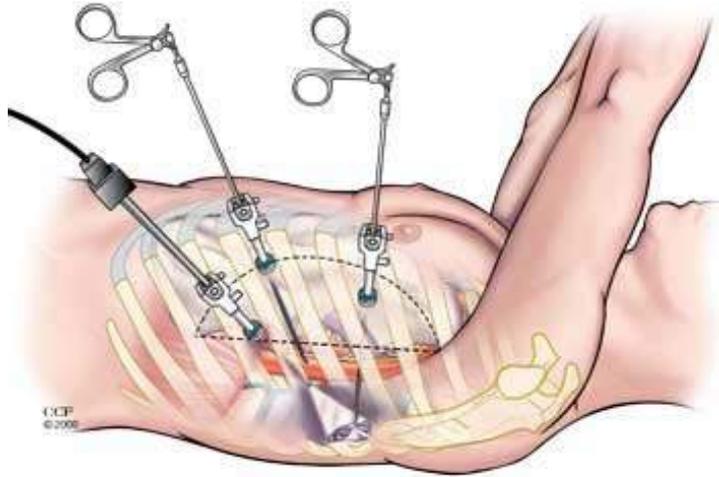
**Standard of care:  
Lobectomy + mediastinal lymph node dissection  
(MLND)**

Ginsberg RJ, Rubinstein LV. Randomized trial of lobectomy versus limited resection for T1 N0 non-small cell lung cancer. Lung Cancer Study Group. *Ann Thorac Surg.* 1995;60(3):615–22; discussion 622–623.

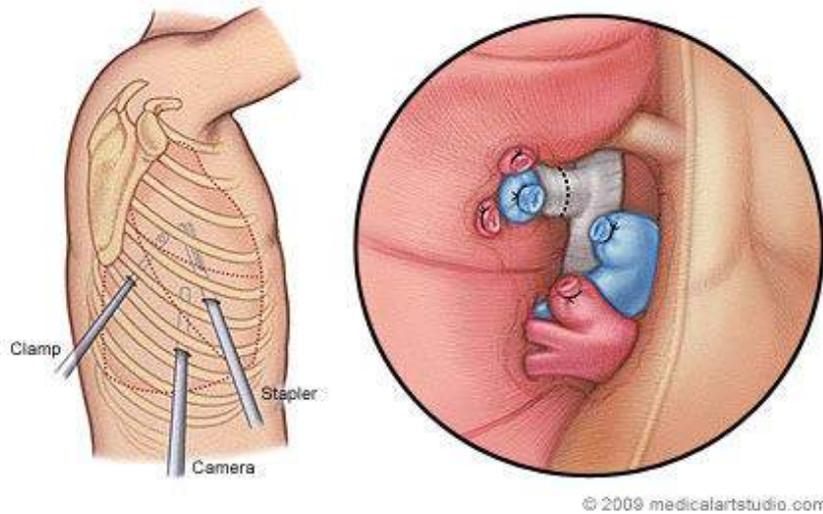
# **Standard of care – new developments**

- Minimal invasive resections (incl. awake)
- Sublobar resection (limited resections)
- Parenchyma sparing options

# Minimal invasive surgery (MIS)



# Video assisted thoracic surgery (VATS)



**VATS: 3-portal (Hansen et al, 2011)**



**VATS: uniportal (Gonzalez-Rivas et al, 2013)**

# Robotic assisted thoracic surgery (RATS)



# Awake VATS for SPN

## Feasibility and Results of Awake Thoracoscopic Resection of Solitary Pulmonary Nodules

Eugenio Pompeo, MD, Davide Mineo, MD, Paola Rogliani, MD,  
Alessandro F. Sabato, MD, and Tommaso C. Mineo, MD

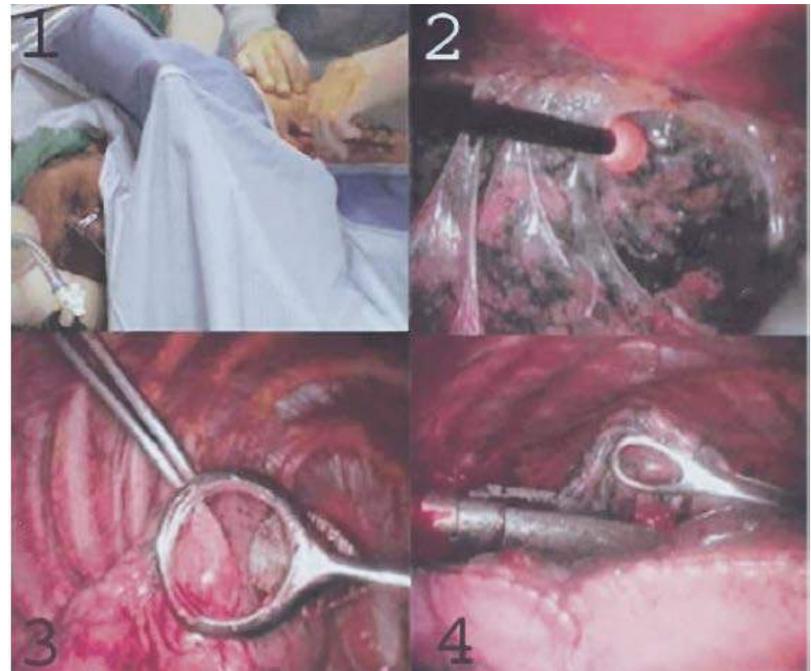
Division of Thoracic Surgery and Multidisciplinary Pulmonary Program, Policlinico Tor Vergata University, Rome, Italy

RCT

n=60

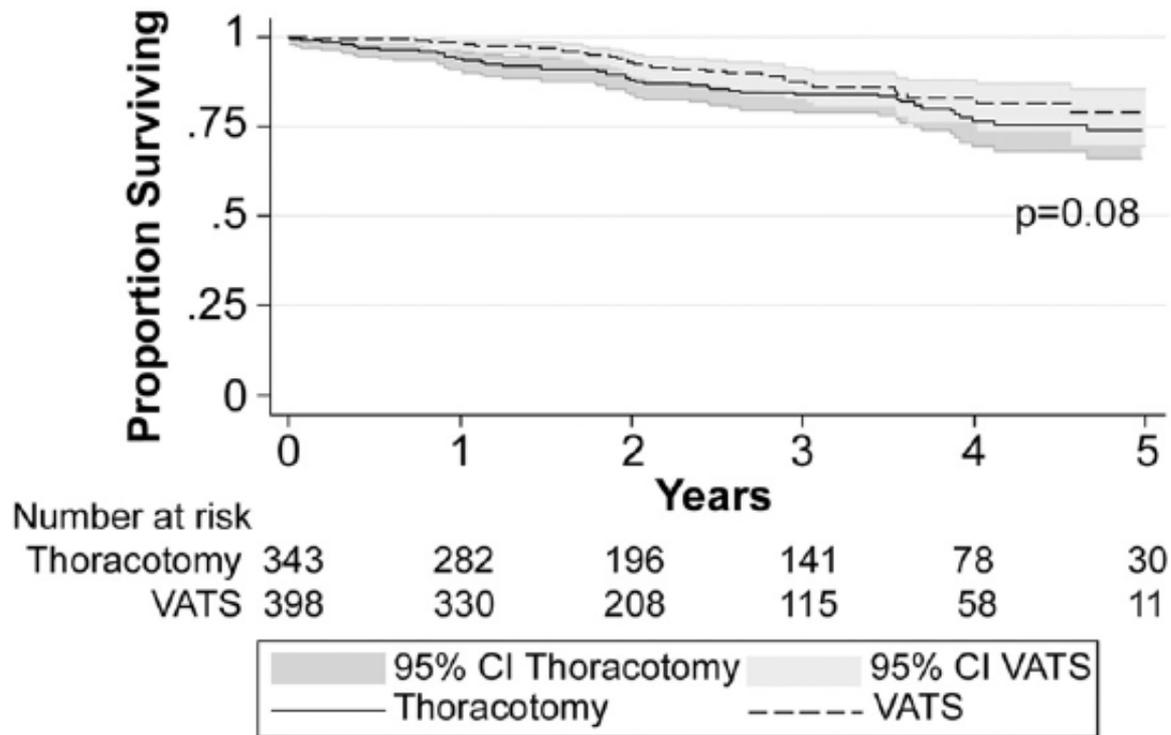
Epidural anaesthesia vs GA+DLI

0% mortality



*Pompeo et al, ATS 2004*

# Lobectomy: MIS vs. open surgery



Is VATS Lobectomy Better: Perioperatively, Biologically and Oncologically?

Natasha M. Rueth, MD, and Rafael S. Andrade, MD  
Ann Thorac Surg 2010;89:S2107-11

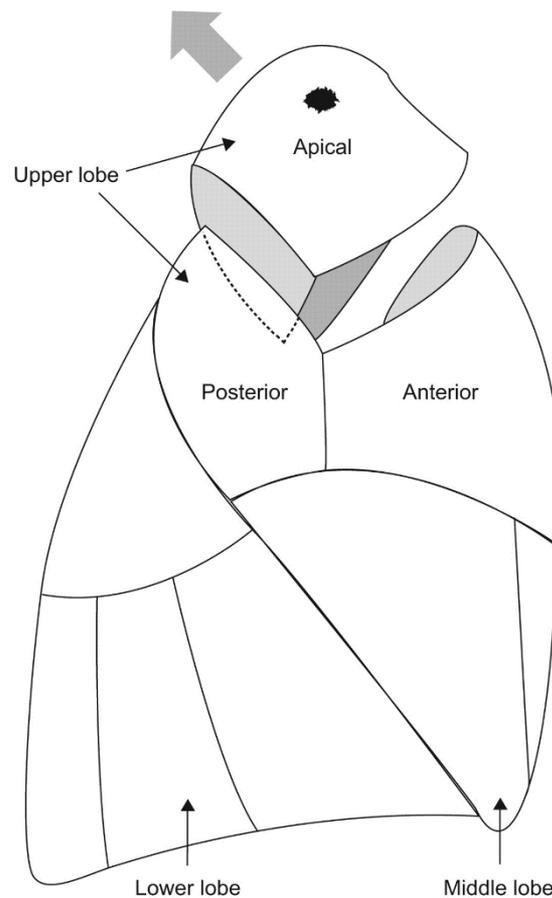
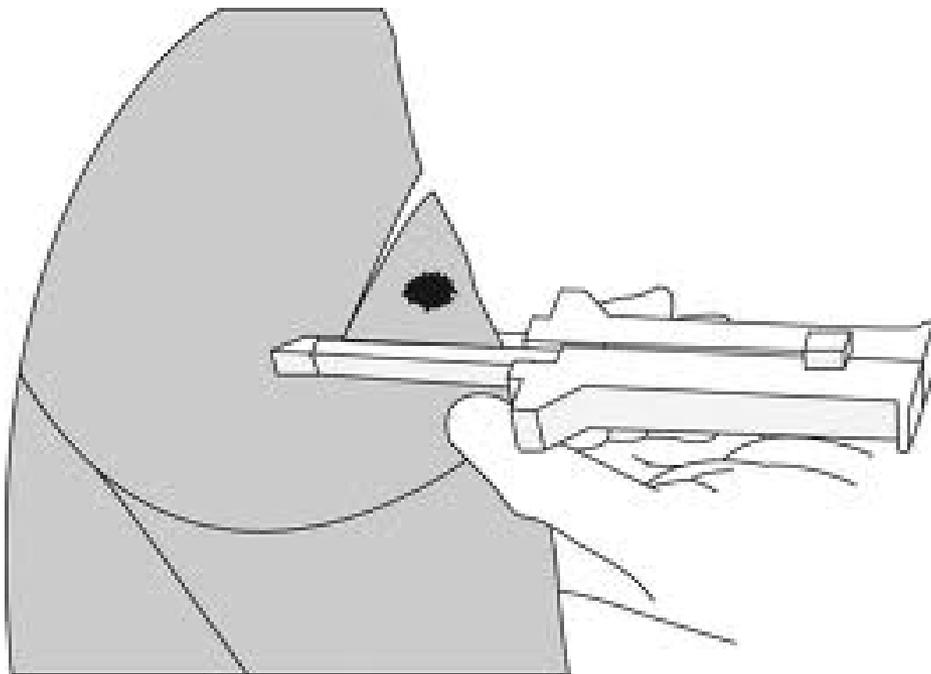
# MIS vs. open surgery: morbidity & mortality

Table 3. Perioperative Outcomes of Lobectomy Comparing Video-Assisted Thoracoscopic Lobectomy With Open

Author, Year	Study Type	Procedure	n	Blood Loss (mL)	Total Complication Rate (%)	Chest Tube Duration (days) <sup>a</sup>	Air Leak (%) <sup>b</sup>	Arrhythmia (%)	Pneumonia (%)	LOS (days) <sup>a</sup>	Mortality (%)
Kirby, 1995 [21]	Prospective	VATS	25	<250 (84%)	24 <sup>c</sup>	6.5	12	...	...	7.1	0
		Open	30	<250 (83%)	53	4.6	27	...	...	8.3	0
Whitson, 2007 [23]	Retrospective	VATS	59	251	...	5	13.6	13.8	3.4 <sup>c</sup>	6.4	0
		Open	88	255	...	6.1	11.5	10.3	19.3	7.7	0
Whitson, 2008 [24]	Systematic review	VATS	3,114	...	16.4 <sup>c</sup>	4.2 <sup>c</sup>	5	5.2	2.7	8.3 <sup>c</sup>	...
		Open	3,256	...	31.2	5.7	8.8	9	6	13.3	...
Handy, 2009 [20]	Retrospective	VATS	49	204 <sup>c</sup>	10	>5 (14%)	...	6.1 <sup>c</sup>	4.1	5.2 <sup>c</sup>	4.1
		Open	192	470	22.5	>5 (15%)	...	17.3	7.1	6.6	2.6
Villamizar, 2009 [22]	Retrospective	VATS	284 <sup>d</sup>	...	31 <sup>c</sup>	3 <sup>c</sup>	12 <sup>c</sup>	13 <sup>c</sup>	5 <sup>c</sup>	4 <sup>c</sup>	3
		Open	284 <sup>d</sup>	...	51	4	19	21	10	5	5
Flores, 2009 [19]	Retrospective	VATS	398 <sup>e</sup>	...	23 <sup>c,f</sup>	...	4.2	10.3	...	5 <sup>c,f</sup>	0.3
		Open	343	...	33 <sup>f</sup>	...	5.2	12.5	...	7 <sup>f</sup>	0.3

The currently available clinical evidence indicates that **VATS lobectomy for early-stage NSCLC** is associated with **fewer postoperative complications and less negative biologic impact** on patients than open lobectomy. Furthermore, all data to date strongly suggest **oncologic equivalence of VATS versus open lobectomy** for patients with **early-stage NSCLC**.

# Sublobar resections



Eur Respir J 2009; 33: 426-435  
DOI: 10.1183/09031936.00099808  
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**SERIES "LUNG CANCER"**  
Edited by C. Brambilla  
Number 2 in this Series



# Sublobar resections

- Tendency towards less invasive resections for radiological non-invasive tumors
- Tendency towards lung parenchyma sparing resections i.e. segmental (anatomic) resections
- More candidates for surgery (limited lung function e.g.)
- Key question: Are these approaches really oncological radical?



## REVIEW

# Surgical implications of the new IASLC/ATS/ERS adenocarcinoma classification

P.E. Van Schil\*, H. Asamura<sup>#</sup>, V.W. Rusch<sup>†</sup>, T. Mitsudomi<sup>‡</sup>, M. Tsuboi<sup>§</sup>,  
E. Brambilla<sup>||</sup> and W.D. Travis<sup>\*\*</sup>

Of special interest to thoracic surgeons are the new categories; **adenocarcinoma *in situ*** and **minimally invasive adenocarcinoma** that represent small ( $\leq 3$  cm), solitary adenocarcinomas consisting purely of lepidic growth without invasion or no greater than a 0.5-cm invasion, respectively. Usually, they correspond to GGO lesions on chest computed tomography.

**Adenocarcinoma *in situ*** and **minimally invasive adenocarcinoma**: 100% or near 100% 5-year disease-free survival, respectively, if completely resected.

**TABLE 1** International Association for the Study of Lung Cancer/American Thoracic Society/European Respiratory Society classification of lung adenocarcinoma in resection specimens

### Pre-invasive lesions

- Atypical adenomatous hyperplasia
- Adenocarcinoma *in situ* ( $\leq 3$  cm formerly BAC)
  - Nonmucinous
  - Mucinous
  - Mixed mucinous/nonmucinous

### Minimally invasive adenocarcinoma

( $\leq 3$  cm lepidic predominant tumour with  $\leq 5$  mm invasion)

- Nonmucinous
- Mucinous
- Mixed mucinous/nonmucinous

### Invasive adenocarcinoma

- Lepidic predominant (formerly nonmucinous BAC pattern, with  $>5$  mm invasion)
- Acinar predominant
- Papillary predominant
- Micropapillary predominant
- Solid predominant with mucin production
- Variants of invasive adenocarcinoma
  - Invasive mucinous adenocarcinoma (formerly mucinous BAC)
  - Colloid
  - Fetal (low and high grade)
  - Enteric

BAC: bronchioloalveolar carcinoma. Reproduced from [1] with permission from the publisher.

# Future Prospects

- Lobectomy is still considered the standard surgical treatment for tumours of <2 cm that have a solid appearance on chest CT because such tumours are invasive carcinomas.
- Any change in this standard care awaits the results of two randomised trials (Japan Clinical Oncology Group identifier [JCOG 0802](#)/West Japan Oncology Group identifier [WJOG3406L](#) in Japan and Cancer and Leukemia Group B identifier [CALGB 140503](#) ([www.clinicaltrials.gov](http://www.clinicaltrials.gov) identifier [NCT00499330](#)) in North America) that randomise such patients in to either lobectomy or sublobar resection.

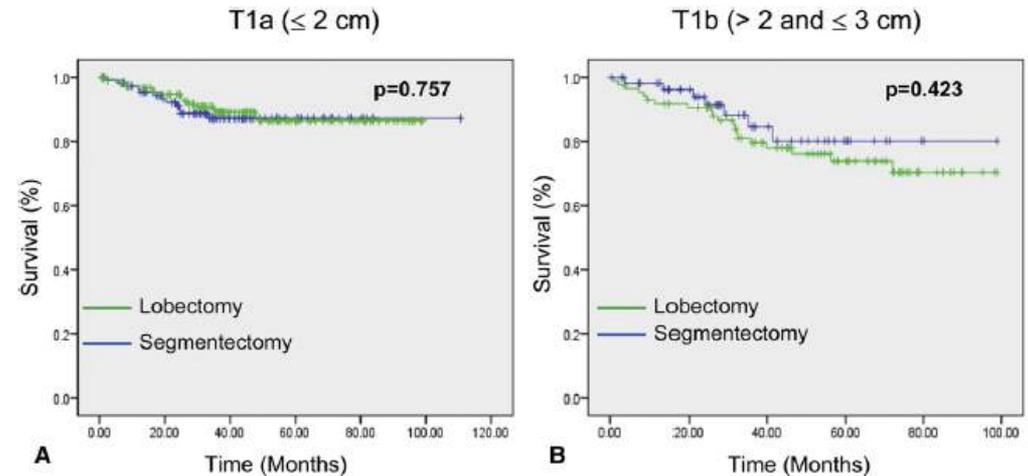
# Impact of tumor size on outcomes after anatomic lung resection for stage 1A non-small cell lung cancer based on the current staging system

Shamus R. Carr, MD,<sup>a</sup> Matthew J. Schuchert, MD,<sup>a</sup> Arjun Pennathur, MD,<sup>a</sup> David O. Wilson, MD,<sup>b</sup> Jill M. Siegfried, PhD,<sup>c</sup> James D. Luketich, MD,<sup>a</sup> and Rodney J. Landreneau, MD<sup>a</sup>

**TABLE 1. Patient demographics and operative data by T descriptor**

	T1a (n = 284)	T1b (n = 145)	P value
Age, y			
Mean	67.0	69.4	.009
Range	28–88	43–88	
Gender	123 male, 161 female	75 male, 70 female	.10
Operation			
Segmentectomy	121 (42.6%)	57 (39.3%)	.54
Lobectomy	163 (57.4%)	88 (60.7%)	
Approach			
VATS	142 (50.0%)	62 (42.8%)	.18
Open	142 (50.0%)	83 (57.2%)	

VATS, Video-assisted thoracoscopic surgery.



# Anatomical Segmentectomy and Wedge Resections Are Associated with Comparable Outcomes for Patients with Small cT1N0 Non-Small Cell Lung Cancer



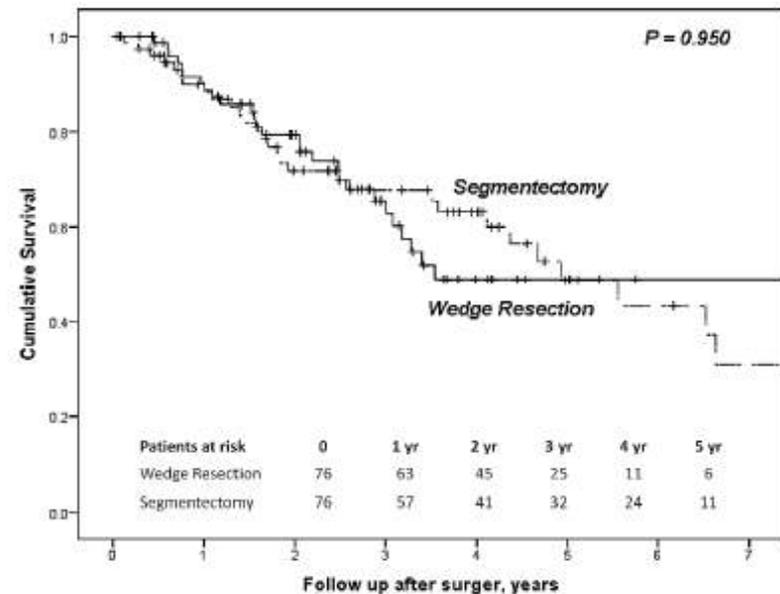
Nasser K. Altorki, MD,<sup>a,\*</sup> Mohamed K. Kamel, MD,<sup>a</sup> Navneet Narula, MD,<sup>b</sup> Galal Ghaly, MD,<sup>a</sup> Abu Nasar, MS,<sup>a</sup> Mohamed Rahouma, MD,<sup>a</sup> Paul C. Lee, MD,<sup>a</sup> Jeffery L. Port, MD,<sup>a</sup> Brendon M. Stiles, MD<sup>a</sup>

<sup>a</sup>Division of Thoracic Surgery, Department of Cardiothoracic Surgery, New York Presbyterian Hospital, Weill Cornell Medical College, New York, New York

<sup>b</sup>Department of Pathology, New York Presbyterian Hospital, Weill Cornell Medical College, New York, New York

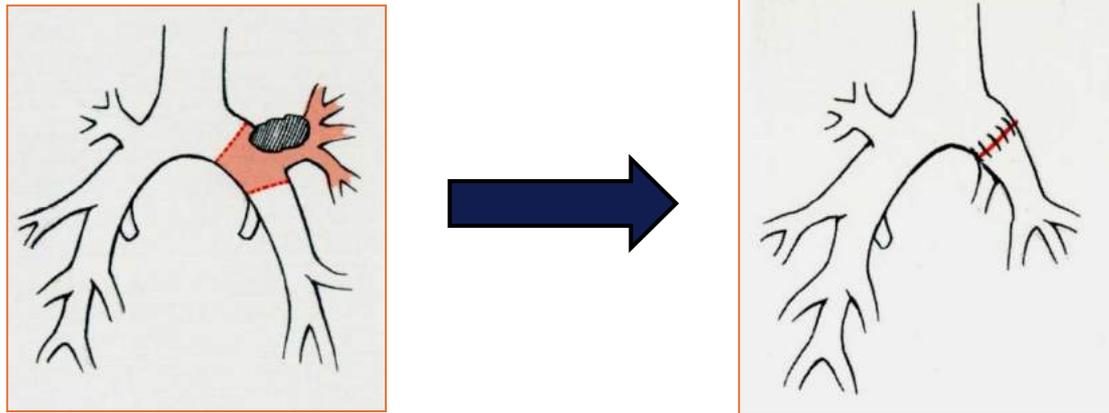
retrospective review of a prospective database (2000-2014) for cT1N0 patients  
289 patients including WR in 160, and AS in 129

Although AS is associated with a more thorough lymph node dissection, this did not translate to a survival benefit in this patient population with a low rate of nodal metastases.

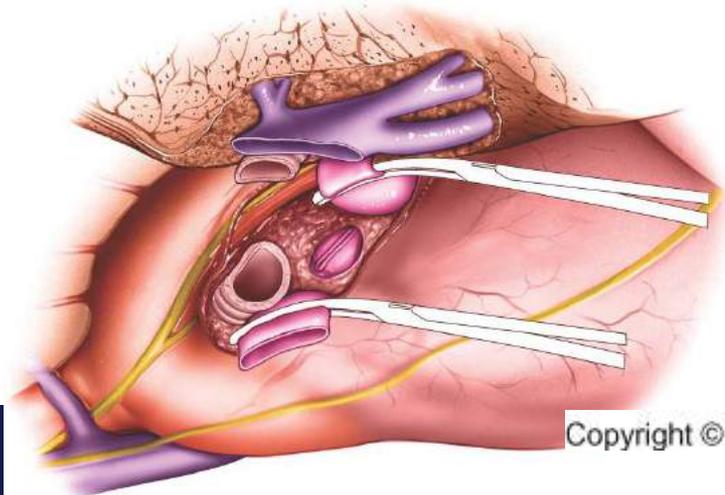
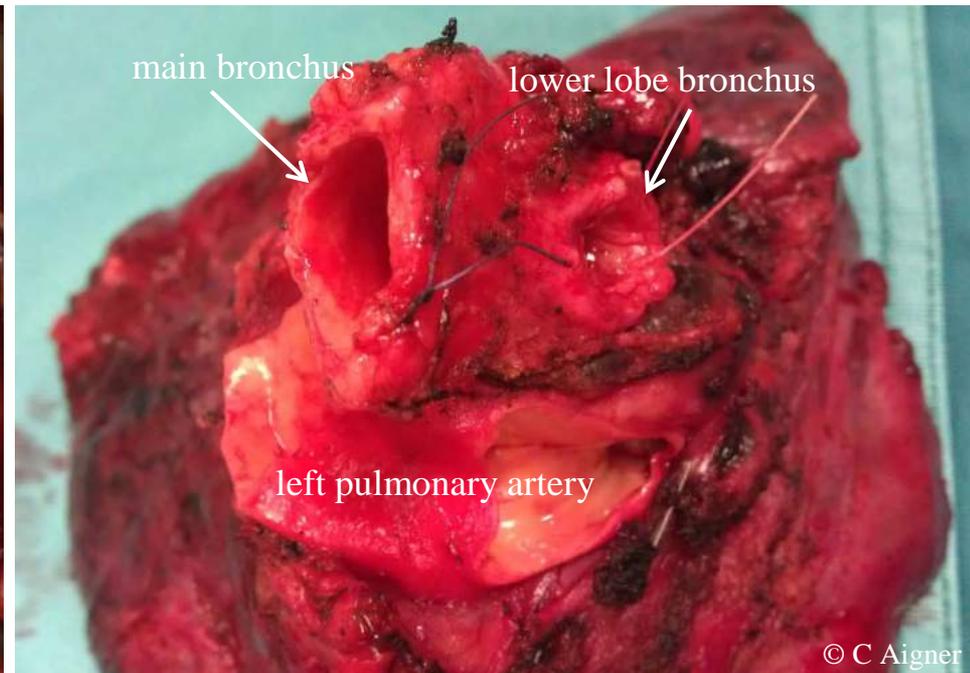
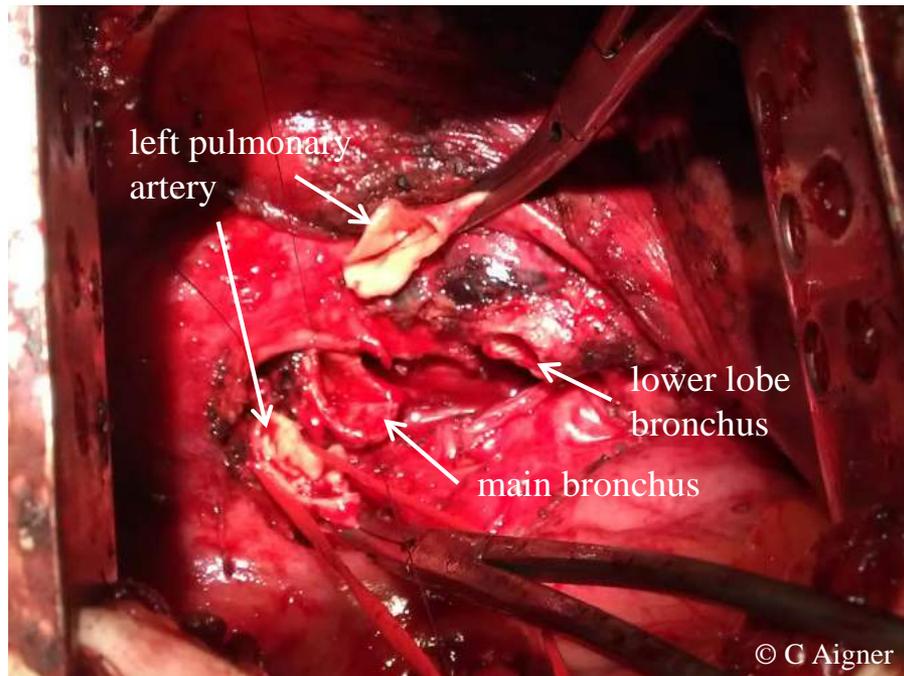


# Parenchyma sparing procedures – sleeve lobectomy

- Bronchoplastic techniques are currently procedures of choice in anatomically suitable patients in order to preserve lung parenchyma
- Bronchoplastic resections are performed in 3 % - 13 % of patients with resectable lung tumors



# Bronchoplastic and angioplastic resection of centrally located tumors



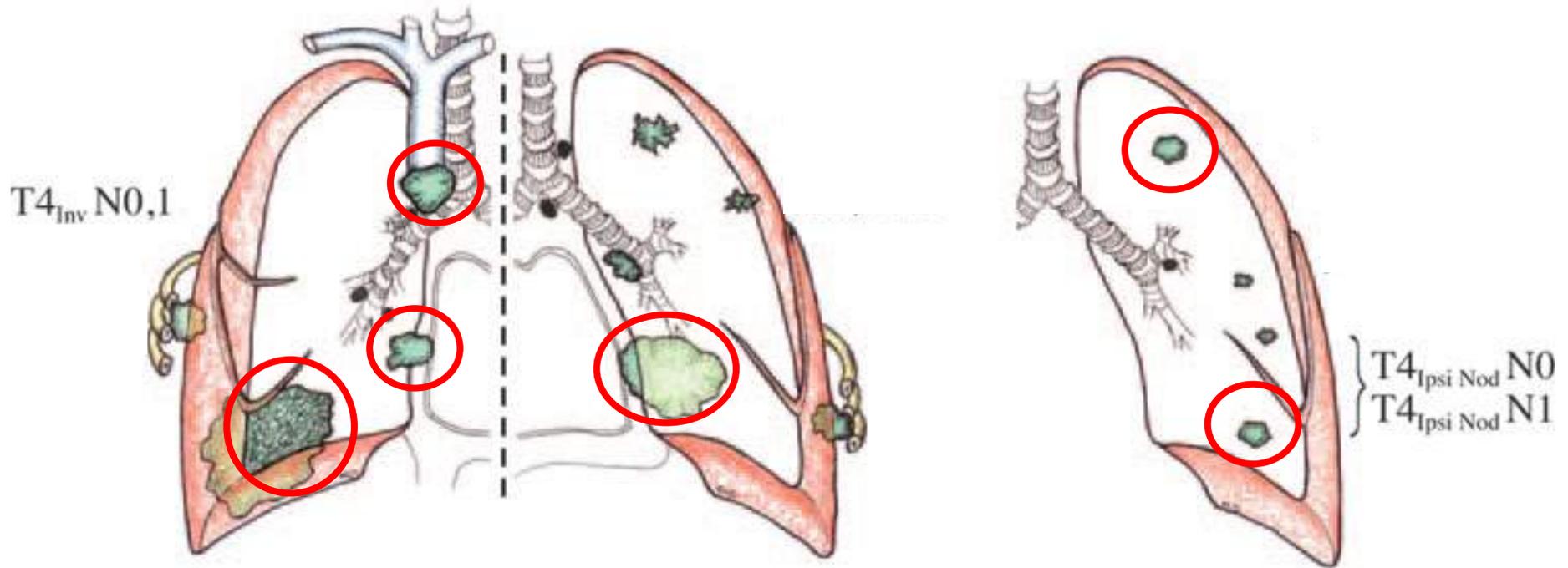
# Bronchoplastic resection after neoadjuvant treatment

Author	Journal	Year	Title	n
Milman S.	Ann Thorac Surg	2009	The incidence of perioperative anastomotic complications after sleeve lobectomy is not increased after neoadjuvant chemoradiotherapy	64
Began P.	Ann Thorac Surg	2009	Induction chemotherapy before sleeve lobectomy for lung cancer: Immediate and long-term results	15 9
Burfeind W.	Ann Thorac Surg	2005	Low morbidity and mortality for bronchoplastic procedures with and without induction therapy	73
Ohta M.	JTCVS	2003	Efficacy and safety of tracheobronchoplasty after induction therapy for locally advanced lung cancer	48
Veronesi G.	Lung Cancer	2002	Low morbidity of bronchoplastic procedures after chemotherapy for lung cancer	55
Rendina E.	JTCVS	1997	Safety and efficacy of bronchovascular reconstruction after induction chemotherapy for lung cancer	68

# Surgery for locally advanced NSCLC: T3/T4



# T4 Lung cancer



# Locally advanced disease: stage IIIA/B

## – according to T or N

1. Surgical approach depending on tumor localisation requiring different surgical approaches

Pancoast:

Paulson (posterior), Hemiclamshell, Dartevelle (anterior)

Carina:

Carinal resection or Sleeve-pneumonectomy

Invasion of Atrium and greater vessels:

Vascular reconstruction, ev. extracorporeal circulation (ECMO/HLM)

Chest wall infiltration:

Resection und reconstruction

Infiltration of the spine:

Laminectomy ± Vertebrectomy

1. Inductionchemo- ± radiation

T3/4

N0, N1

N2

“No surgery” ?

# Results of Primary Surgery With T4 Non-Small Cell Lung Cancer During a 25-Year Period in a Single Center: The Benefit is Worth the Risk

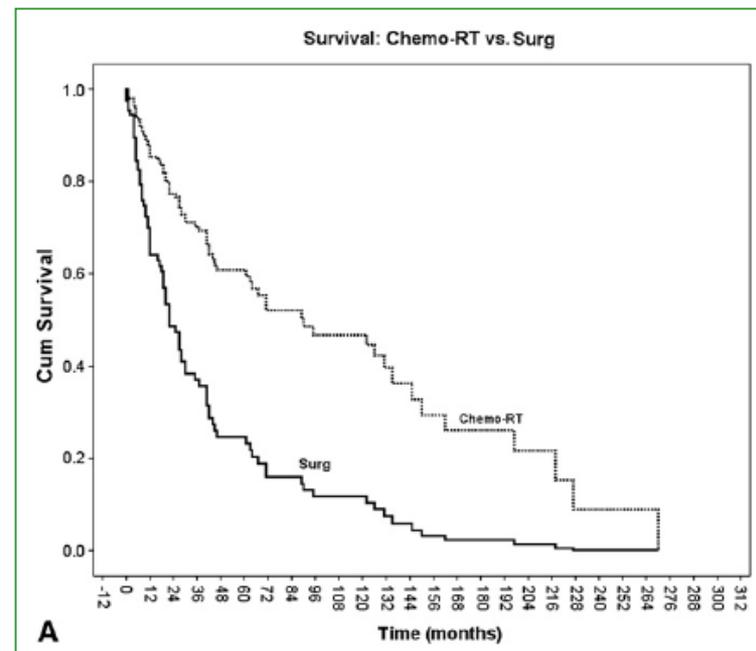
Characteristics	Overall (n = 271)	Subgroup of T4 Category			
		Superior Sulcus Tumor (n = 126)	Carinal Invasion (n = 92)	SVC Invasion (n = 39)	Mediastinal (n = 14)
Mean age (y)	56.3	53.6	59.6	58.0	54.5
Range	31–80	31–80	39–80	38–79	36–70
Sex					
Male	221	97	79	34	11
Female	50	29	13	5	3
Type of resections					
Right pneumonectomy	115 (42.4%)	0	81	32	2
Left pneumonectomy	19 (7%)	3	6	0	10
Right upper lobectomy	70 (25.8%)	62	2	5	1
Right upper bilobectomy	1 (0.3%)	1	0	0	0
Left upper lobectomy	37 (13.6%)	36	0	0	1
Wedge resection	26 (9.6%)	24	0	2	0
Carinal reconstruction	3 (1.1%)	0	3	0	0

In highly qualified centers, radical surgery of T4 N0/N1 NSCLC can be performed with a **4% MR and may yield a 43% 5-year survival**. These results seem to indicate primary surgery as the treatment of choice for T4 non-small cell lung carcinoma, whenever **a complete resection** is thought to be technically feasible and the **patient's condition** is compatible with the extent of the planned surgery.

# Impact of neoadjuvant chemoradiotherapy followed by surgical resection on node-negative T3 and T4 non-small cell lung cancer

110 patients (94 T3N0M0, 16 T4N0M0)  
1979-2008

	Induction C/RT	Primary Surgery
5 y survival	61%	22%
10 y survival	50%	14%
Median survival	90 months	22 months



Aggressive treatment of **node-negative invasive T3 and T4 NSCLC** with **induction chemo radiotherapy** may significantly prolong survival. This approach should be evaluated in a prospective multicenter national trial.

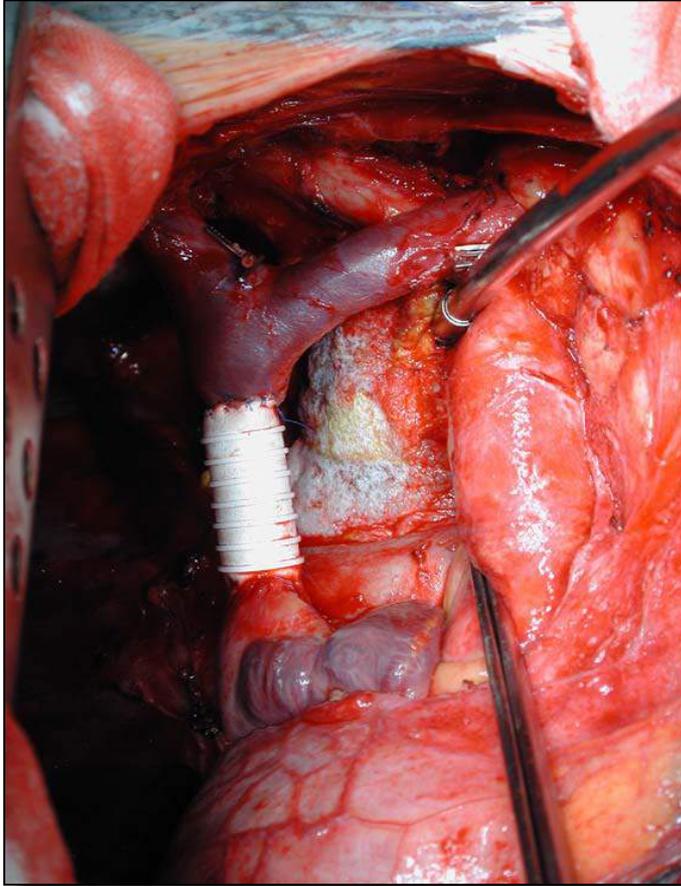
# Radical en bloc Resection for Lung Cancer Invading the Spine



Grunenwald et al, JCTVS 2002



# Long term follow up of prosthetic replacement of vena cava



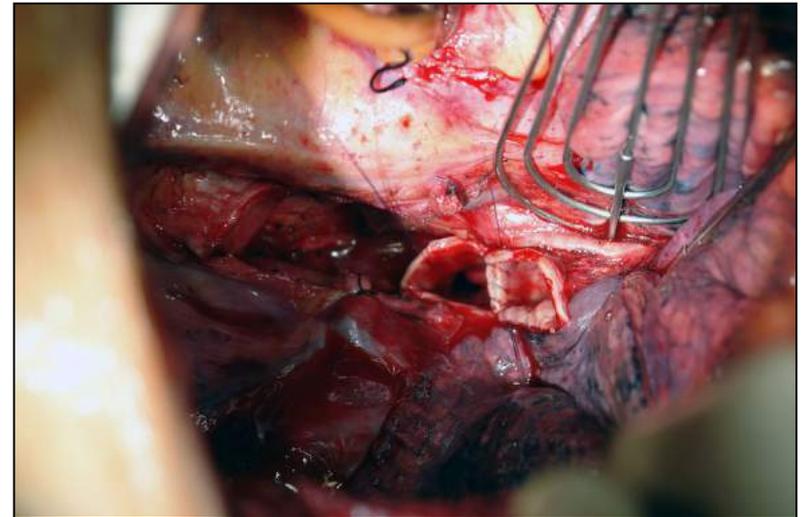
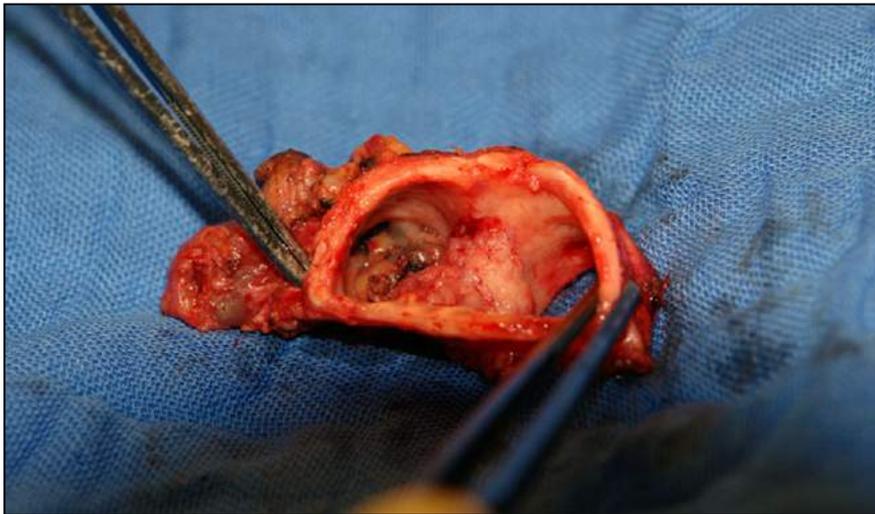
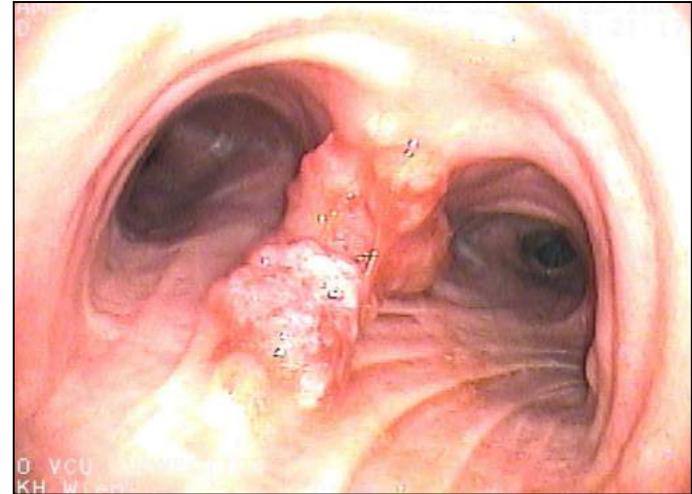
- **N = 28 patients (1998-2008)**
- **PTFE grafts**
- **Perioperative mortality = 3,5% (1 patient)**
- **Graft thrombosis = 1 patient**

# Sleeve Pneumonectomy – Carinal resection

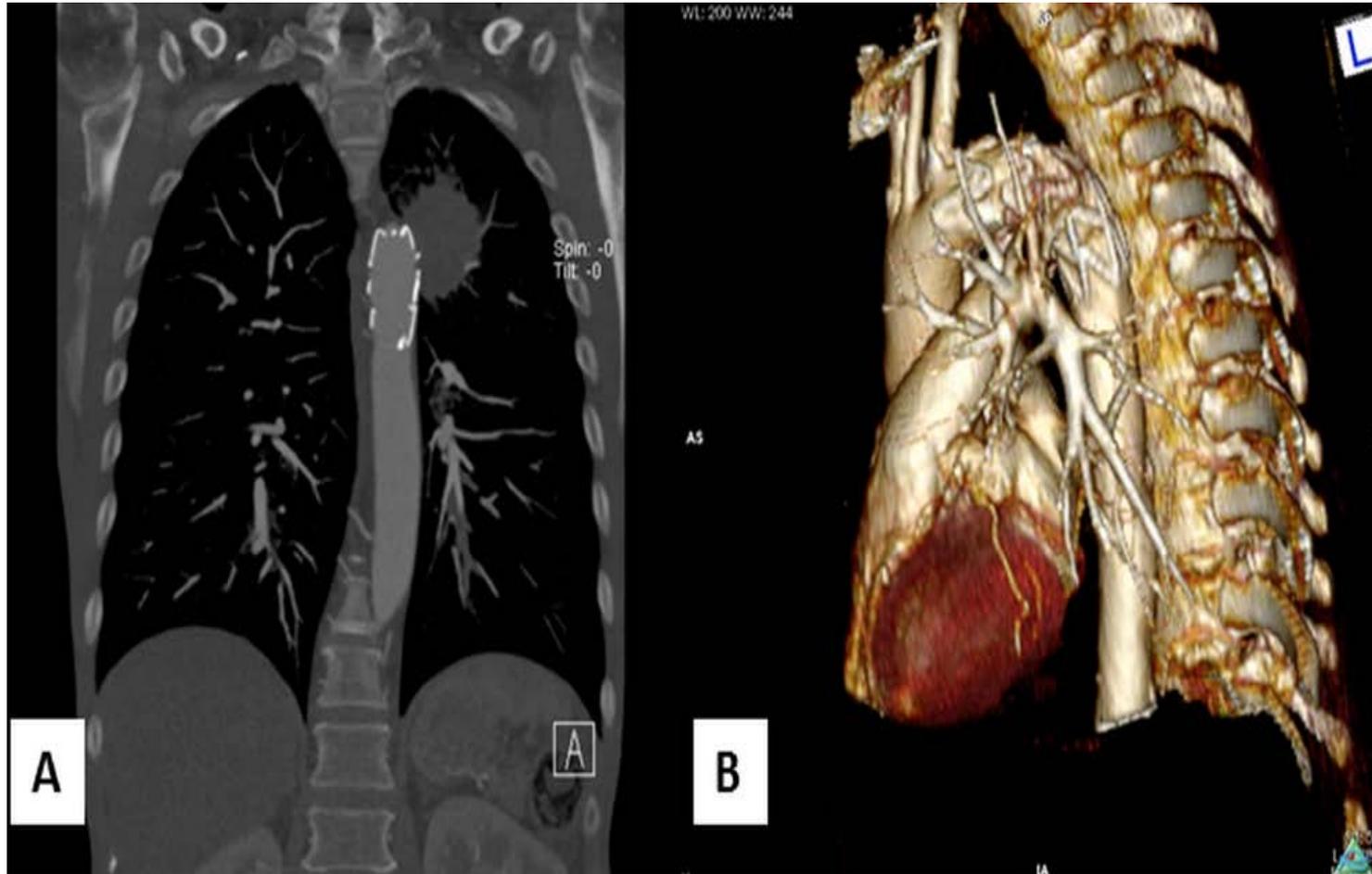
SCC Carina cT4N1

Induction chemotherapy

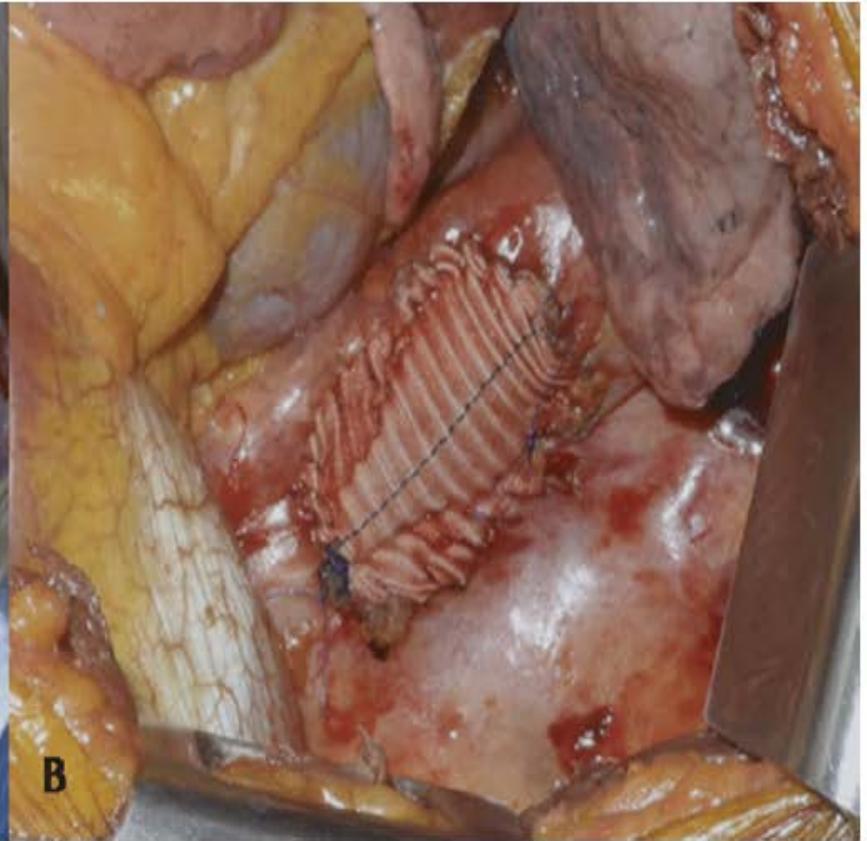
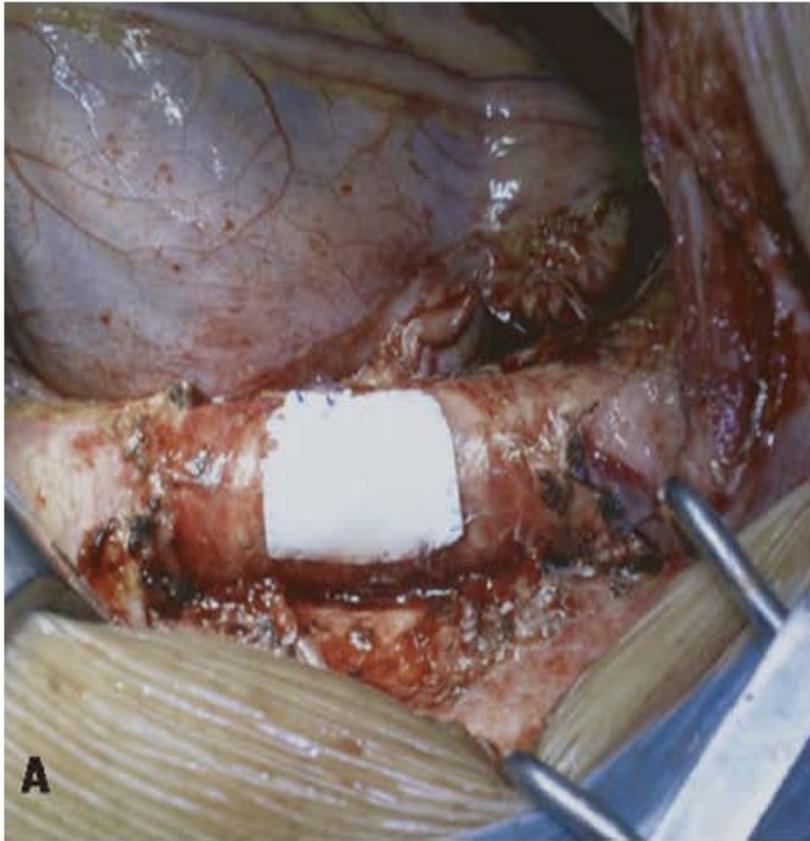
Carinal resection + reconstruction as neocarina on central ECMO



# Extended Resections – Invasion der Aorta (T4)



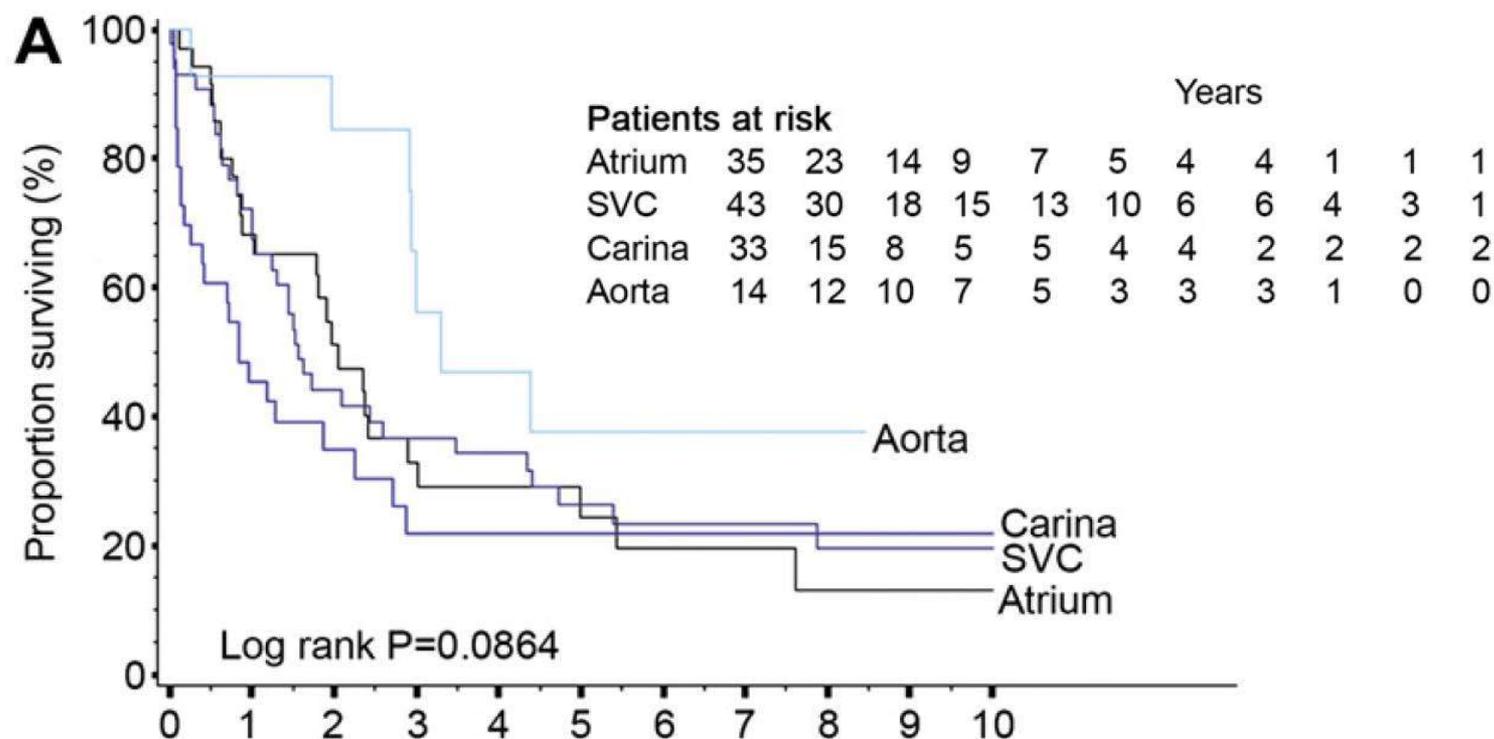
# Extended Resections – Invasion der Aorta (T4)



# T4 surgery: largest experience in Europe

Spaggiari et al, Ann Thorac Surg 2013

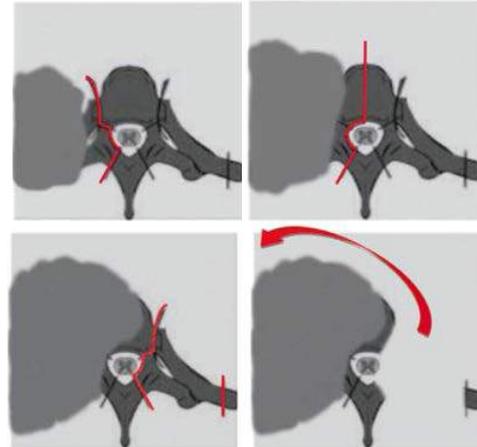
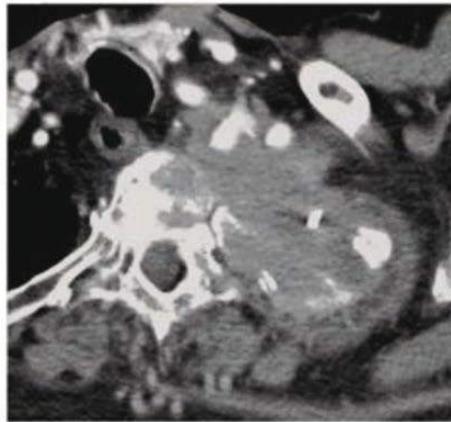
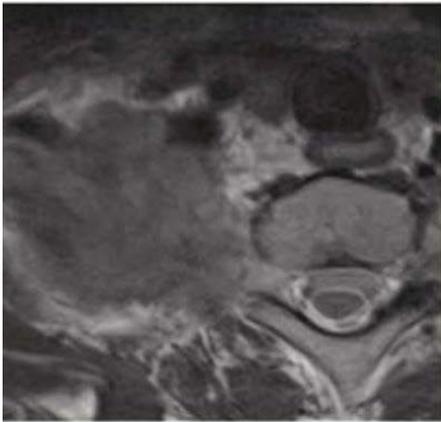
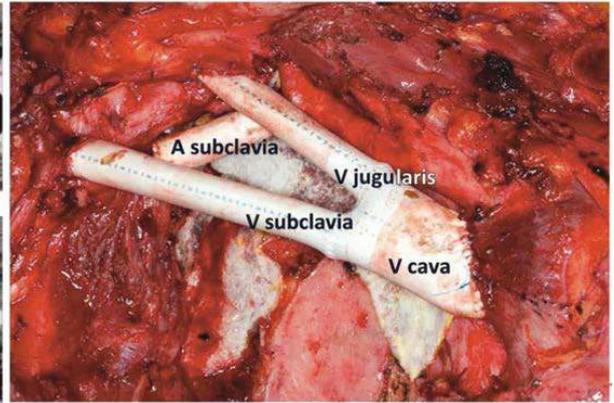
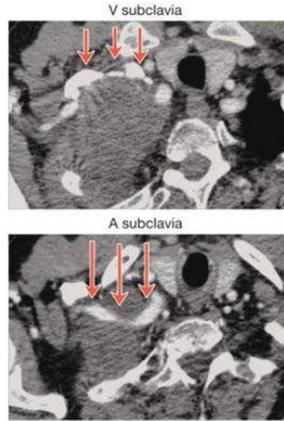
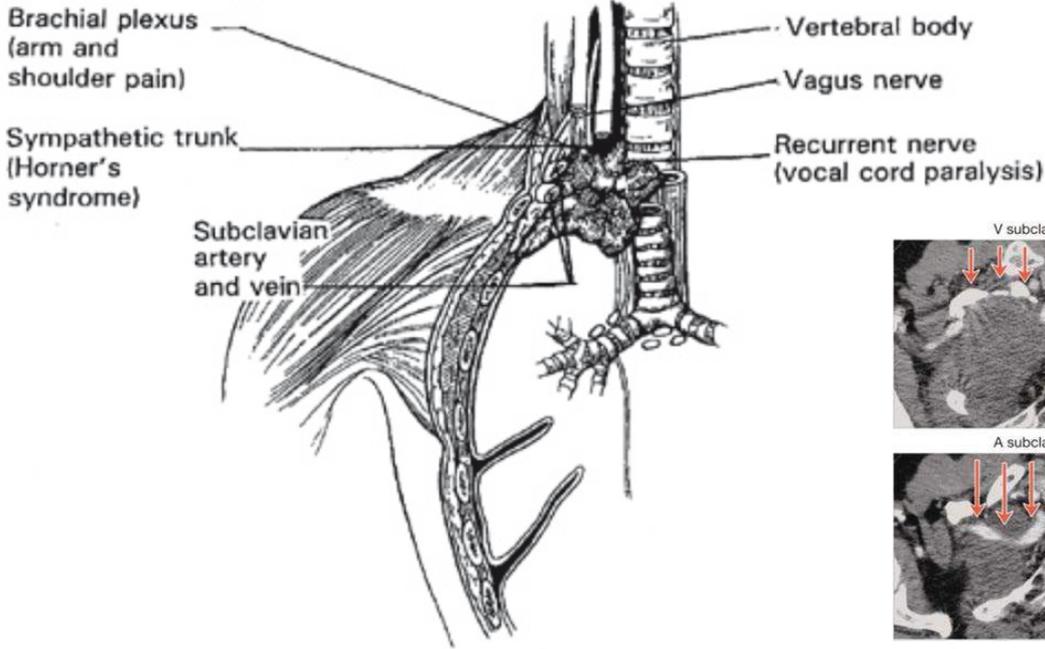
n = 125, extended resections for NSCLC

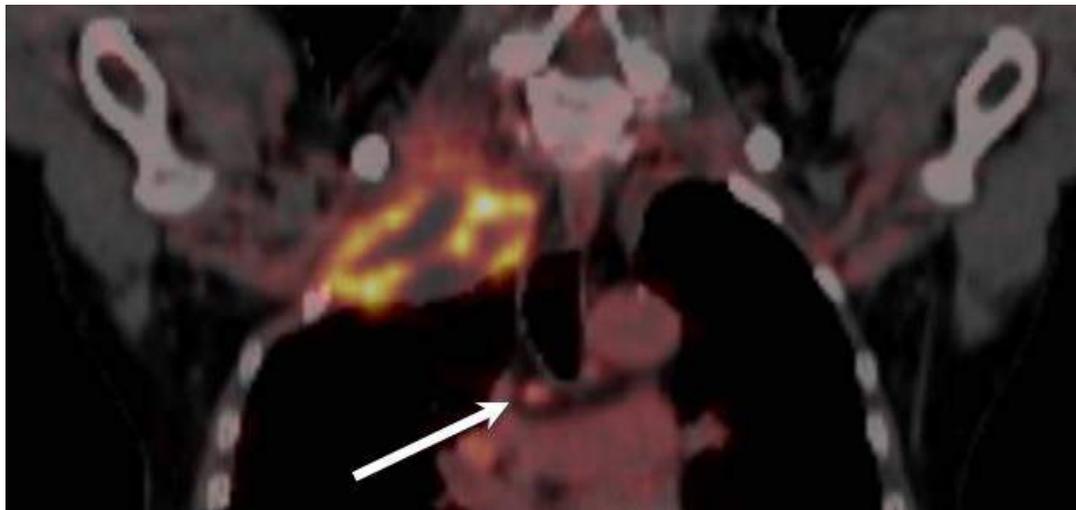
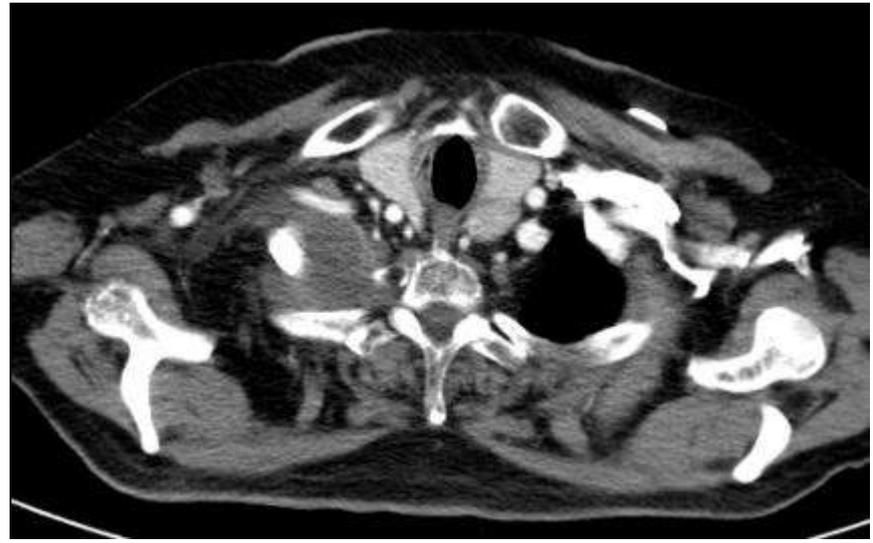


# Pancoast/Sulcus superior tumors



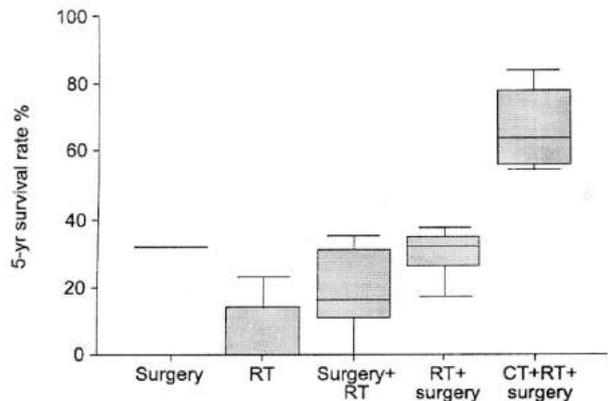
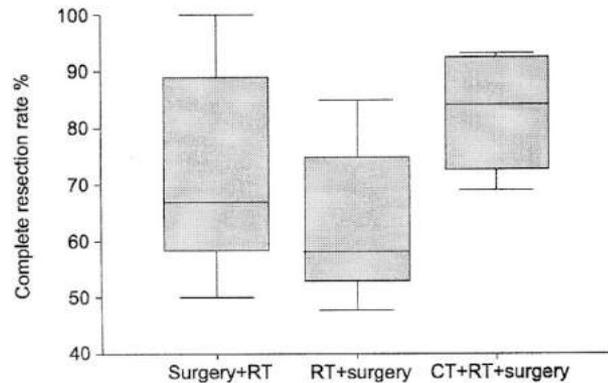
# Pancoast's Syndrome





Pancoast-TU  
→  
Trimodality  
therapy

# Pancoast Tumor – Advances in Treatment



- 1930 – 1950
  - Considered inoperable
  - Radiotherapy only
  - Disappointing results
- 1950 -1980
  - Induction radiotherapie (30 Gy) +
  - “en bloc” Resection;
  - R0 only in 60%
- late 1980s – 2000
  - New surgical techniques
  - (*Resection of vertebrae, vessels, ...*)
- 2000 -
  - Chemoradiotherapy + Surgery
  - R0 in > 90%

# Trimodality Treatment for Pancoast Tumors

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<b>Author</b>	<b>Year of Publication</b>	<b>n</b>	<b>Complete Resection (%)</b>	<b>2 year survival (%)</b>	<b>5 year survival (%)</b>
<b>Martinez-Monge</b>	1994	18	77	NR	56 (4y)
<b>Attar</b>	1998	11	NR	NR	72
<b>Wright</b>	2002	15	93	93	84
<b>Barnes</b>	2002	8	NR	86	NR
<b>Miyoshi</b>	2004	11	NR	73	53
<b>Kwong</b>	2005	36	97	58	50
<b>Rusch</b>	2007	88	76	55	44
<b>Marra</b>	2007	31	94	74	46
<b>Kunitoh</b>	2008	57	68	61 (3y)	56
<b>Pourel</b>	2008	72	98	62	51 (3y)
<b>Kappers</b>	2009	22	100	70	37

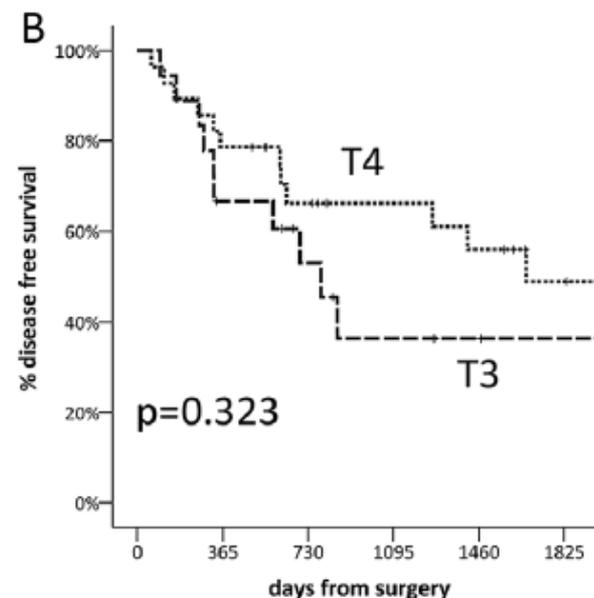
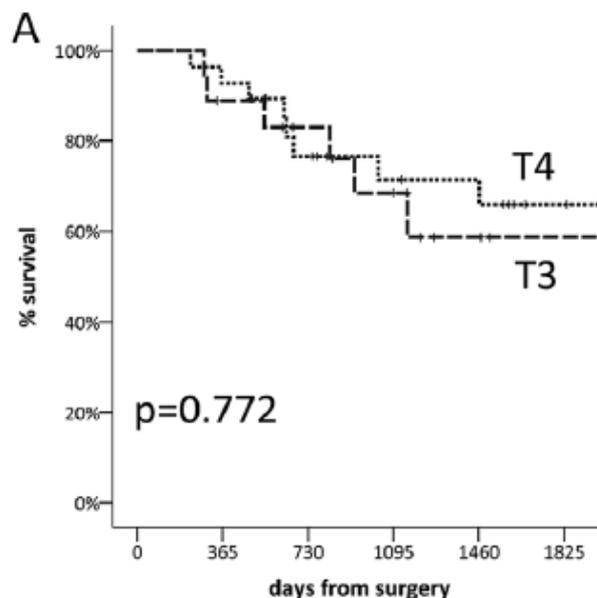
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## Trimodality therapy for Pancoast tumors: T4 is not a contraindication to radical surgery

Ryuichi Waseda MD, PhD<sup>1,2</sup>  | Thomas Klikovits MD<sup>1</sup> | Mir Alireza Hoda MD<sup>1</sup> |  
Konrad Hoetzenecker MD, PhD<sup>1</sup> | Pietro Bertoglio MD<sup>1</sup> | Karin Dieckmann MD<sup>3</sup> |  
Sabine Zöchbauer-Müller MD<sup>4</sup> | Robert Pirker MD<sup>4</sup> | Helmut Prosch MD<sup>5</sup> |  
Balazs Döme MD, PhD<sup>1,6,7</sup> | Walter Klepetko MD<sup>1</sup>

n= 46 (28 T4)

- 30-day mortality was 0%
- major surgical complications in 9 (19.6%) patients
- OS at 5-years was 63%.
- DFS at 5-years was 45%.



Waseda et al, JSO 2017

# Perioperative issues

Symptom	Etiology	Therapy
Lung atelectasis	Thoracic wall resection ± Resection of the phrenic nerve	BSK Intensified Physiotherapy
Local pain	Extended resection Neural injuring	i.v. pain medication (pain pump)
Headache	Neural fluid loss	Fluids Conservative medication
Unilateral venous congestion	Graft occlusion	Adequate anticoagulation
Hoarseness	Resection of the recurrent nerve	Logopedic treatment Late vocal cord plastic (implants)
Impaired mobility of shoulder	Osteo-muscular resection	Special individualized physiotherapy

# Surgery for locally advanced NSCLC: N2

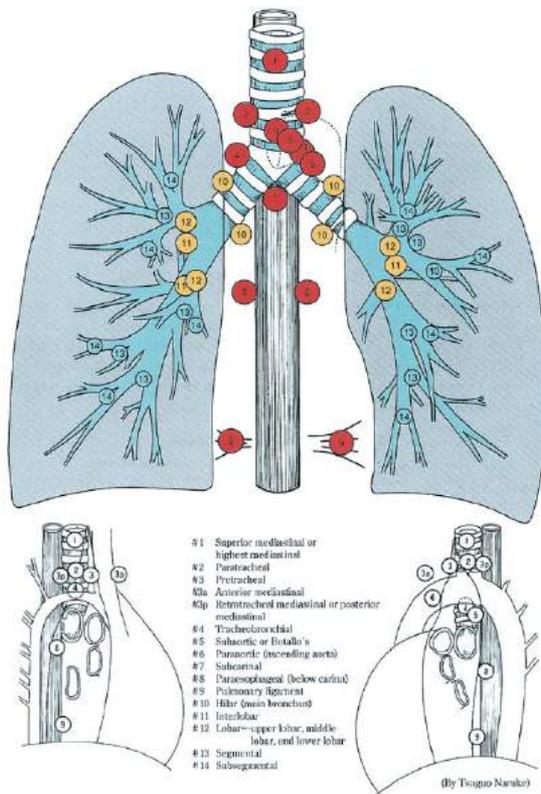


**N2 disease is a very heterogenous entity !**

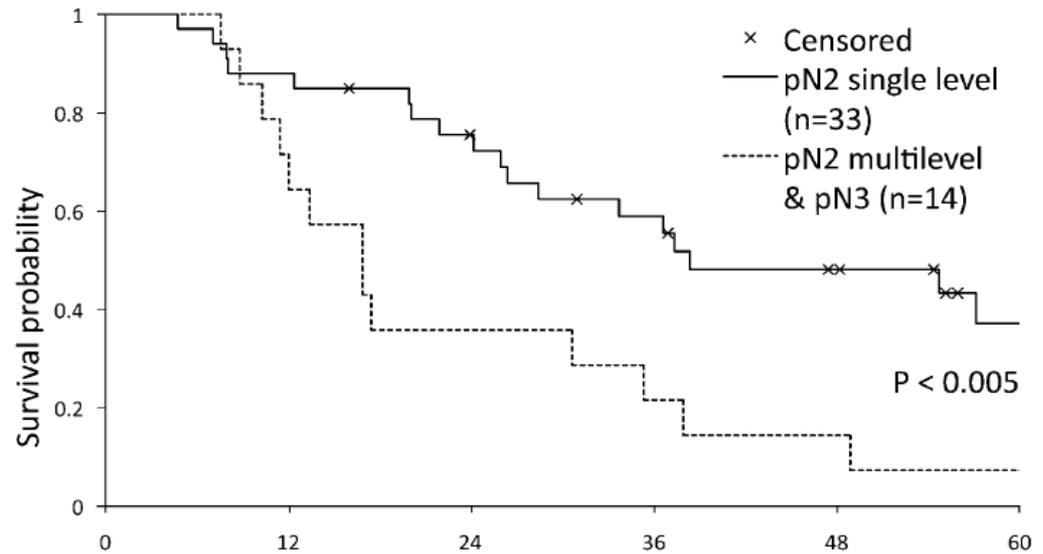


# Stage IIIA (N2)

- involvement of single/ multiple stations
- +/- microscopic / full thickness / transcapsular



## Induction CT (n=47)



Decaluwe et al. EJCTS 2009

# Subsets of Stage IIIA (N2)



**IIIA<sub>1</sub>**

Incidental nodal metastases found on final pathologic examination of the resection specimen

---

**IIIA<sub>2</sub>**

Nodal (single station) metastases recognized intraoperatively

---

**IIIA<sub>3</sub>**

Nodal metastases (single or multiple station) recognized by prethoracotomy staging (mediastinoscopy, other nodal biopsy, or PET scan)

---

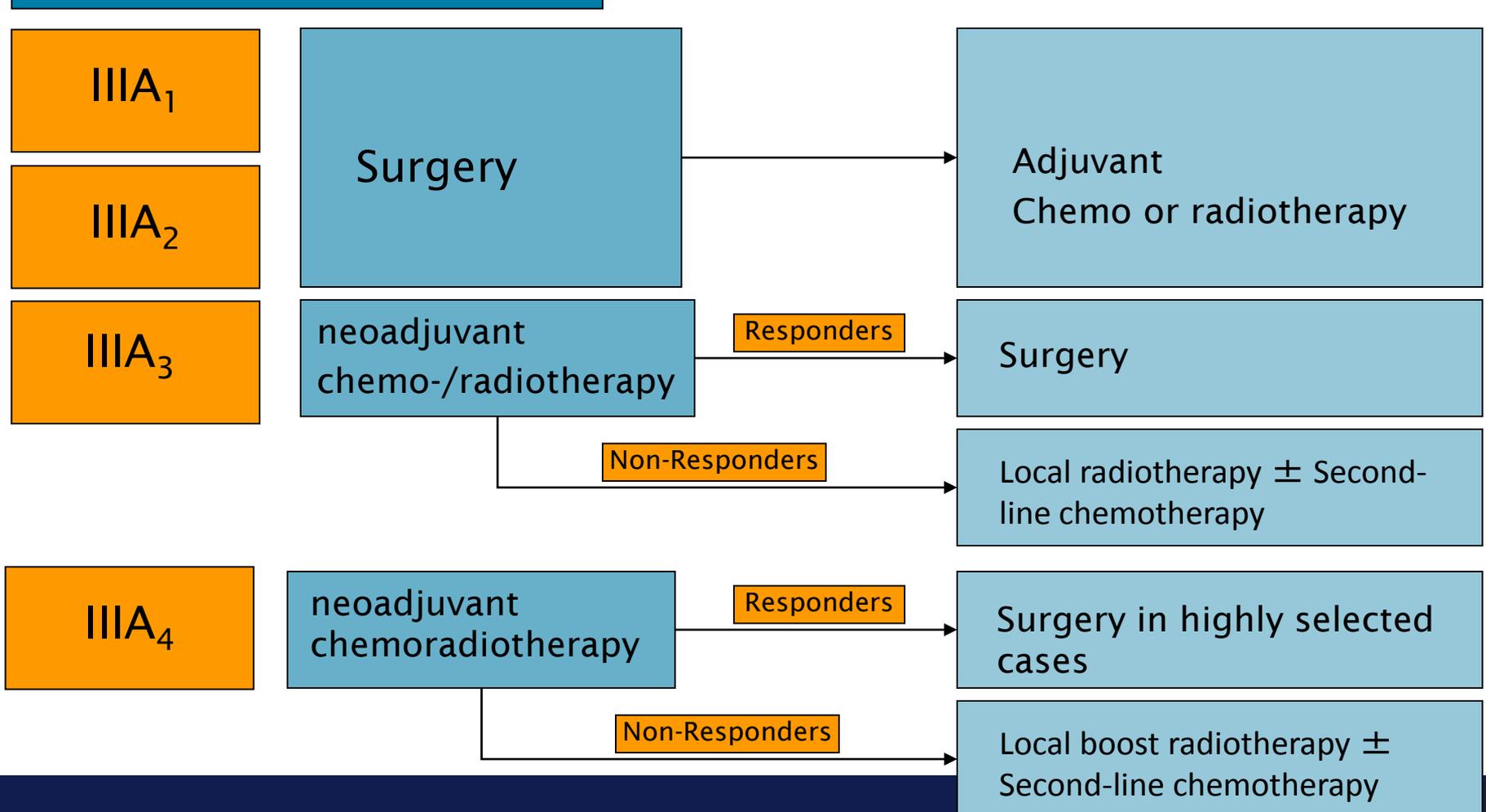
**IIIA<sub>4</sub>**

Bulky or fixed multistation N2 disease

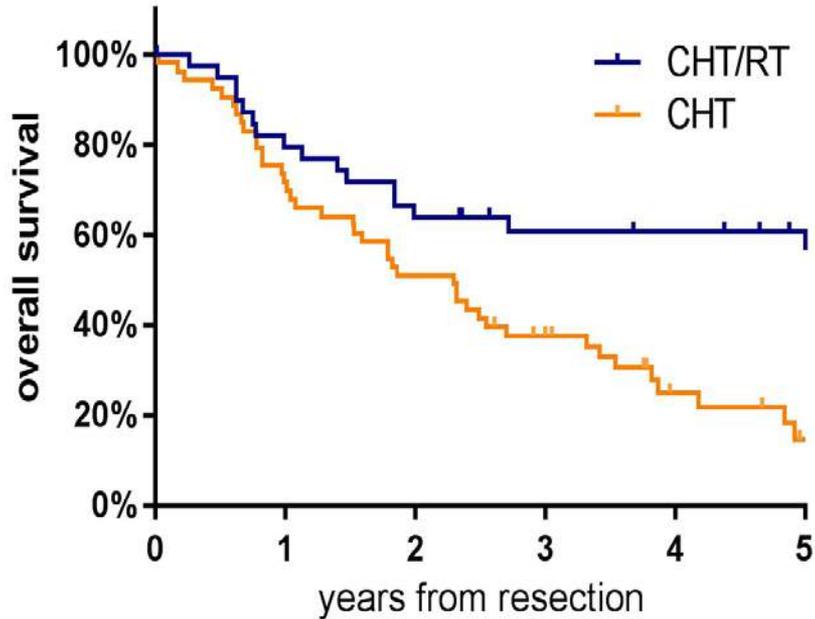
Robinson LA, et al *Chest* 2003

# Surgery for N2 positive NSCLC

## Stage IIIA: Based on N2

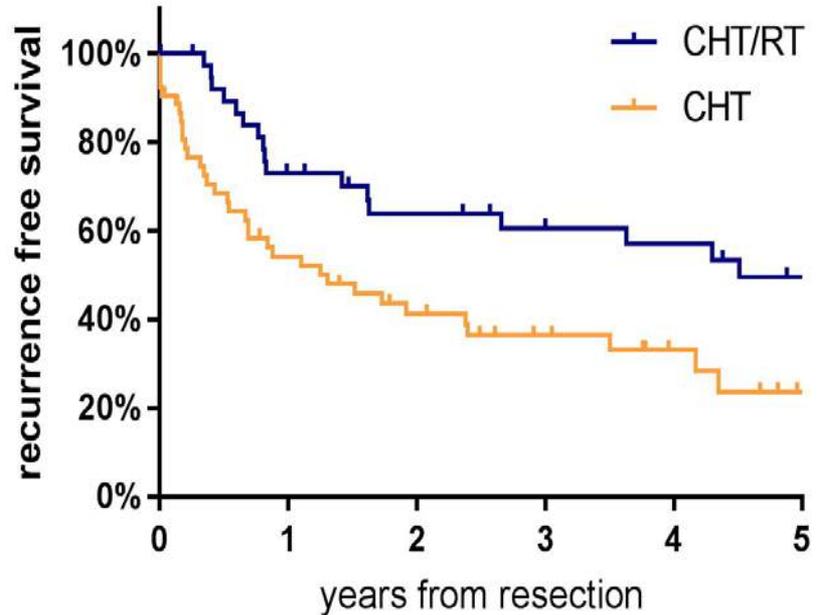


# Results – MUV



Median OS  
82.0 vs 29.0 months

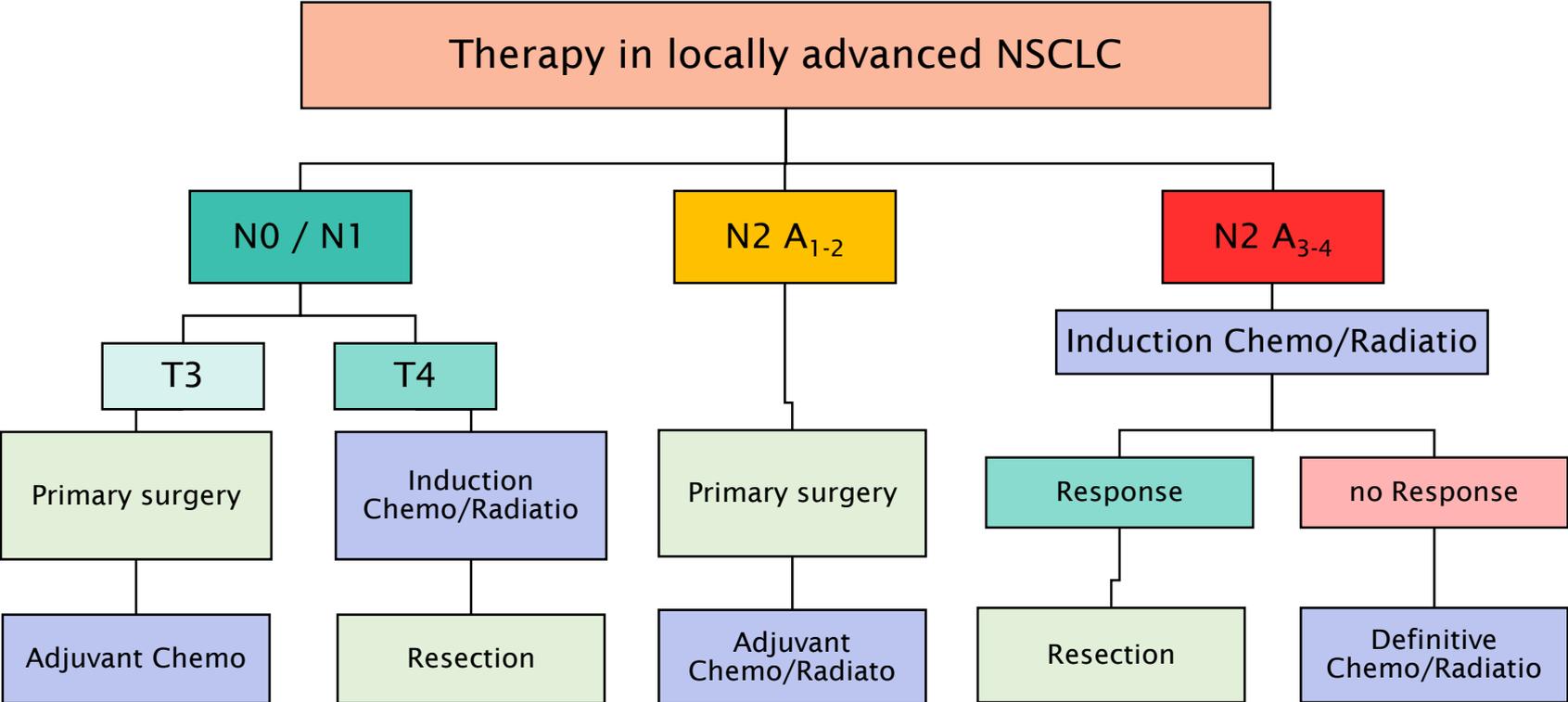
$p=0.003$



Median RFS  
54.8 vs 15.3 months

$p= 0.019$

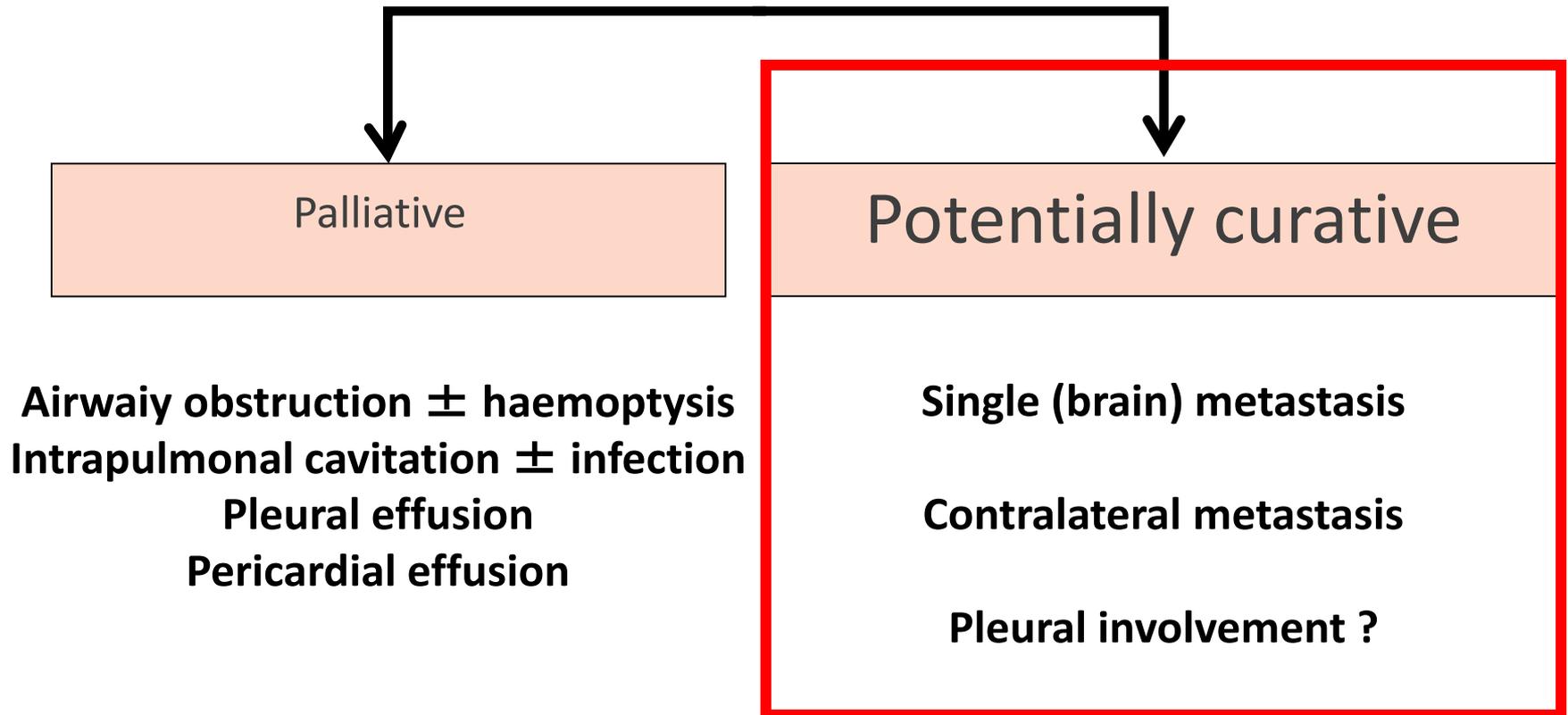
# Proposed algorithm – Vienna protocol



# Surgery for oligometastatic disease & palliative surgical options



# Surgery Stage IV NSCLC



# Metastatic non-small-cell lung cancer: ESMO Clinical Practice Guidelines for diagnosis, treatment and follow-up

G. D'Addario<sup>1</sup>, M. Früh<sup>2</sup>, M. Reck<sup>3</sup>, P. Baumann<sup>4</sup>, W. Klepetko<sup>5</sup> & E. Felip<sup>6</sup>  
On behalf of the ESMO Guidelines Working Group\*

## isolated adrenal metastasis

Systemic chemotherapy is recommended. In selected fit patients adrenalectomy can be considered, if lung disease is resectable as well.

*Annals of Oncology* 21 (Supplement 5): v116–v119, 2010

## lung

Solitary lesions in the contralateral lung should be considered as secondary primary and treated with curative intention if both tumours are potentially curable.

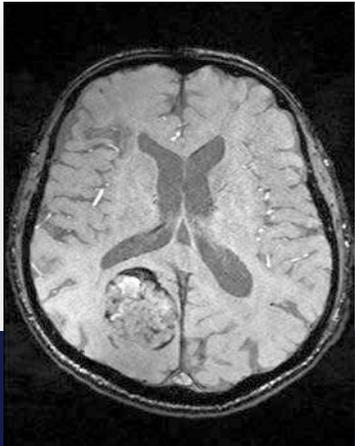
## solitary brain metastasis

- Resection or stereotactic radiosurgery (SRS) are the primary alternatives.
- If the primary tumour is resectable (i.e. T1–3 N0–1): surgery with or without chemotherapy is an option in highly selected, fit patients. Alternatively, radiotherapy or chemoradiation is an option in selected patients with localized thoracic disease. In other patients chemotherapy is recommended [III, C].

# NSCLC with Single brain metastasis: Metaanalysis

153 relevant papers 1035 patients

- ✓ **Curative intent group**      median survival      23,12 ±3,3 mo.  
1y and 5y survival      63,9 ±5,6% and      18 ±5,7%
- ✓ **Palliative group**              median survival      10,3 +/- 2.9 mo.  
1y and 5y survival      35,3 +/- 3,8 % and 0 %



*A. Modi, HA Vohra, DF Weeden. Does surgery for primary NSCLC and cerebral metastasis have any impact on survival? ICVTS 2009; 8:467-73.*

# NSCLC with Single brain metastasis: Metaanalysis

**In absence of mediastinal LN involvement combined surgical resection improved prognosis.**

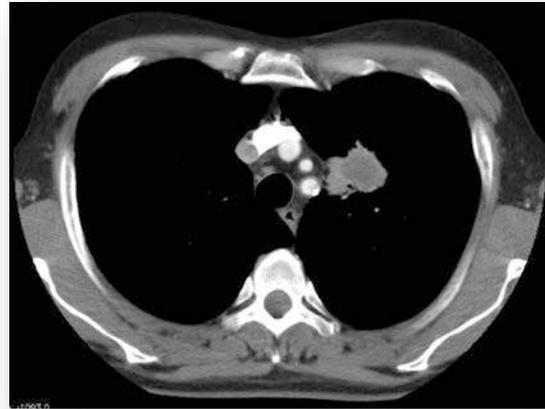
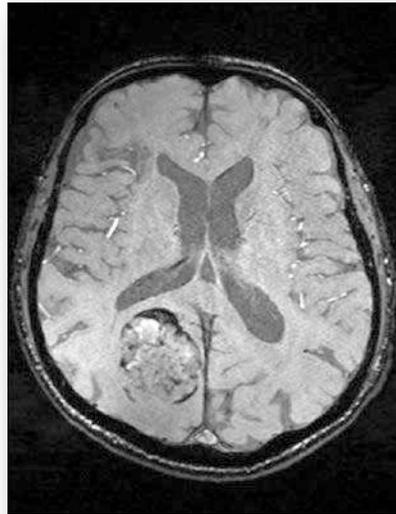


## **Parameters for good prognosis:**

- \* Adenocarcinoma
- low CEA levels at presentation
- high Karnofsky performance score
- response to induction CR

*A. Modi, HA Vohra, DF Weeden. Does surgery for primary NSCLC and cerebral metastasis have any impact on survival? ICVTS 2009; 8:467-73.*

# NSCLC with single brain metastasis



**Limited surgical  
resection  
+ CX**



**Gamma Knife  
Stereotactic Rx  
Surgical removal**



**Definitive  
CX  
or CX/Rx**

# Surgery for oligometastatic NSCLC: long-term results from a single center experience

N=53

1997-2010

Majority: brain, adrenal

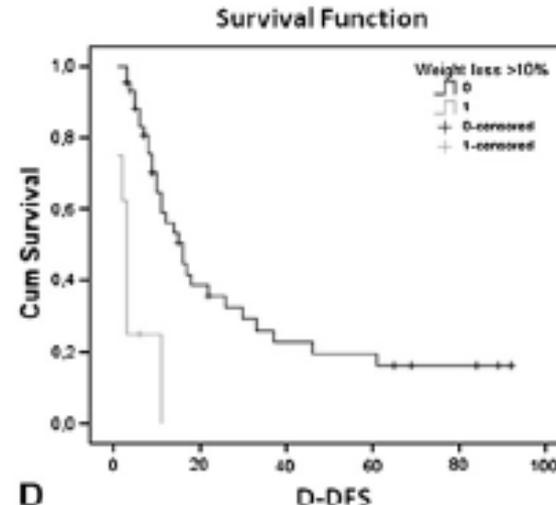
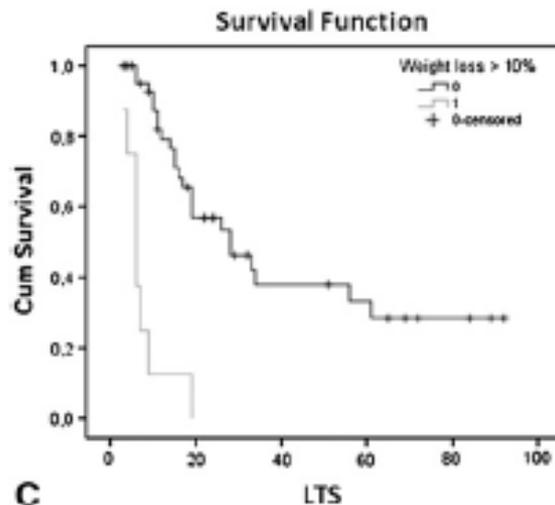
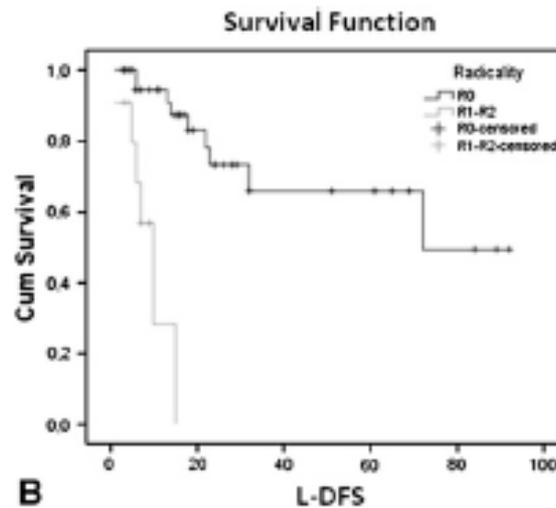
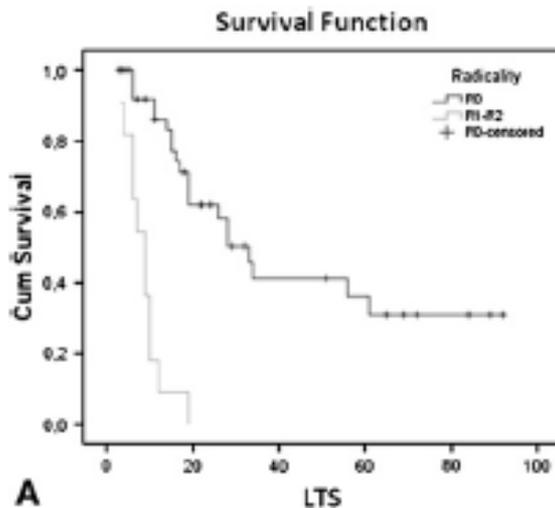
(n=47)

feasible and safe

In combination with  
multimodality protocols

Good survival:

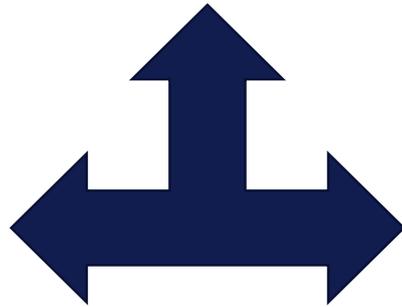
- Complete resection of primary
- Radical control of distant met
- Weight loss – prognostic factor



# NSCLC with contralateral lesion

**Contralateral  
metastasis**

**Multifocal tumor**



**Secondary tumor with  
different histology**

1. Histological proof mandatory
2. Genetic analysis
3. Extent of surgical resection?

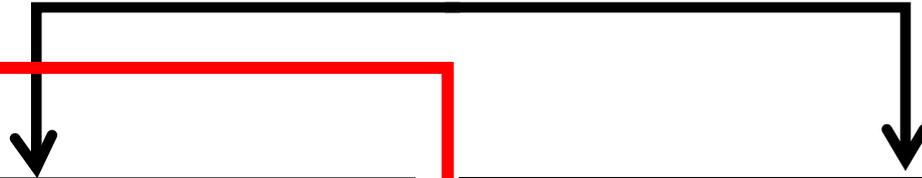


# Synchronous bilateral lung cancer

- ✓ Selected patients with bilateral lung cancer benefit from an aggressive approach
- ✓ patients with a single contralateral lesion should not be treated as disseminated disease – Stage IV
- ✓ Bilateral resection should be considered in otherwise fit patients

*P de Leyn, Tony Lerut. Survival after resection of synchronous bilateral lung cancer. EJCTS 2008 34:1215-22.*

# Surgery Stage IV NSCLC



Palliative

**Airway obstruction ± haemoptysis**  
**Intrapulmonary cavitation ± infection**  
**Pleural effusion**  
**Pericardial effusion**

Potentially curative

**Single (brain) metastasis**  
**Contralateral metastasis**  
**Pleural involvement ?**

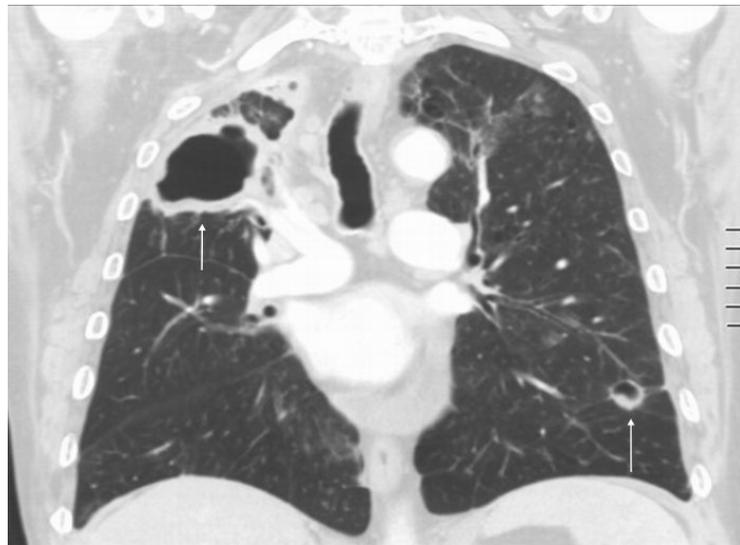
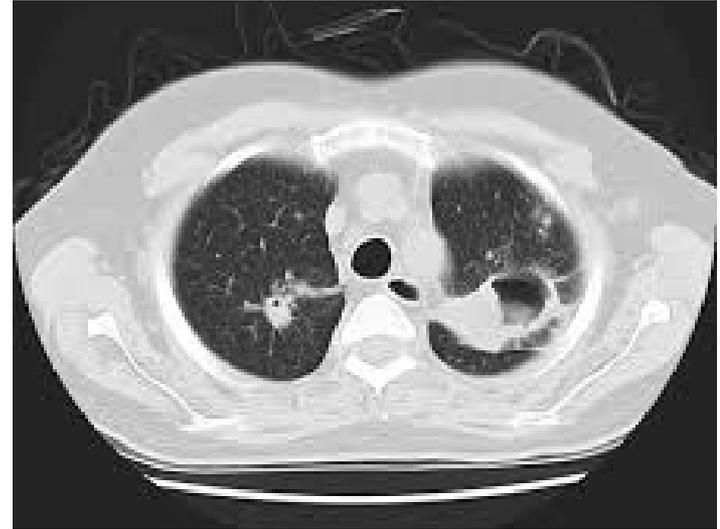
# Palliative Lung resections

- Patients with advanced-stage lung cancer (metastatic or locally-advanced) under normal circumstances not being considered for surgery
  - massive haemoptysis
  - large cavitated or infected tumour
  - no other treatment option after chemo and/or radiotherapy
  - tumors compressing: vertebral body, trachea, great vessels
  - tumors with chest wall infiltration causing pain

precluding safe administration of palliative chemotherapy due to the risk of sepsis or tumor perforation

surgical intervention seems justified if patient has a life expectancy of >3 months

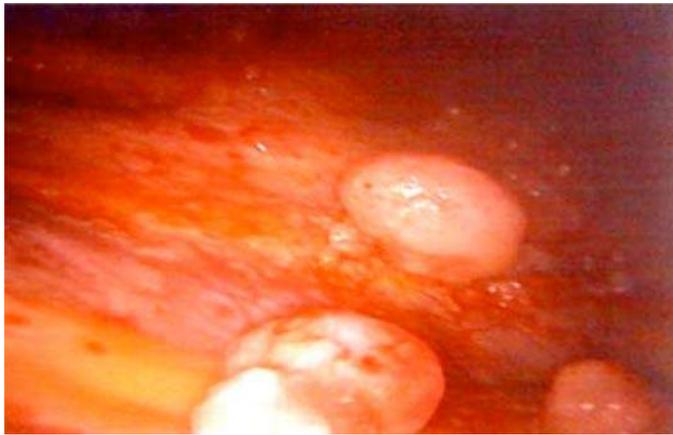
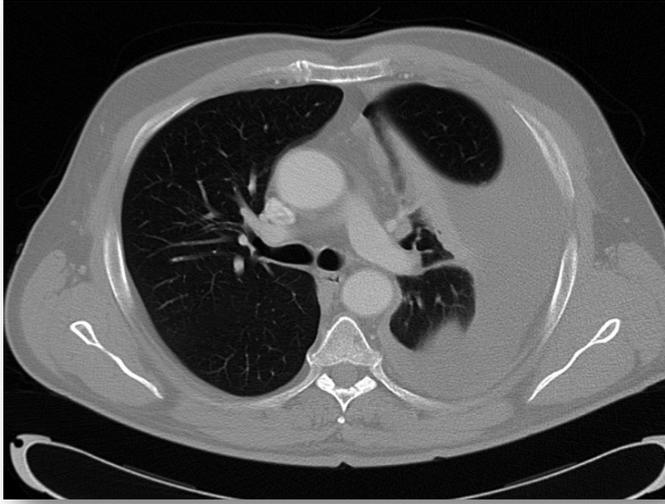
# Typical scenarios



# Malignant pleural effusion

- Malignant pleural effusion is staged M1a in the new TNM classification.
- very common problem in thoracic surgical practice and third common cause of dyspnea in lung cancer patients
- Surgical pleurodesis slightly more successful than application of talc slurry with 75–100% success rate
- In patients fit for a surgical procedure, a videothoracoscopy under general anaesthesia, can be offered to drain the effusion, obtain multiple pleural biopsies for histopathology and mutational analysis and to perform pleurodesis

# Examples



# Other options than VATS

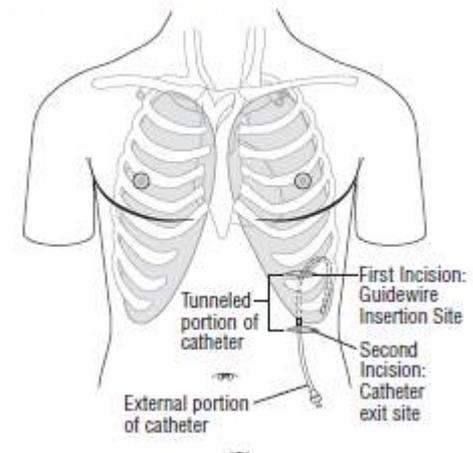
## Thoracoscopic Talc Versus Tunneled Pleural Catheters for Palliation of Malignant Pleural Effusions

Ben M. Hunt, MD, Alexander S. Farivar, MD, Eric Vallières, MD, Brian E. Louie, MD, Ralph W. Aye, MD, Eva E. Flores, LPN, and Jed A. Gorden, MD

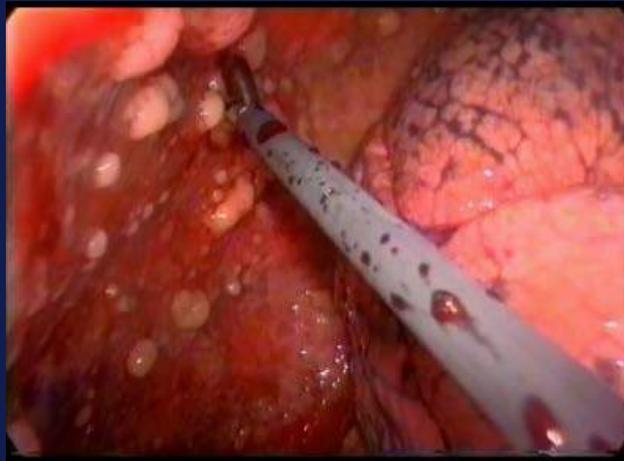
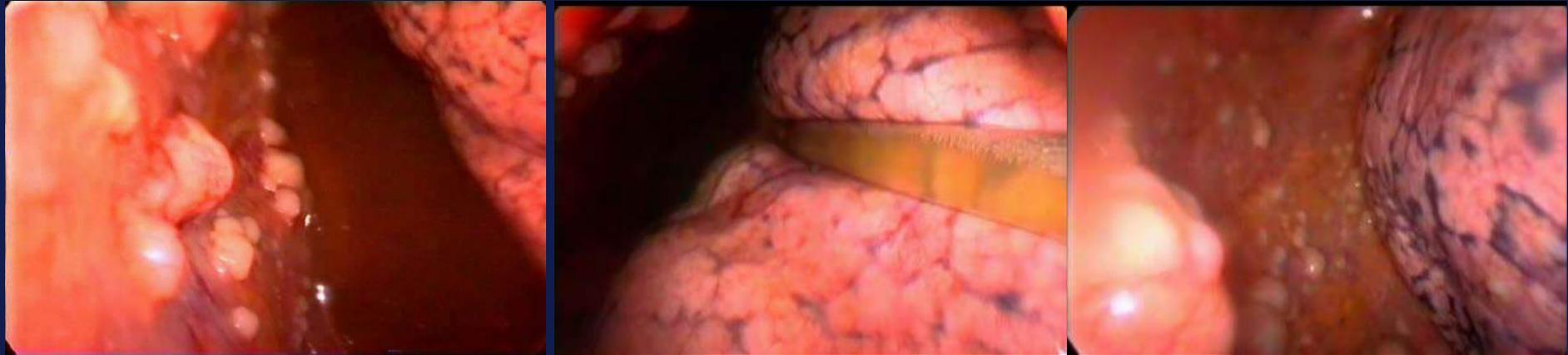
Division of Thoracic Surgery and Interventional Pulmonology, Center for Pleural Diseases, Swedish Cancer Institute, Swedish Medical Center, Seattle, Washington



### Suggested Placement Procedure



# VATS : biopsy and talc pleurodesis



**Obtains diagnosis in combination with VATS biopsy (histology, Staging)  
Therapeutic/palliative for persisting pleural effusion**

# Pericardial window



Moore D et al. Subxiphoid pericardial drainage for pericardial tamponade. J Thorac Cardiovasc Surg. 1995 Mar;109(3):546-51; discussion 551-2.  
[http://www.ctsnet.org/sections/clinicalresources/thoracic/expert\\_tech-32](http://www.ctsnet.org/sections/clinicalresources/thoracic/expert_tech-32)

# Surgery for small cell lung cancer

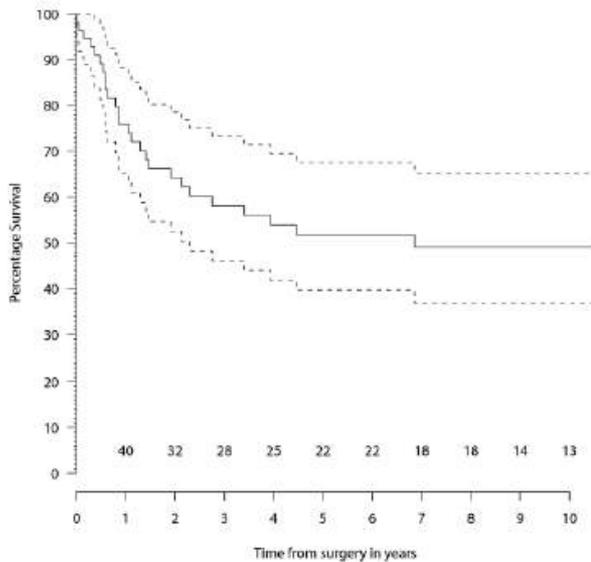


# The Role of Surgery in the Treatment of Limited Disease Small Cell Lung Cancer

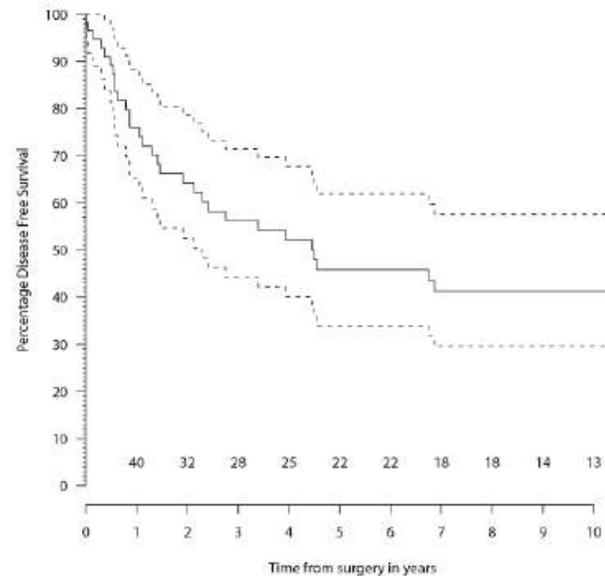
## *Time to Reevaluate*

*Eric Lim, FRCS (C-Th), Elizabeth Belcher, FRCS,*

*Yoon Khoong Yap, MRCS, Andrew G. Nicholson, FRCPath, and Peter Goldstraw, FRCS*



**FIGURE 1.** Overall survival (95% confidence interval) after lung resection for small cell lung cancer. Numbers at risk are presented per year.

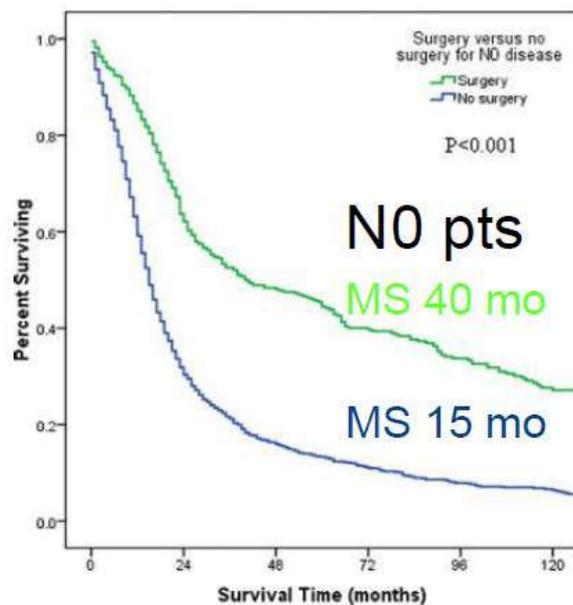


**FIGURE 2.** Disease free survival (95% confidence interval) after lung resection for small cell lung cancer. Numbers at risk are presented per year.

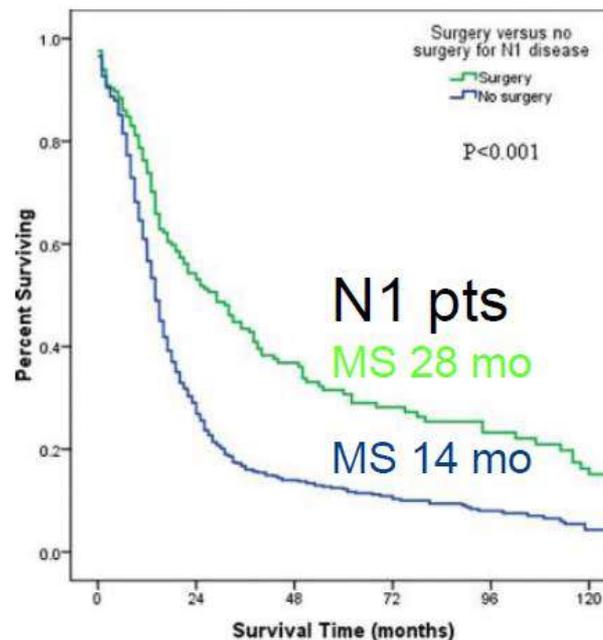
**n = 59**  
**Stage IA – IIIB**  
**1y surv: 76%**  
**5y surv: 52%**

**1y DFS: 76%**  
**5y DFS: 46%**

# Surgery vs. no surgery



Number at Risk	0	2	4	6	10years
Surgery	435	275	173	122	54
No Surgery	1816	577	214	102	34



Number at Risk	0	2	4	6	10years
Surgery	164	89	50	32	14
No Surgery	638	184	70	39	7

Schreiber et al. Survival outcomes with the use of surgery in LD SCLC: should its role be re-evaluated? Cancer 2010.

# Tumor stages

NSCLC						
„limited disease“					„advanced disease“	
N0	N0	N1	N1	N2	N3	Any
IA	IB	IIA	IIB	IIIA	IIIB	IV
Surgery					No surgery	

SCLC						
„limited disease“				„advanced disease“		
N0	N0	N1	N1	N2	N3	Any
IA	IB	IIA	IIB	IIIA	IIIB	IV
Surgery				No surgery		

# Conclusions Surgery in SCLC

- R0 resection essential
- Surgery only recommended for T1-2, N0-N1 (limited disease)
- Evidence is limited
- Gold standard of treatment not yet defined
- Further prospective trials needed

# Proposed classification

<b>Incidental SCLC</b>	SCLC incidentally found during surgery	-> <b>Primary resection</b>
<b>Verified SCLC Limited disease (N0)</b>	Single nodule stage I (N0) SCLC	-> <b>Primary resection, adjuvant treatment</b>
<b>Verified SCLC Limited disease (N1)</b>	Stage IIA – IIB (N1) SCLC Complete response after induction	-> <b>Resection after induction CHT/RT</b>
<b>Verified SCLC Advanced disease (N2/3)</b>	SCLC with proven N2/N3 disease	-> <b>CHT/RT</b>

# Summary: Surgery for lung cancer

Early stages	Lobectomy (VATS), sublobar resections, parenchyma sparing options
Local advanced stages	Multimodality treatment including surgery in selected cases
Oligometastatic disease	Combined Surgery and multimodality treatment reasonable in selected cases
Palliation and control of symptoms	Talc -Pleurodesis, PleurX Cath. Impl., Salvage resection
SCLC	Good long term results for radically resected early SCLC

# **Surgery for malignant pleural mesothelioma**

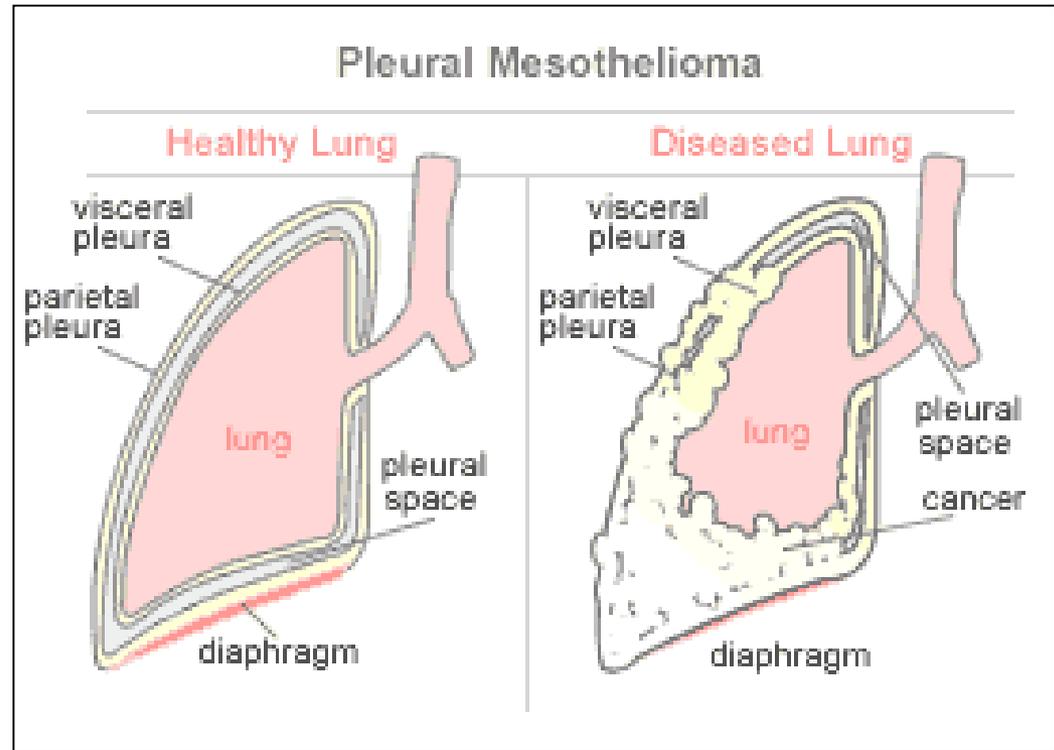


# Outline

- Overview
- Diagnosis and Staging
- Surgical procedures
- Multimodality treatment
- Intracavitary treatment options
- New approaches
- Summary

# Malignant Pleural Mesothelioma

- Etiology: 50-70% pos. anamnesis of asbestos exposure
- Latency periode between exposure and diagnosis: approx. 20-35 y.
- In early stage, MPM forms multiple small nodules mostly in parietal pleura
- In advanced stage, MPM is characterized by thick tumor gross surrounding the whole lung
- Mean survival without therapy: 6-7 Mo
- Trimodality treatment: 11-22 Mo.
- 5 year survival rate: 5%



Source: <http://mesoblog.org/mesothelioma/pleural-mesothelioma.php>

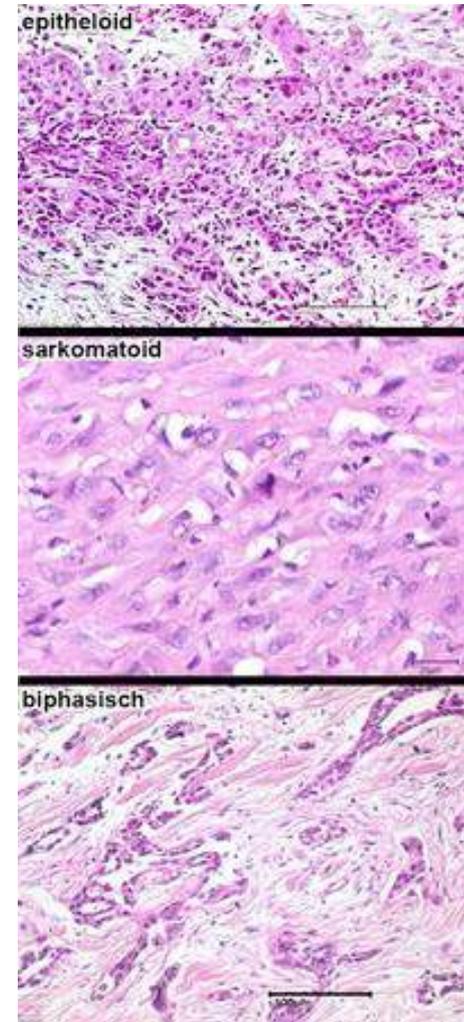
# Epidemiology

<b>Country or Region</b>	<b>Incidence <i>cases/million population</i></b>	<b>Predicted Peak Years</b>	<b>Predicted No. of Deaths in Next 40 Yr†</b>	<b>Predicted Cost‡ <i>billions of U.S. dollars</i></b>
United States	15	2004	72,000	200
Europe	18 <sup>§</sup>	2015–2020	250,000	80
Japan	7	2025	103,000	—
Australia	40	2015	30,000	5–10

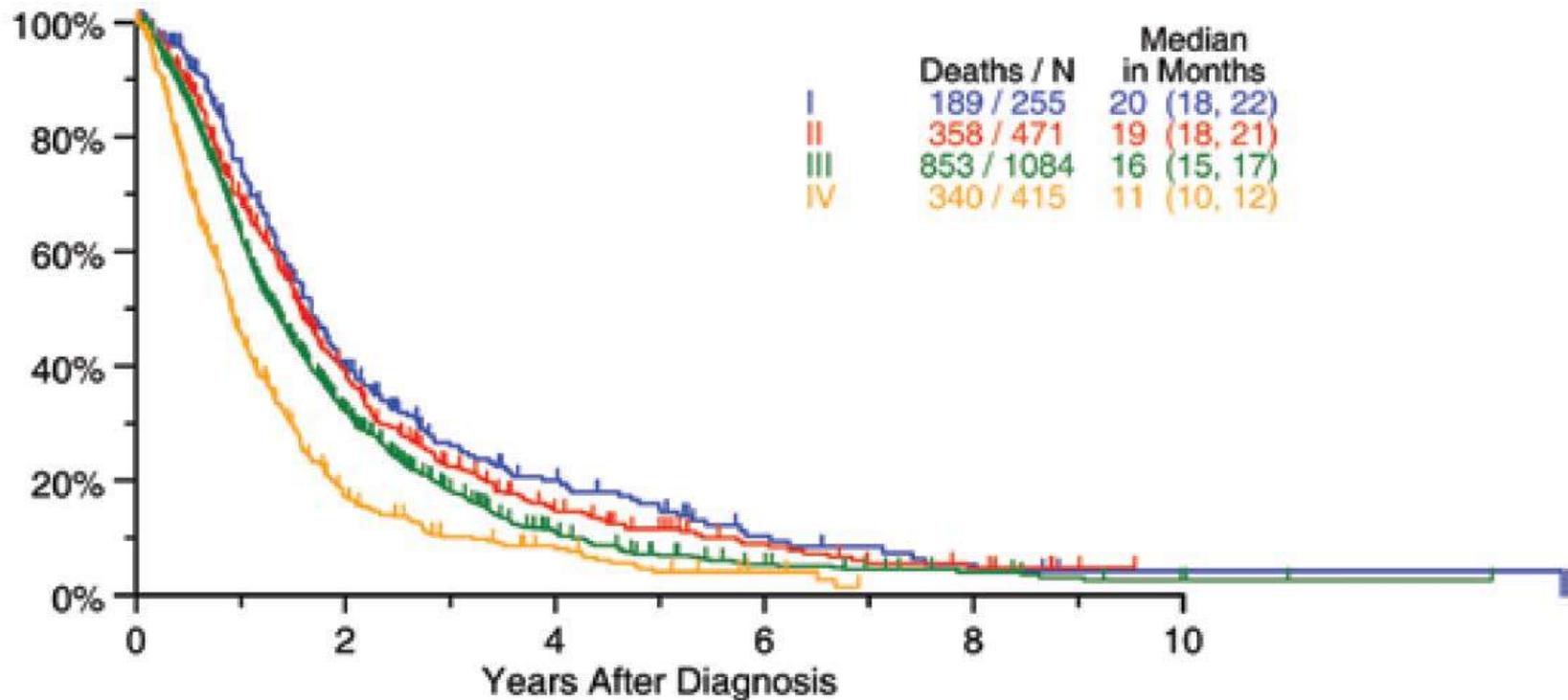
Bruce W.S. Robinson and Richard A. Lake, *N Engl J Med* 2005; 353: 1591-603

# Histological characteristics

- First description of MPM in 1767
- First subclassification in 1931
- Immunohistochemical examination as a gold standard in differentiating MPM from metastatic disease of another primary cancer
- Established markers:  
Calretinin (positive), MOC31 (negative),  
BerEP4 (negative), D2-40 (positiv), TTF-1  
(negativ),  
Cytokeratine (positive) und WT-1  
(positive)
- Histological subtypes:
  - Epithelioid: ~ 50%
  - Sarcomatoid: ~ 25%
  - Biphasic: ~ 25%



# Expected Survival for MPM



Initial analysis of the international association for the study of lung cancer mesothelioma database. JTO 2012 (n=3101)

# Therapy for MPM

- Survival 9-12 months
- Therapy: **nihilism** to **multimodality therapy**
- Chemotherapy Pemetrexed & Cisplatin
- ***Trimodality therapy***
  - Induction chemotherapy
  - Cytoreductive surgery (EPP vs. P/D)
  - Adjuvant Radiotherapy
- Outcome after treatment strongly varies
- Only around 50% are able to complete trimodality therapy
- Benefit of multimodality therapy recently was questioned (**M.A.R.S.-Trial**)



# Prognostic factors

**TABLE 7.** Final Model of Clinical, Pathologic, and Laboratory Variables (n = 550)

	Variable	Hazard Ratio	<i>p</i> Value
Stage	Pathologic stage II vs. I	1.48	0.0802
	Pathologic stage III vs. I	2.2	0.0002
	Pathologic stage IV vs. I	2.49	0.0001
Histology	Other histology vs. epithelial	1.8	<0.0001
Sex	Male vs. female	1.7	0.0006
Age	Age ≥50 vs. younger	1.61	0.012
Treatment	Palliative vs. curative intent	1.67	0.0008
Adjuvant treatment	No vs. yes	1.7	0.0002
Platelets	≥400 vs. <400	1.5	0.0004
WBC	≥15.5 vs. <15.5	2.39	0.0007

WBC, white blood cell count.

Prognostic Variables for Pleural Mesothelioma: A Report from the IASLC Staging Committee

JTO 2014

# Diagnostic algorithm

**Patient:** asbestos exposure, pleural effusion, pain, ...



**CT scan**

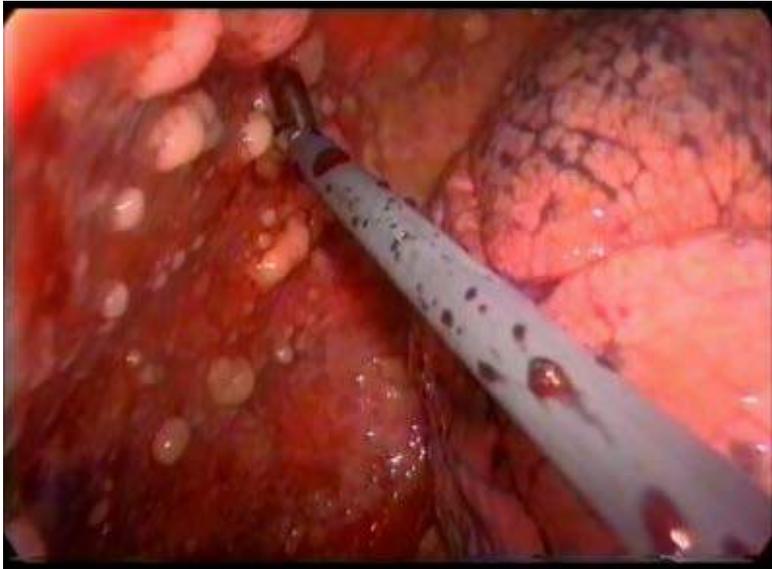
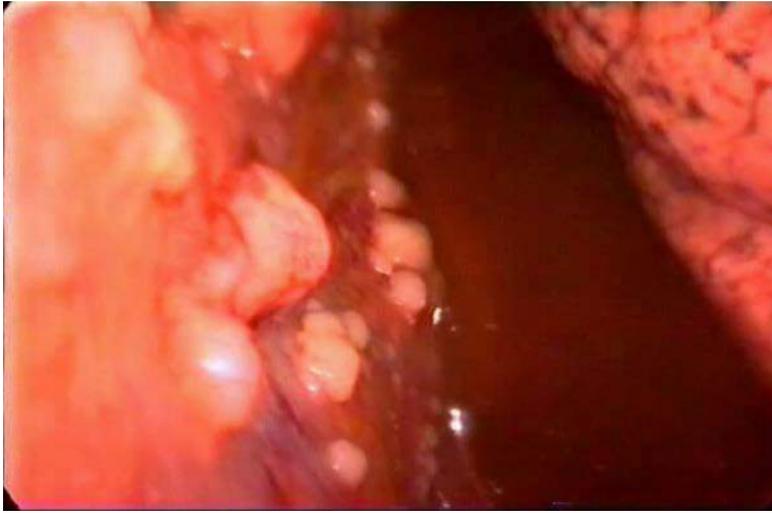


Cytology and/or biopsy negative



**VATS**

# VATS biopsy



# Malignant Pleural Mesothelioma



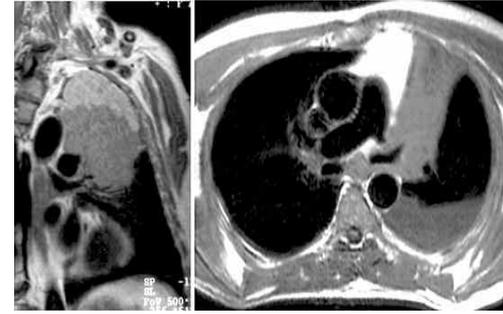
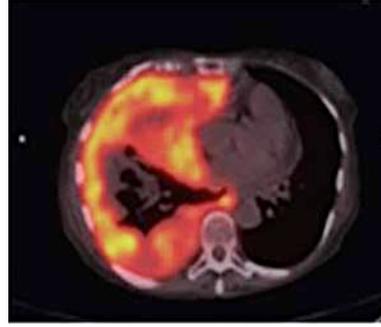
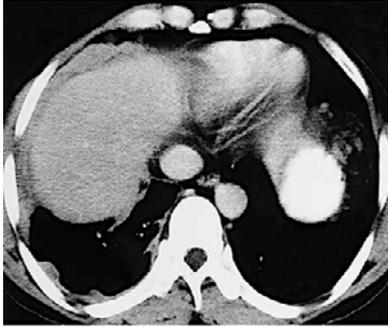
Heterogenous disease



Classification by staging?

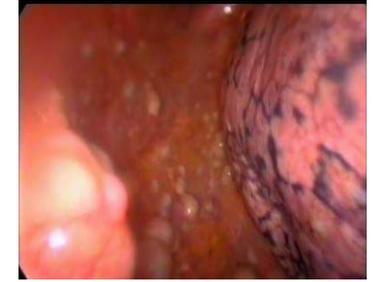
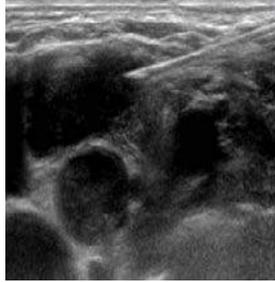
# Staging modalities

- **Non-invasive:** imaging modalities (CT, PET-CT, MRI)



b.

- **Invasive:** ultrasound-guided biopsy, EBUS-TBNA, mediastinoscopy, VATS, laparoscopy



# TNM (7th edition) – T descriptors

## T1a

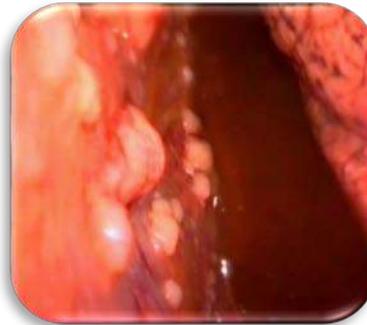
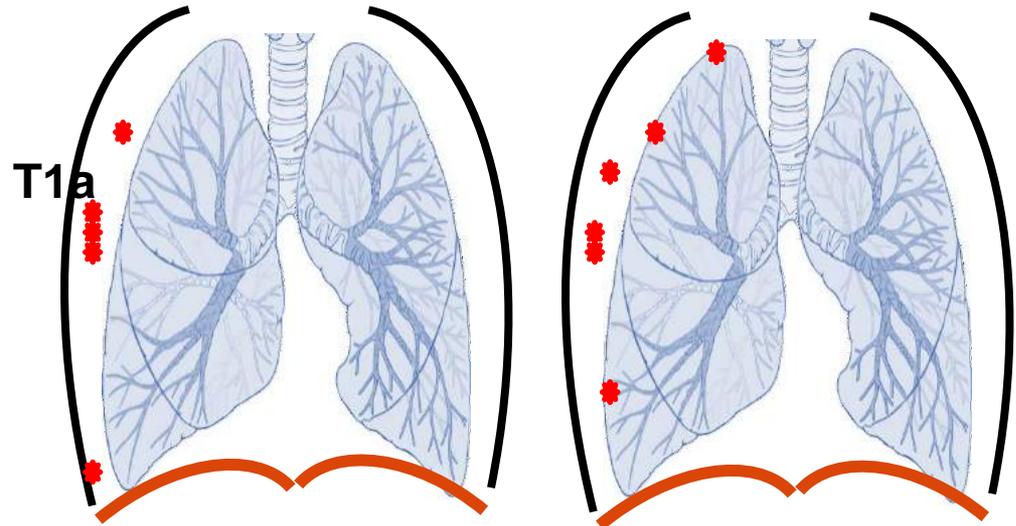
**potentially resectable**

- only parietal pleura
- no visceral or mediastinal pleural involvement

## T1b

**potentially resectable**

- parietal pleura and
- scattered foci of visceral pleural involvement



# TNM (7th edition) – T descriptors

## T2

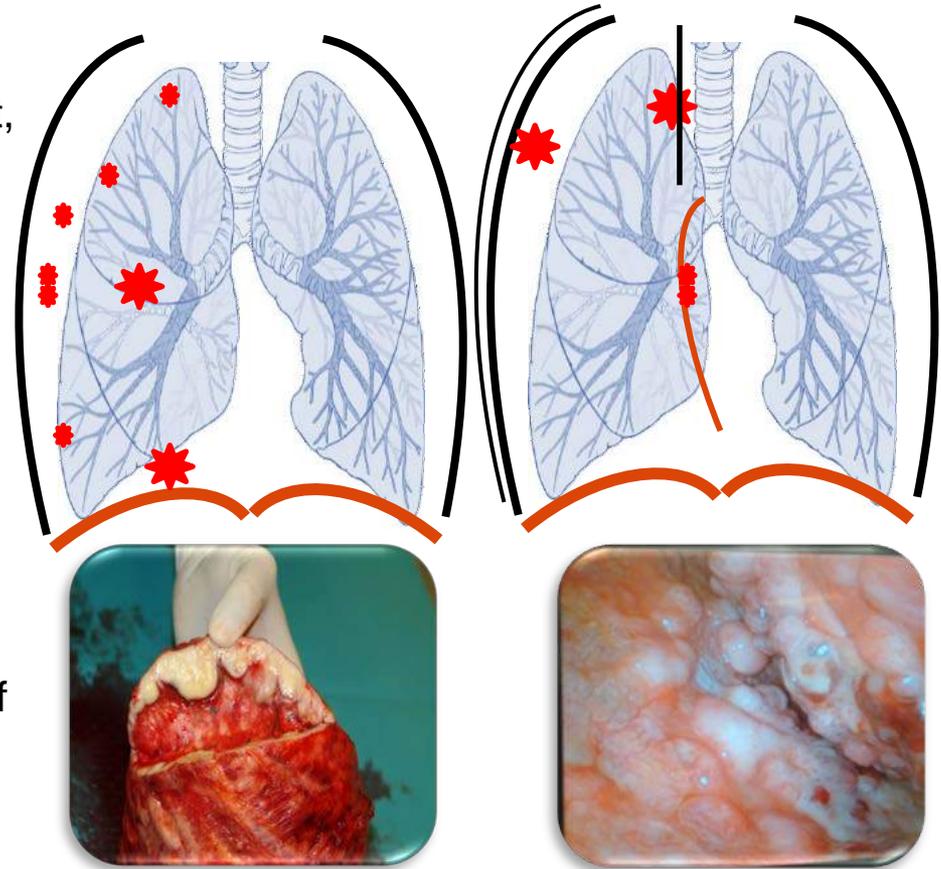
### potentially resectable

- parietal and visceral pleural involvement, and / or
- pulmonary parenchymal involvement and/or
- diaphragmatic muscle involvement

## T3

### potentially resectable

- localised tumour extension into endothoracic fascia and / or
- localised non-transmural involvement of pericardium and / or
- localised extension into mediastinal fat, soft tissue chest wall

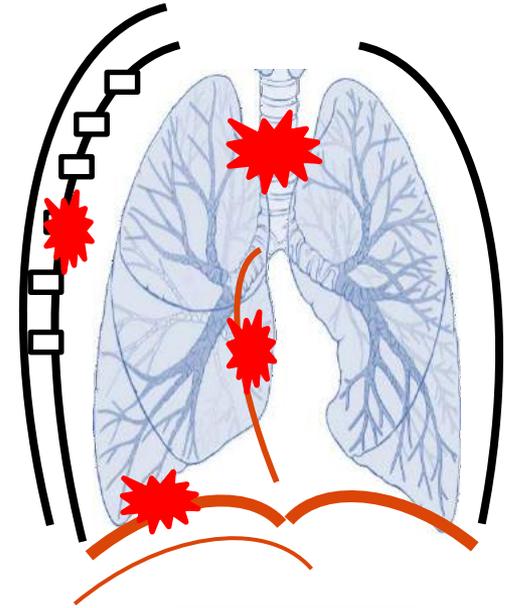


# TNM (7th edition) – T descriptors

## T4

### unresectable

- multiple foci of extension into chest wall
- +/-
  - rib destruction and/or
- extension through diaphragm into peritoneum
- and / or
- extension into contralateral pleura and / or
- extension into mediastinal organs and / or
- extension through pericardium +/- direct extension into heart



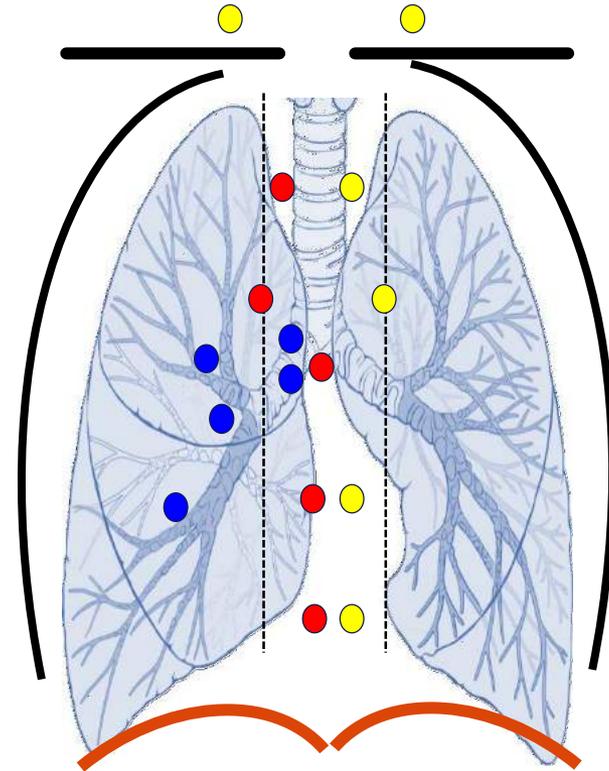
# TNM (7th edition) – N descriptors

**N0:** no evidence of nodal involvement

**N1:** ipsilateral bronchopulmonary or hilar nodal involvement

**N2:** subcarinal or ipsilateral mediastinal / internal mammary or peridiaphragm or pericardial or intercostal nodes

**N3:** contralateral mediastinal / internal mammary nodes, or ipsilateral / contralateral supraclavicular nodes

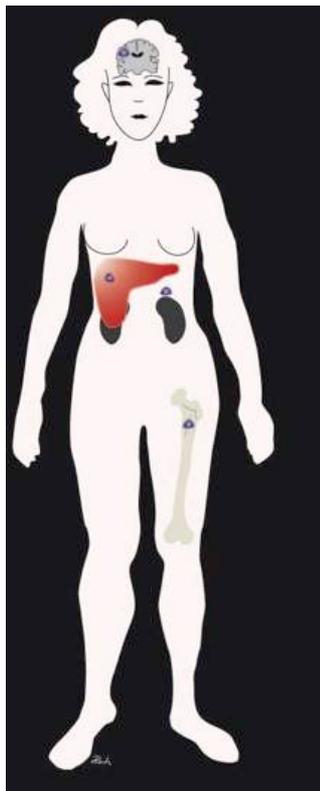


# TNM (7th edition) – M descriptors and stage grouping

**M0:** no evidence of distant metastasis

**M1:** distant metastasis

(contralateral lung (pleura), brain, liver, spleen, thyroid, Bone, extrathoracic LN (other than supraclav.))



Stage	T	N	M
I	T1	N0	M0
IA	T1a	N0	M0
IB	T1b	N0	M0
II	T2	N0	M0
III	T1, T2	N1	M0
	T1, T2	N2	M0
	T3	N0-2	M0
IV	T4	Any N	M0
	Any T	N3	M0
	Any T	Any N	M1

Rusch et al, Chest 1995

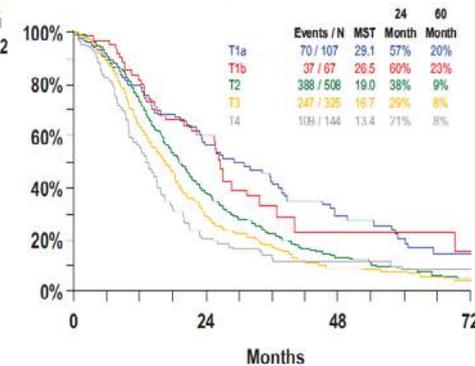
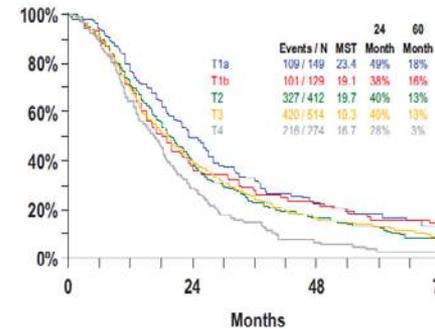
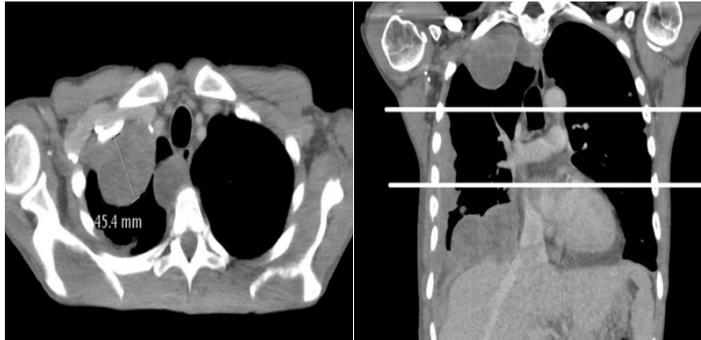
Miller et al, Ann Am Thorac Soc.2014

Finn et al, Chest 2012

# Changes in TNM (8th edition)

## Changes T-descriptors

- Collapse of T1a and T1b into a single T category (Tumor limited to ipsilateral parietal +/- visceral +/- mediastinal +/- diaphragmatic pleura)
- Measurement of pleural tumor thickness (prognostic variable)



- Classification of pleural involvement pattern in minimal, nodular and rind-like (prognostic impact)
- cT better prognosis than pT

# A Multicenter Study of Volumetric Computed Tomography for Staging Malignant Pleural Mesothelioma



Valerie W. Rusch, MD, Ritu Gill, MD, Alan Mitchell, MS, David Naidich, MD, David C. Rice, MB, BCh, Harvey I. Pass, MD, Hedy L. Kindler, MD, Marc De Perrot, MD, MS, and Joseph Friedberg, MD, on behalf of the Malignant Mesothelioma Volumetric CT Study Group\*

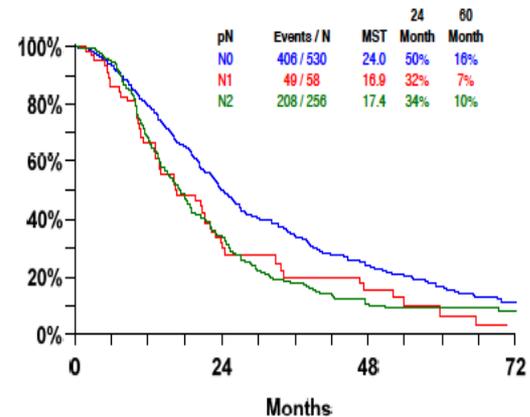
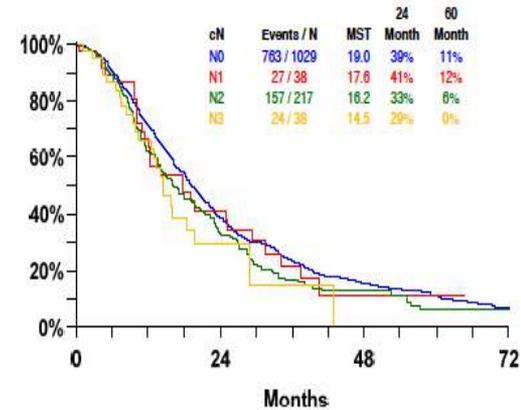
Department of Surgery, Memorial Sloan Kettering Cancer Center, New York, New York; Department of Radiology, Brigham and Women's Hospital, Boston, Massachusetts; Cancer Research and Biostatistics, Seattle, Washington; Department of Radiology, New York University School of Medicine, New York, New York; Department of Surgery, University of Texas MD Anderson Cancer Center, Houston, Texas; Department of Surgery, New York University School of Medicine and Comprehensive Cancer Center, New York, New York; Department of Medicine, The University of Chicago, Chicago, Illinois; Department of Surgery, Toronto General Hospital and Princess Margaret Hospital, Toronto, Ontario, Canada; and Department of Surgery, University of Pennsylvania, Philadelphia, Pennsylvania

# Changes in TNM (8th edition)

## Changes N-descriptors

- Collapse of both clinical and pathological N1 and N2 into a single N category (ipsilateral, intrathoracic) = N1
- Previously N3 reclassified as N2
- Tumor thickness predicts risk of nodal metastasis
- No difference between single vs. multiple stations

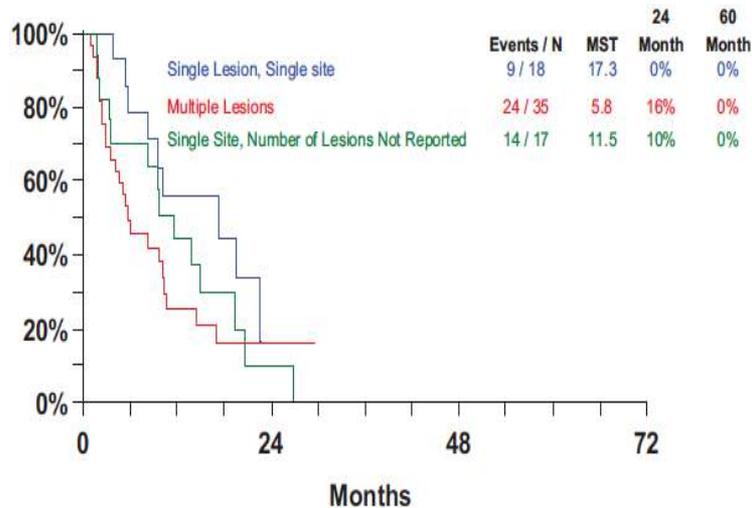
Rice et al, JTO 2016



# Changes in TNM (8th edition)

## Changes M-descriptors

- No changes in M-descriptors
- Better prognosis in patients with only a single metastasis



## Changes in stage groups

- Stage III changed to stage IIIA (T3N1M0) and stage IIIB (T1-3N2M0 and T4anyNM0)

	N0		N1/N2	N1	N3	N2
	v7	v8	v7	v8	v7	v8
T1	I (A,B)	IA	III	II	IV	IIIB
T2	II	IB	III	II	IV	IIIB
T3	II	IB	III	IIIA	IV	IIIB
T4	IV	IIIB	IV	IIIB	IV	IIIB
M1	IV	IV	IV	IV	IV	IV

# Surgical procedures for MPM



# Recommendations for Uniform Definitions of Surgical Techniques for Malignant Pleural Mesothelioma

## *A Consensus Report of the International Association for the Study of Lung Cancer International Staging Committee and the International Mesothelioma Interest Group*

*David Rice, MB, BCh,\* Valerie Rusch, MD,† Harvey Pass, MD,‡ Hisao Asamura, MD,§ Takashi Nakano, MD,|| John Edwards, MB, ChB, PhD,¶ Dorothy J. Giroux, MS,# Seiki Hasegawa, MD,\*\* Kemp H. Kernstine, MD, PhD,†† David Waller, MD,‡‡ and Ramon Rami-Porta, MD§§, on behalf of the International Association for the Study of Lung Cancer International Staging Committee and the International Mesothelioma Interest Group*

- 
- Online survey of surgeons experienced in MPM
  - 62 answers, 39 centers, 14 nations

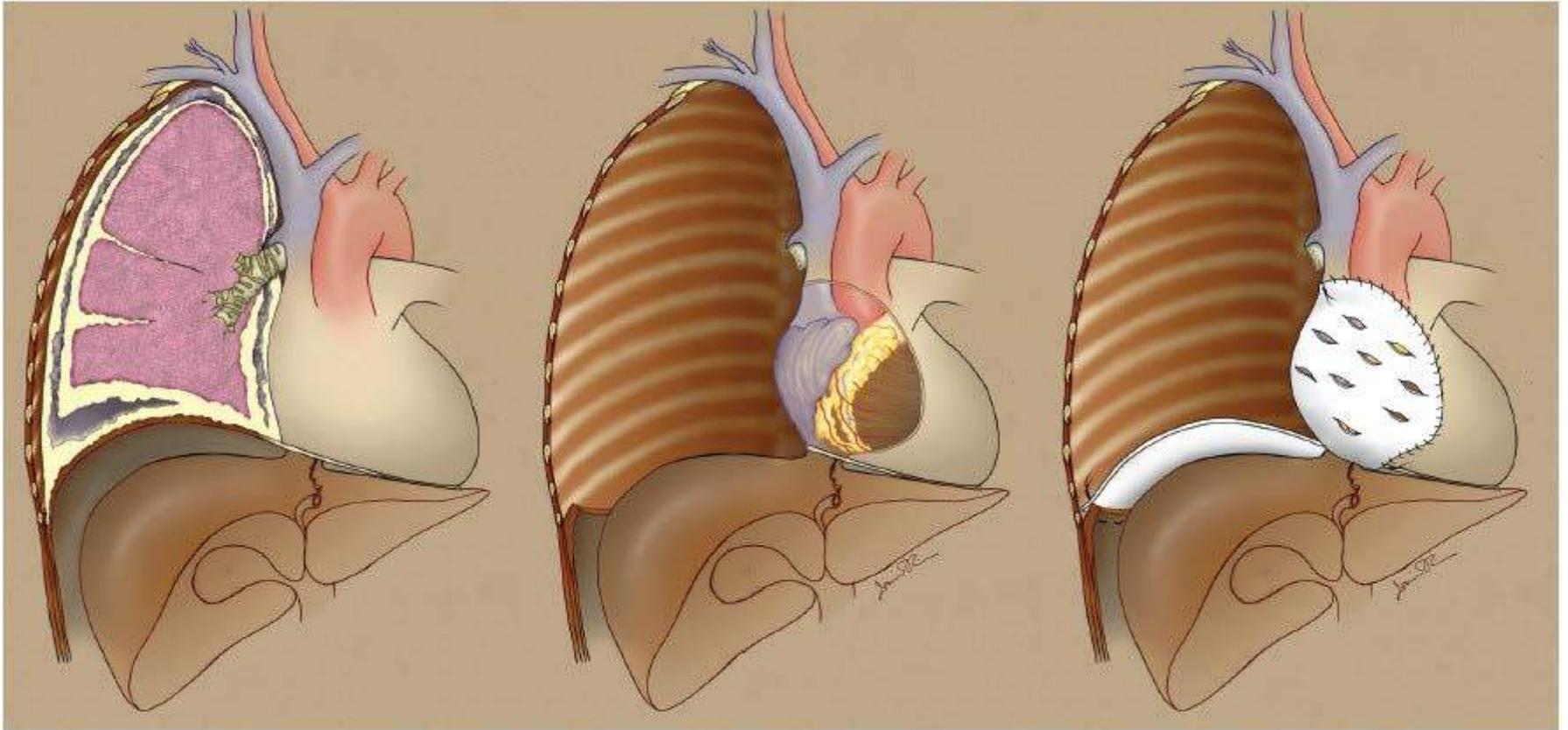
# Definitions

- **EPP:** En bloc resection of the lung incl. parietal and visceral pleurae and pericardium/diaphragm
- **Extended P/D:** Parietal and visceral pleurectomy with resection of pericardium and diaphragm
- **P/D:** Parietal and visceral pleurectomy without resection of pericardium and diaphragm
- **Partial pleurectomy:** Resection of parts of parietal and/or visceral pleura for diagnostic or palliative purposis, no macroscopic complete resection

# Surgery for MPM

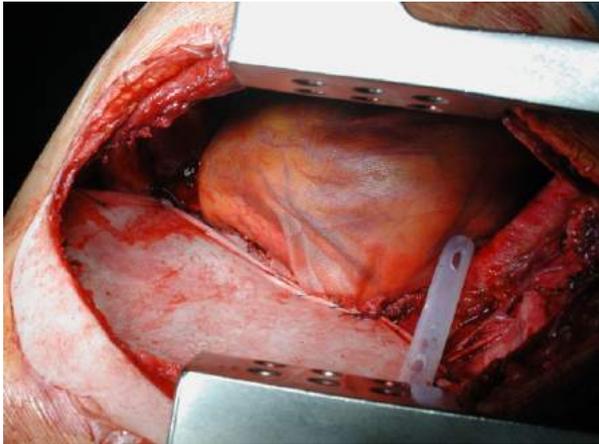
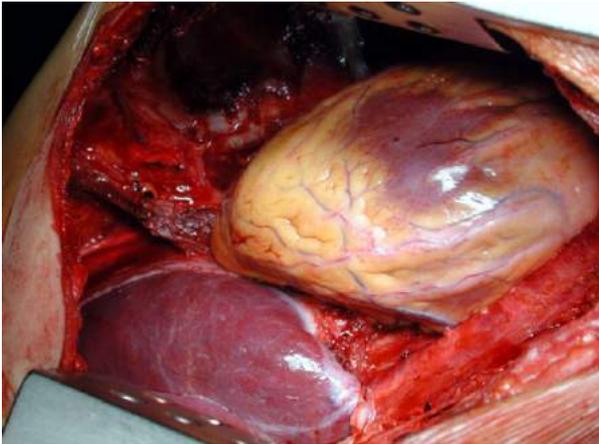
**Surgical cytoreduction is indicated when macroscopic complete resection is deemed achievable**

# EPP



Rice D. Standardizing surgical treatment in malignant pleural mesothelioma. *Ann Cardiothorac Surg* 2012;1(4):497-501.

# EPP



# EPP: Indications

- Epithelial subtype and intrapleural localized disease with chance of a R0-Resection, regardless of LNN status (except N3)
- Sarcomatoid and mixed subtypes only in N0 or N1
- Only in combination with a multimodality treatment approach
- Good performance status (Karnofsky > 80%)
- Predicted postoperative FEV1 > 1 L

## Summary of Prognostic Factors and Patient Selection for Extrapleural Pneumonectomy in the Treatment of Malignant Pleural Mesothelioma

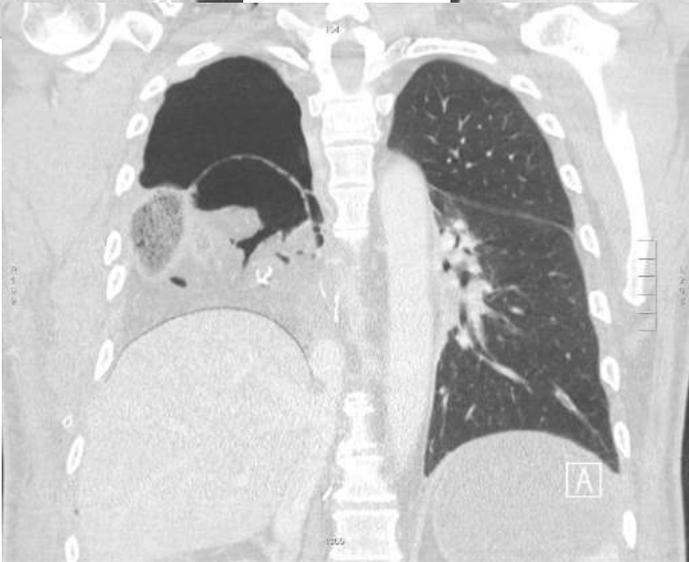
- 17 studies  
 - 13 centers

Christopher Cao, BSc (Med), MBBS, Tristan D. Yan, BSc (Med), MBBS, PhD, Paul G. Bannon, MBBS, PhD, FRACS, and Brian C. McCaughan, MBBS, FRACS

**TABLE 2** Summary of significant and nonsignificant prognostic factors on survival from referenced studies on extrapleural pneumonectomy for patients with malignant pleural mesothelioma

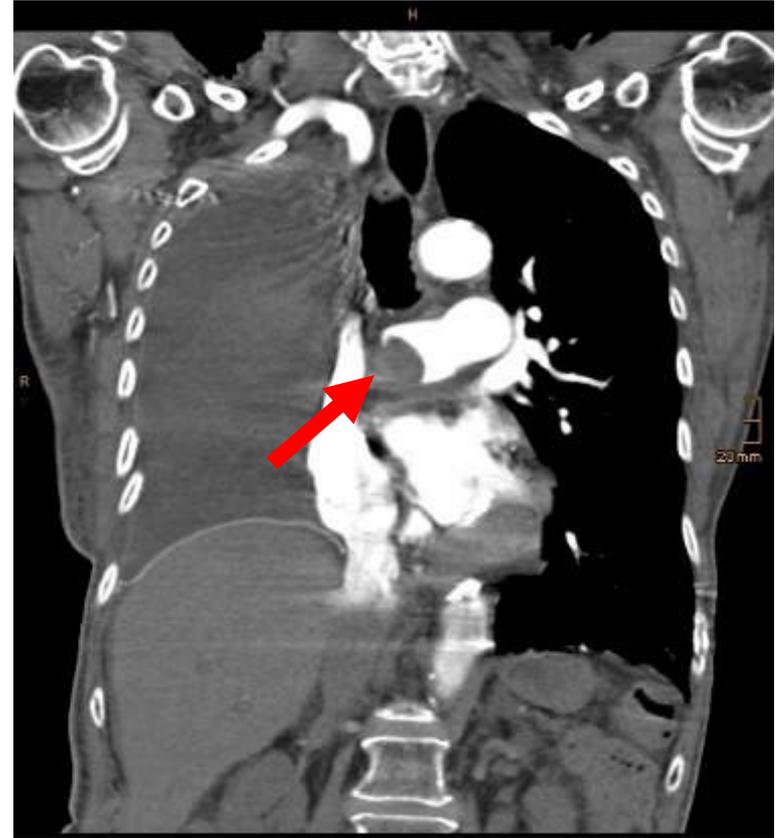
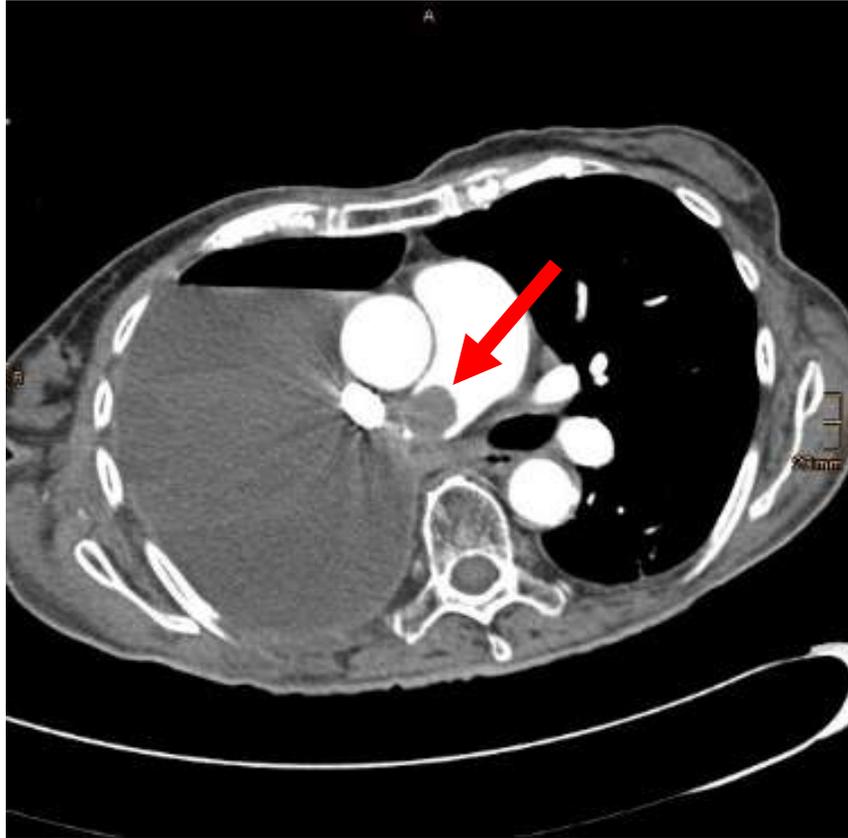
Variables	Significant	Nonsignificant
<b>Quantitative factors</b>		
Staging system	7, 8, 9, 10, 11, 18, 22	6, 13, 16, 21
T stage	7, 17*, 20	14, 16, 18, 21
Nodal involvement	7, 10, 11*, 12*, 13*, 14*, 16*, 18, 19*, 21*, 22	6, 17, 20
Laterality		8, 9, 11, 18, 19, 21
Histopathology	8, 9, 10, 11*, 12, 13*, 14, 17*, 18, 21*, 22	6, 7, 15, 16, 19, 20
<b>Clinical factors</b>		
Age	8 (E), 21*	8 (N), 9, 11, 14, 16, 18, 19, 20
Gender	8 (E), 11, 13*, 17*	6, 8 (N), 9, 14, 16, 18, 19, 20, 21
Smoking history		11, 18
Asbestos exposure	19*	11, 18, 20
Performance status	12	
Serological markers	8, 12*, 13*, 17*	
<b>Treatment related factors</b>		
Completeness of resection	11*, 16, 18	10, 19
Adjuvant chemotherapy	12*, 14, 19*, 20*, 22	21
Adjuvant radiotherapy	7, 19*, 21	
Interval to surgery	8 (E)	8 (N)
Surgical access site	12	

# EPP: Morbidity



# Pulmonary embolism

- 5% of cases



1,5 monts after EPP

# EPP outcome & morbidity

- Median overall survival: 9.4 – 27.5 months
- 1-year survival: 36 – 83%
- 3-year survival: 0 – 41%
- 5-year survival: 0 – 24%
  
- Median disease free survival: 7 – 19 months
- Perioperative mortality: 0 – 11.8%
- Major morbidity: 12.5 – 48%

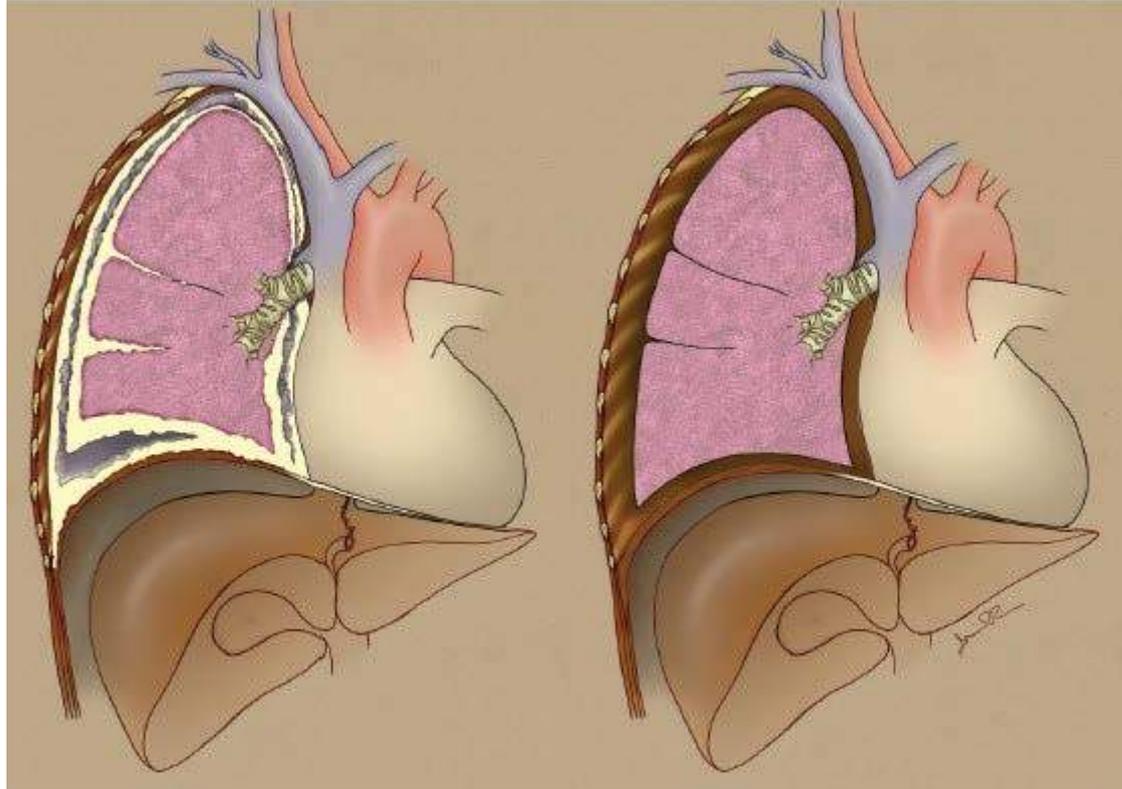
# EPP: Morbidity

- Morbidity overall (minor + major) about 50%
- Diaphragmatic patch dehiscence
- Mediastinal shift - Pleural effusion
- Chylothorax
- Hemothorax
- Vocal cord paralysis
- Subclavian vein thrombosis
- New onset AF
- Cardiac arrest – Luxation?
- PE
- Stroke
- Pneumonia
- Infection – intracavitary, chest wall, wound
- Bronchopleural Fistula

# P/D: Indications

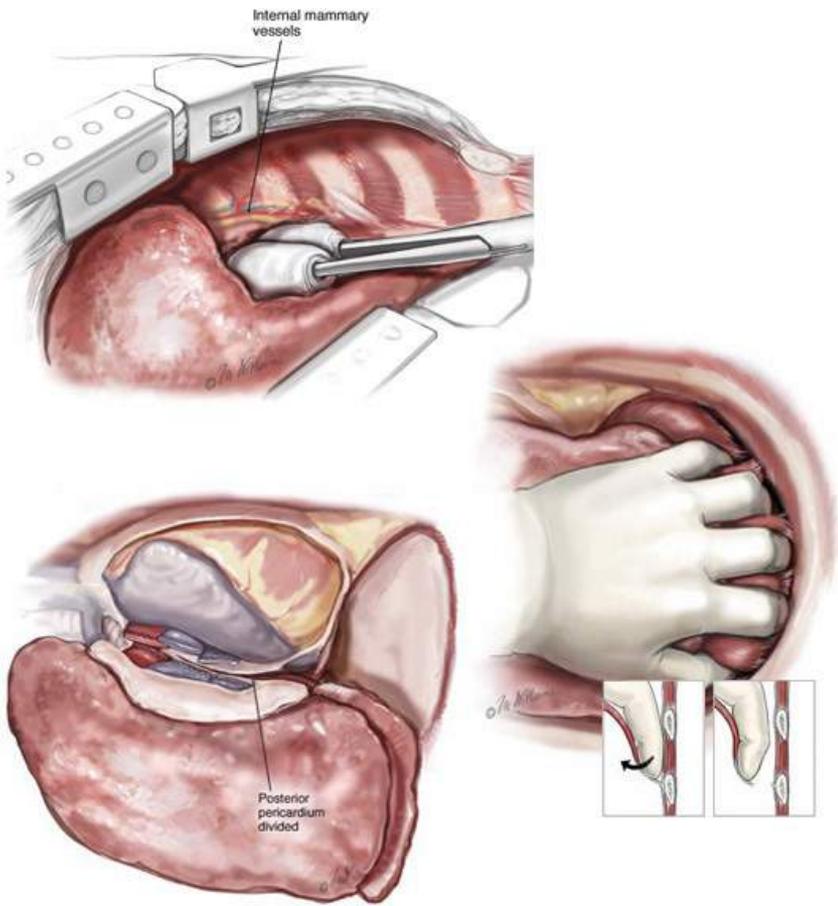
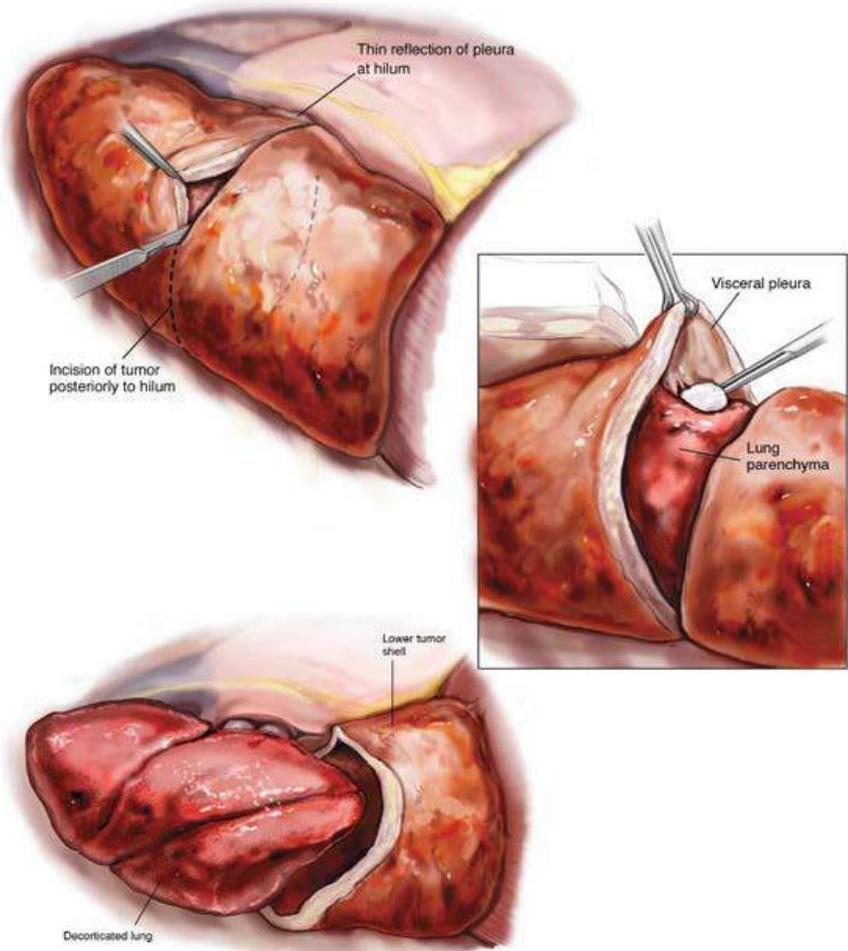
- Offered to patients who do not have the cardiopulmonary reserve to tolerate pneumonectomy
- Early-stage disease (confined to parietal pleura without lung infiltration) – lung sparing to decrease morbidity and mortality risk
- In combination with neoadjuvant or adjuvant treatment modalities
- Cytoreductive procedure (no R0 resection)

# P/D

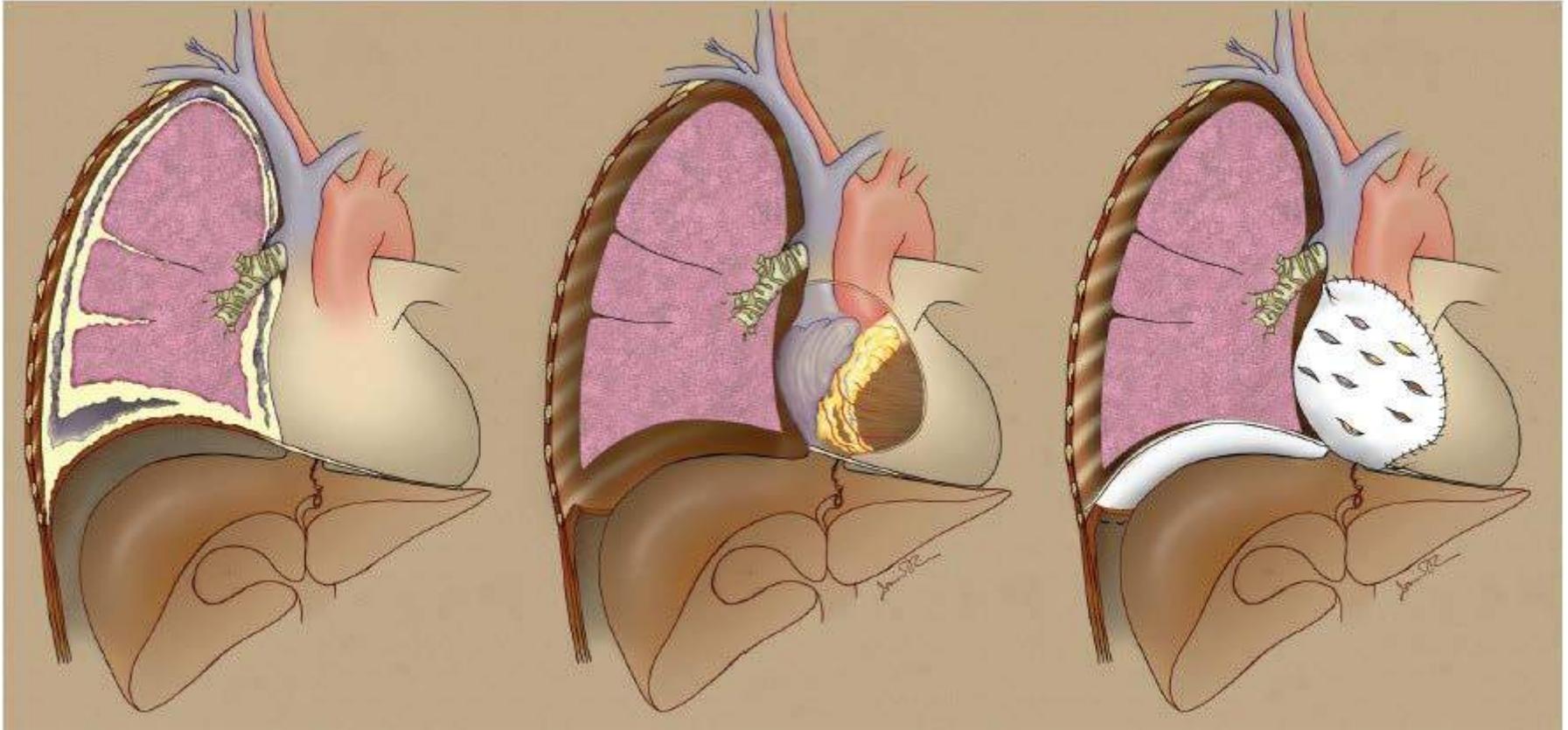


Rice D. Standardizing surgical treatment in malignant pleural mesothelioma. *Ann Cardiothorac Surg* 2012;1(4):497-501.

# P/D

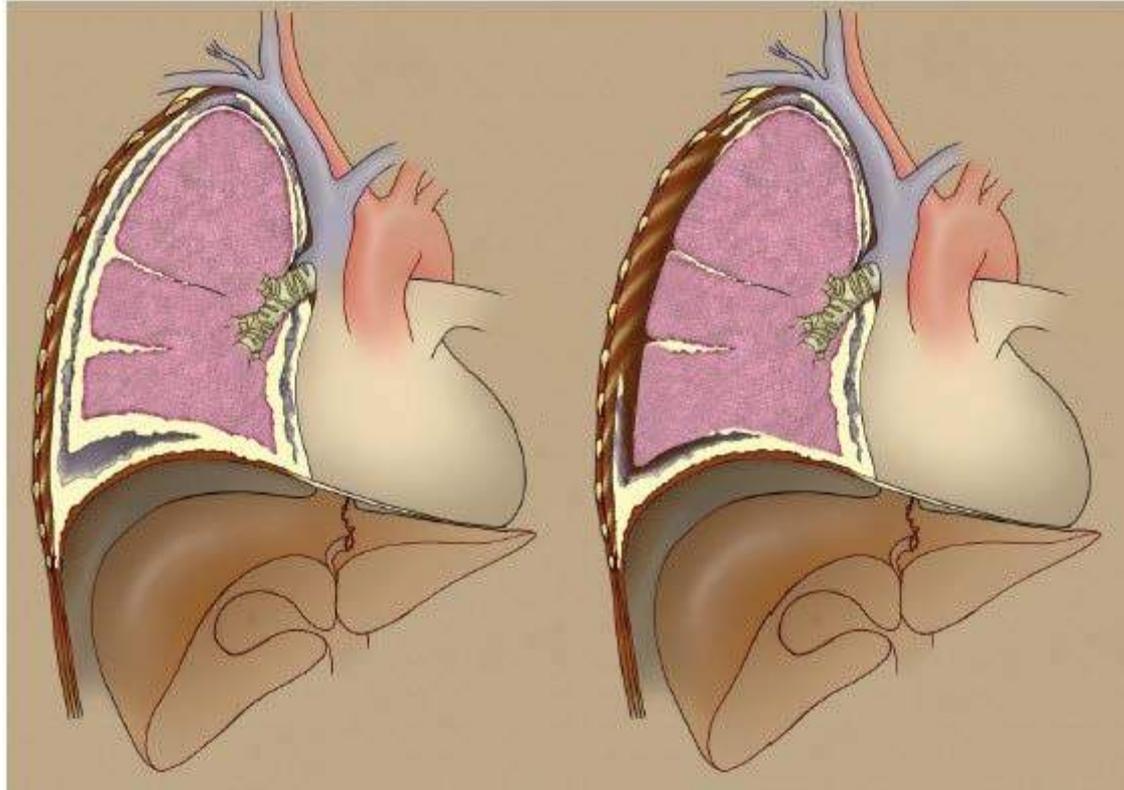


# Extended P/D



Rice D. Standardizing surgical treatment in malignant pleural mesothelioma. *Ann Cardiothorac Surg* 2012;1(4):497-501.

# Partial Pleurectomy



Partial pleurectomy: partial removal of parietal and/ or visceral pleura for diagnostic or palliative purposes but leaving gross tumor behind



# A systematic review of lung-sparing extirpative surgery for pleural mesothelioma

Elaine Teh<sup>1</sup> • Francesca Fiorentino<sup>2</sup> • Carol Tan<sup>3</sup> • Tom Treasure<sup>2</sup>

Author	Start year	End year	n	Op mort (%)	1 year survival (%)	2 year survival (%)	3 year survival (%)	4 year survival (%)	5 year survival (%)	Median (months)
Achatzy <sup>32</sup>	1969	1985	120						2	10.1
Alberts <sup>40</sup>	1965	1985	26							10.9
Allen <sup>27</sup>	1958	1993	56	5	30	9			5	9.0
Aziz <sup>21</sup>	1989	1999	47	0	50	0	0		0	14.0
Ball <sup>30</sup>	1981	1985	13		55	20	18		8	17.0
Brancatisano <sup>28</sup>	1984	1989	45	2	58	21				16.0
Branscheid <sup>29</sup>	1978	1989	82	2	35	20	17			10.5
Ceresoli <sup>23</sup>	1986	1999	54		50					12.5
Clarke <sup>14</sup>	1989	1999	100	2						14.6
Colaut <sup>15</sup>	1985	2002	40			28	17			
Colleoni <sup>24</sup>	1990	1994	20		44	32	0		0	11.5
de Vries <sup>17</sup>	1976	2001	29	4	14	10	8		5	9.0
Flores <sup>5</sup>	1990	2006	278	4	62	30	20		15	16.0
Harvey <sup>31</sup>	1965	1988	9		43	0	0		0	12.0
Law <sup>33</sup>	1971	1980	28		82	32		11		20.0
Lee J <sup>25</sup>	1986	1993	15		37	15	7	0	0	11.5
Lee T <sup>22</sup>	1995	2000	26		64	32	18		12	18.1
Lucchi <sup>12</sup>	1999	2004	49	0		60			23	26.0
Matzi <sup>16</sup>	1993	2003	34							
Monneuse <sup>18</sup>	1990	2000	16	6	69	50	42		8	41.3
Nakas (Radical) <sup>7</sup>			51	6	53	41	25	13		15.3
Nakas (Non-radical) <sup>7</sup>			51	10	32	10	2	0	0	7.1
Okada <sup>13</sup>	1986	2006	34	0	60	40	24	19	10	17.0
Phillips <sup>19</sup>	1989	1999	15		54	40	33	28	28	14.0
Sauter <sup>26</sup>	1988	1992	20		50	25				12.0
Schipper SP <sup>11</sup>			31	3	30	15	4	4	0	8.1
Schipper TP <sup>11</sup>			10	0	80	35	35	35	35	17.2
Yom <sup>20</sup>	2000	2001	8	25	13					6.5
<b>Weighted average</b>				<b>4</b>	<b>51</b>	<b>26</b>	<b>16</b>	<b>11</b>	<b>9</b>	

**Mean survival all studies (26 papers):**

**1-year surv: 51%**

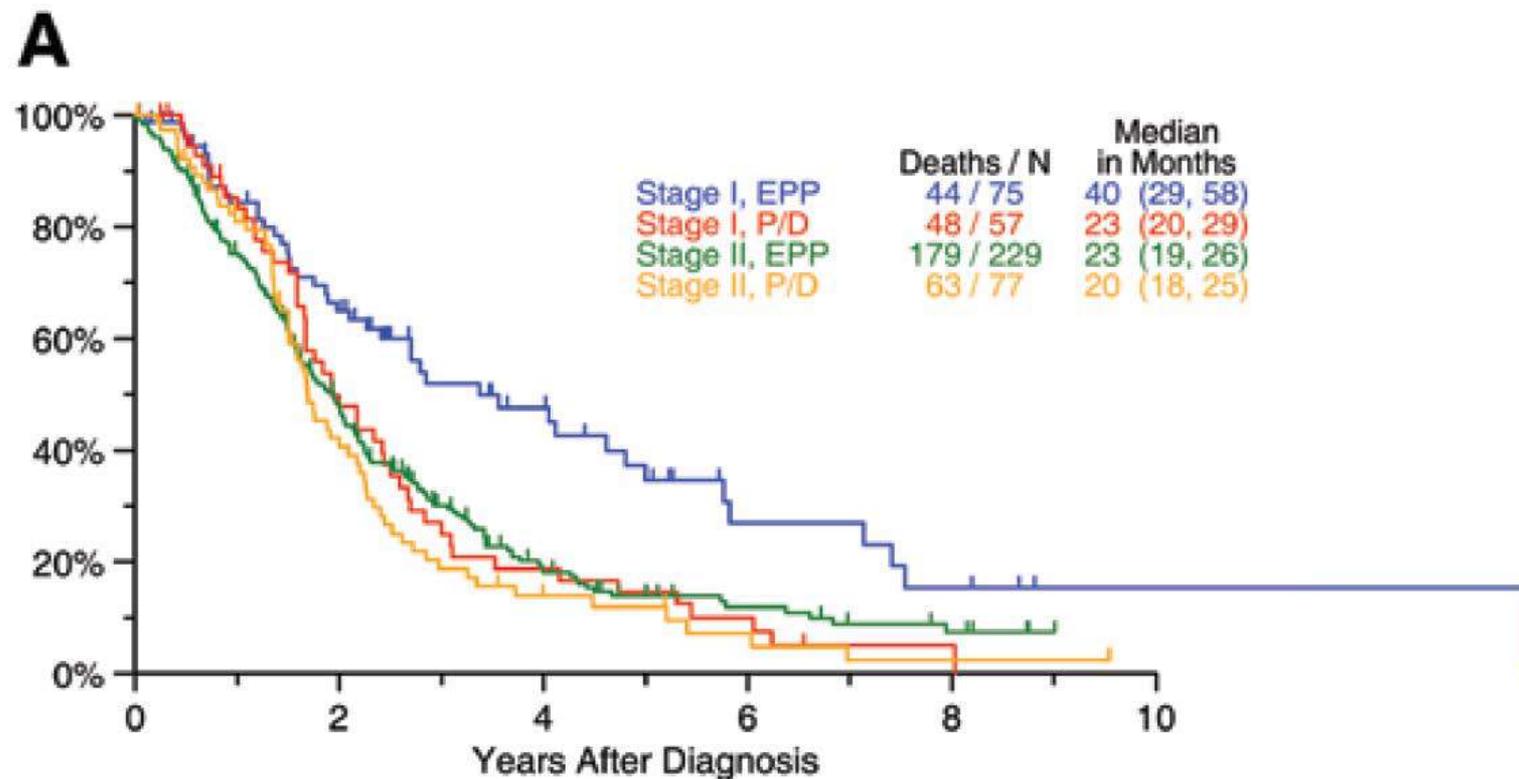
**2-year surv: 26%**

**3-year surv: 16%**

**4-year surv: 11%**

**5-year surv: 9%**

# P/D vs. EPP

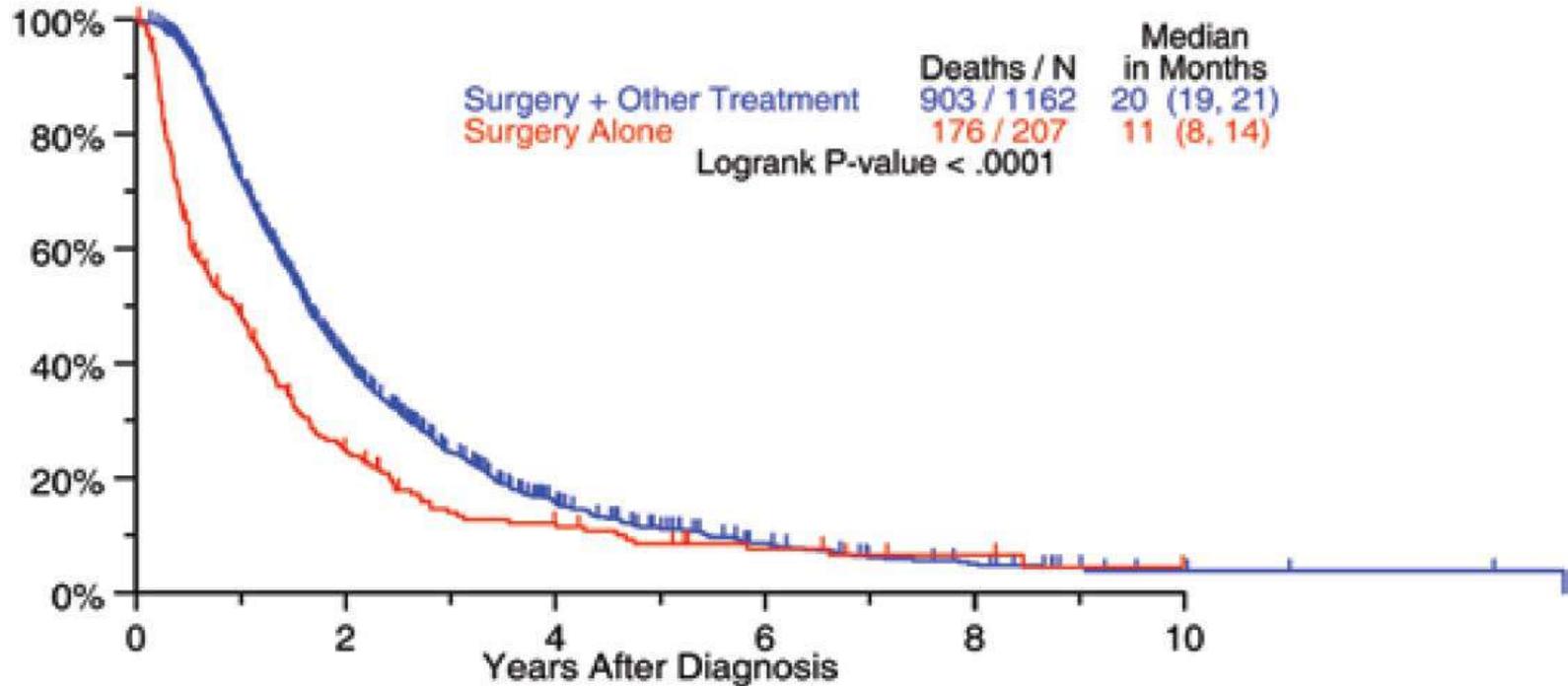


Initial analysis of the international association for the study of lung cancer mesothelioma database. JTO 2012

# Multimodality approaches



# (Tri)Multimodale Therapie



Initial analysis of the international association for the study of lung cancer mesothelioma database. JTO 2012 (n=3101)

# (Tri)Multimodale Therapie

	Hazard ratio	Confidence interval	<i>P</i> value
Age	1.0	(1.01–1.02)	<i>P</i> < .001
Female gender	1.3	(1.05–1.64)	<i>P</i> = .02
EPP	1.4	(1.18–1.69)	<i>P</i> < .001
Non-epithelioid	1.3	(1.11–1.60)	<i>P</i> < .001
Stage III/IV	1.4	(1.28–1.55)	<i>P</i> < .001
Multimodality therapy	.45	(0.38–0.54)	<i>P</i> < .001

*EPP*, Extrapleural pneumonectomy.

Extrapleural pneumonectomy versus pleurectomy/decortication in the surgical management of malignant pleural mesothelioma: results in 663 patients. JTCVS 2008

# Multimodality approaches

Surgery (PD)

Postop. Chemo

Induc

What's the best strategy?

Surge

Who should be selected?

Induction Chemo

Surgery (PD / EPP)

Intraop. therapy

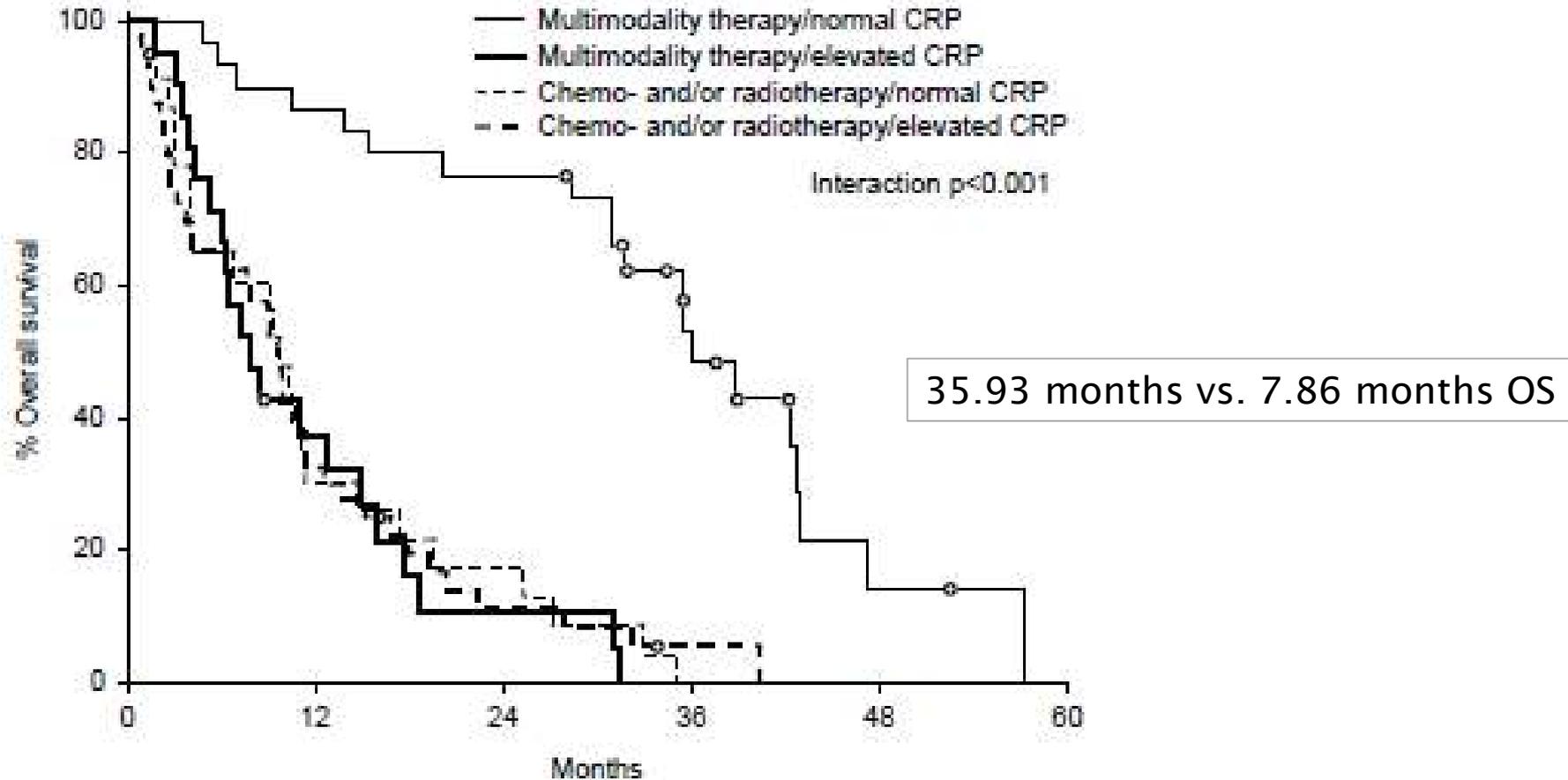
Postop. Chemo

Induction IMRT

Surgery (PD / EPP)

Postop. Chemo

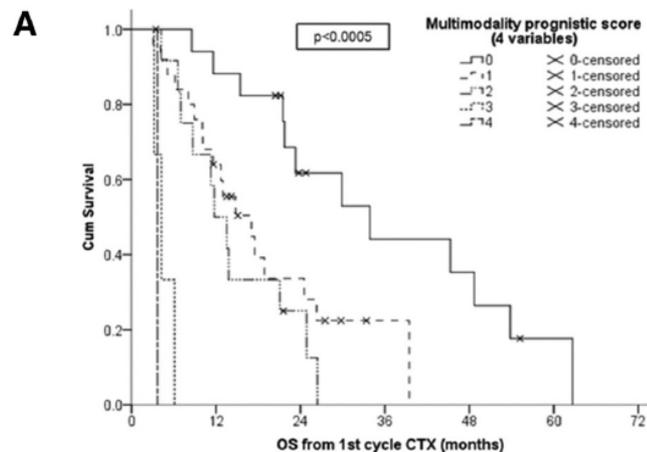
# CRP is a simple predictive biomarker



# EPP - Selection

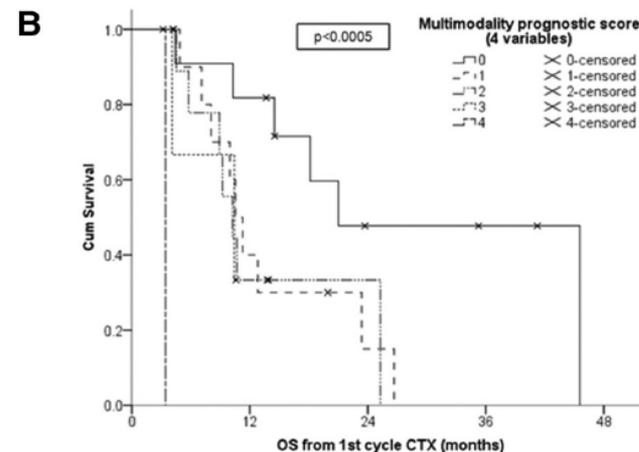
- TU Volume
- Histology
- CRP
- Response to CHT

Opitz,....Hoda...  
et al; JTO 2015



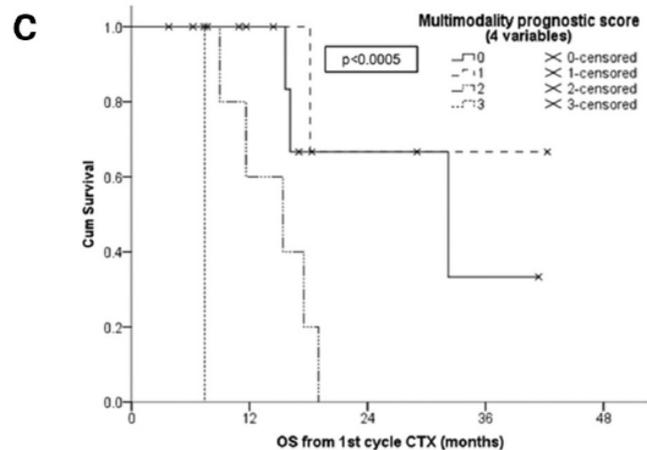
Patients at risk:

Score 0	18	15	8	5	4	1	0
Score 1	25	15	6	1	0		
Score 2	12	6	2	0			
Score 3	3	0					
Score 4	1	0					



Patients at risk:

Score 0	11	9	3	2	0
Score 1	12	4	1	0	
Score 2	9	3	1	0	
Score 3	4	0			
Score 4	1	0			



Patients at risk:

Score 0	11	7	3	1	0
Score 1	5	3	1	1	0
Score 2	5	3	0		
Score 3	1	0			

	(A) Patients treated with induction chemotherapy followed by EPP (Zurich)	(B) Patients of the intention to treat group (Zurich)	(C) Patients treated with induction chemotherapy followed by EPP (Vienna)
<b>number of patients</b>	59	37	22
	Median survival time in months (95% CI)		
<b>Score 0</b>	34 (18-50)	21 (6-36)	32 (8-56)
<b>Score 1</b>	17 (9-25)	11 (7-14)	- (-)
<b>Score 2</b>	12 (8-16)	10 (8-12)	15 (7-24)
<b>Score 3</b>	4 (3-6)	10 (0-21)	7 (-)
<b>Score 4</b>	4 (-)	3 (-)	

# Outcome and Morbidity after trimodality treatment

Table 2 Summary of survival and perioperative outcomes of patients with malignant pleural mesothelioma who underwent trimodality therapy involving neoadjuvant or adjuvant chemotherapy, extrapleural pneumonectomy and adjuvant radiotherapy

Author	Median survival (months)			Disease free survival (months)	Perioperative mortality	Perioperative morbidity		Length of stay
						Overall	Major	
Lang-Lazdunski (7)	12.8 <sup>DD</sup>			NR	4.5%	68%	NR	14
Treasure (8)	14.4 <sup>DR</sup>			7.6	12.5%	69%	42%	NR
van Schil (9)	ITT: 18.4 <sup>RE</sup>	NC+EPP: NR	TMT: 33	13.9	6.5%	82.6%	NR	NR
Krug (10)	ITT: 16.8 <sup>CC</sup>	NC+EPP: 21.9	TMT: 29.1	10.1	3.7%	NR	NR	NR
Buduhan (11)	25 <sup>DD</sup>			NR	4.3%	80%	54%	9.2 <sup>MW</sup>
de Perrot (12)	14 <sup>CC</sup>			NR	6.7%	NR	33%	NR
Rea (13)	ITT: 25.5 <sup>CC</sup>	NC+EPP: 27.5	TMT: NR	16.3	0%	52.4%	23.8%	NR
Weder (14)	ITT: 19.8 <sup>CC</sup>	NC+EPP: 23	TMT: NR	13.5	2.2%	NR	35%	NR
Ambrogi (15)	19.5 <sup>DB</sup>			NR	3.4%	NR	41%	NR
Patel (16)	23.2 <sup>DB</sup>			15	NR	NR	NR	NR
Rena (17)	20			14	5%	62%	NR	9
Tonoli (18)	46.9 <sup>DB</sup>			NR	NR	NR	NR	NR
Luckraz (19)	19.5 <sup>DB</sup>			NR	8.2%	53% <sup>TMT</sup>	NR	10
Batirel (20)	ITT: 17.2	EPP+AC: 19.6	TMT: 23.9	10	5%	55%	NR	NR
Pagan (21)	20 <sup>DB</sup>			NR	4.5%	50%	36.3%	11.5 <sup>MW</sup>
Sunarbaker (22)	19 <sup>DB</sup>			NR	3.8%	50%	24.5%	9

# Own experience

- 3-Institution experience (Vienna, Toronto, Zurich)

Extrapleural Pneumonectomy After Induction Chemotherapy: Perioperative Outcome in 251 Mesothelioma Patients From Three High-Volume Institutions

*Table 2. Morbidity and Mortality After Induction Chemotherapy Followed by Extrapleural Pneumonectomy (n = 251)*

Morbidity/Mortality	n	(%)
30-day mortality	12	(5)
90-day mortality	21	(8)
Major morbidity	76	(30)
Empyema	35	(14)
BPF	20	(8)
Chylothorax	13	(5)
Patch failure	12	(5)
Bleeding	9	(4)
Pulmonary embolism	9	(4)
ARDS	3	(1)

*Lauk, Hoda et al. Ann Thorac Surg 2014*

# Intracavitary options

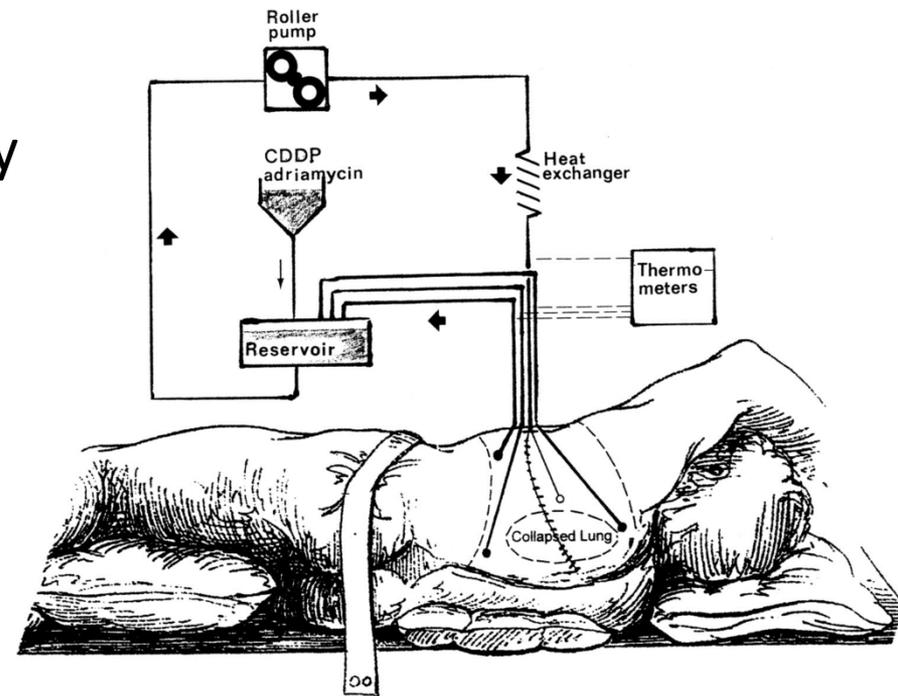


# Rationale and procedures

- Methods of loco-regional control (experience in abdominal malignancies)
- In combination with both procedures (EPP, P/D) and neoadjuvant or adjuvant treatment modalities
  - **Hyperthermic intraoperative intracavitary cisplatin perfusion (HIOC)**
  - **Hyperthermic pleural lavage with povidone-iodine (P-I)**
  - **Intraoperative Photodynamic Therapy (PDT)**
  - **Intrapleural Immunotherapy (BCG, IL-2, INF- $\alpha$ , INF- $\gamma$ )**
  - **Intrapleural Gene Therapy (viral, non-viral vectors)**
  - **Use of Doxorubicin or Paclitaxel as nano – or microparticles**

# HITOC

- Platinum-based, in combination with EPP or P/D
- Morbidity (13-85%) – renal toxicity
- Mortality (0-29%)



# HITOC – most recent experience

- **Sugarbaker et al, JCTVS 2013:**

- HIOC effect on interval to recurrence and OS among patients with favorable prognostic factors
- 103 low risk patients: 72 pts with HIOC vs. 31 without HIOC
- HIOC pts: significant longer interval to recurrence (27.1 vs 12.8 months) and longer OS (35.3 vs 22.8 months)
- Note: improved results particularly in subgroups of patients not receiving hemithoracic radiotherapy and pathologic N1 or N2 lymph node mets.

# Experience: povidone-iodine lavage

## Pleurectomy/Decortication, Hyperthermic Pleural Lavage with Povidone-Iodine Followed by Adjuvant Chemotherapy in Patients with Malignant Pleural Mesothelioma

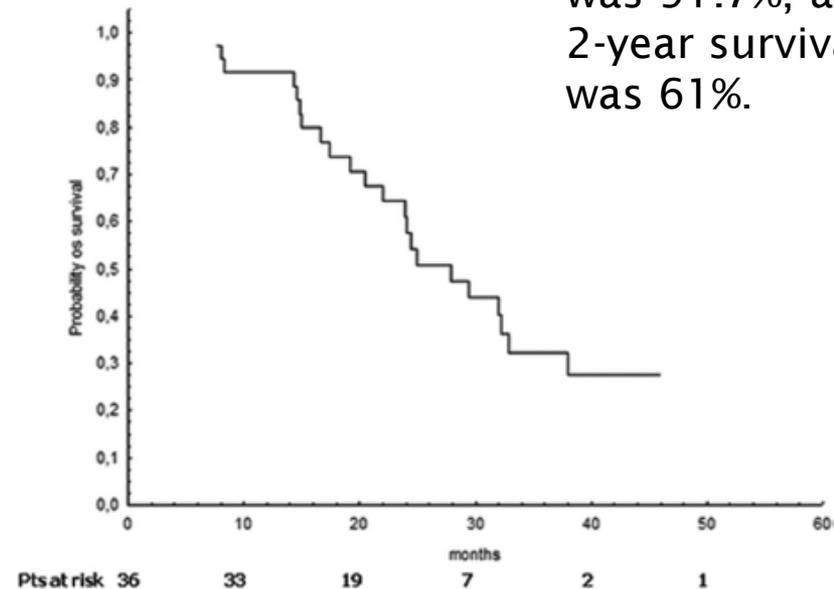
*Loïc Lang-Lazdunski, MD, PhD, FRCS, Andrea Bille, MD, Elizabeth Belcher, MRCP, PhD, FRCS, Paul Cane, FRCPath, David Landau, FRCP, Jeremy Steele, PhD, FRCP, Henry Taylor, FRCP, and James Spicer, PhD, FRCP*

*(J Thorac Oncol. 2011;6: 1746–1752)*

### CONCLUSION

In our experience, P/D with hyperthermic pleural lavage with povidone-iodine and adjuvant chemotherapy is a well-tolerated multimodality scheme. It is associated with low morbidity and mortality. This treatment plan could represent an alternative to the classical trimodality regimen involving chemotherapy, EPP, and adjuvant radiotherapy if our results were to be confirmed in larger trials and by other groups. Further studies are warranted to compare this treatment protocol to chemotherapy only and make sure that radical P/D can significantly improve life expectancy. Further treatments are needed to reduce local recurrence after radical P/D.

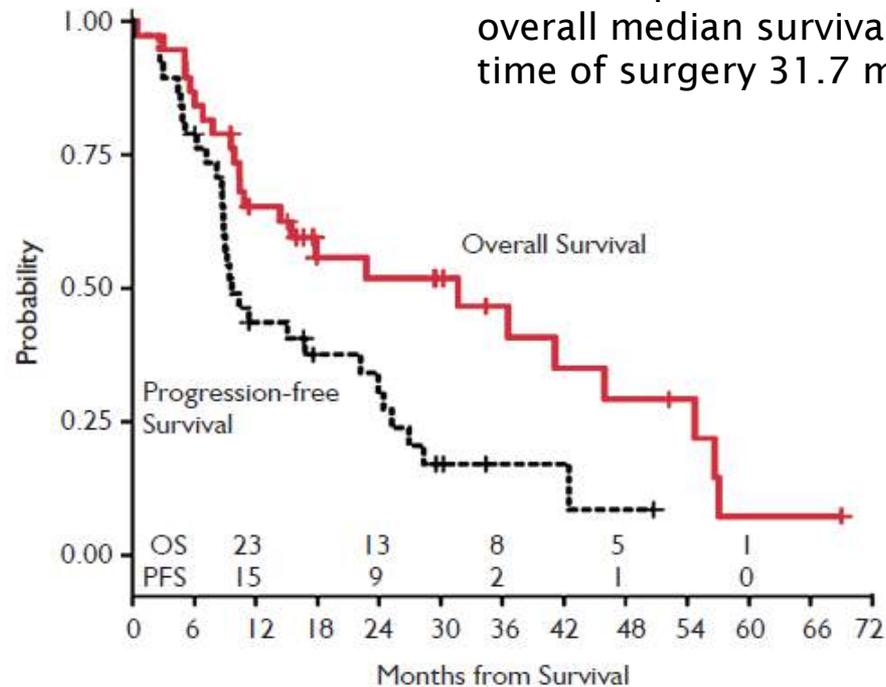
n=35  
Overall median survival: 24 mo  
One-year survival was 91.7%, and 2-year survival was 61%.



# Photodynamic therapy



N=38  
97% Stage III or IV disease  
18% nonepithelial histology  
overall median survival from the  
time of surgery 31.7 months.

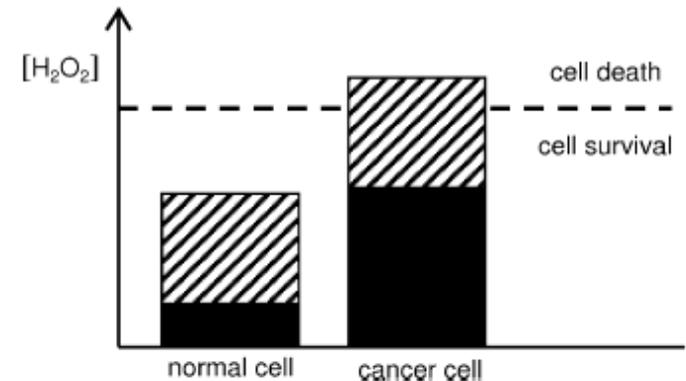
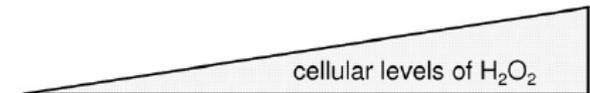
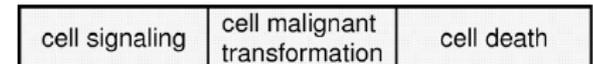
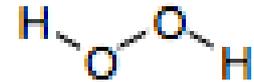


Photodynamic therapy = light based cancer treatment  
A photosensitizer is excited by a light source of a defined wavelength ->  
production of reactive oxygen species

Friedberg JS. Ann Cardiothorac Surg 2012

# Background hydrogen peroxide (H<sub>2</sub>O<sub>2</sub>)

- Antiseptic, antibacterial properties, leads to release of oxidative O<sub>2</sub> radicals (concentration: 1.5 – 6%)
- Dual role in cancer
- Different concentrations - diverse cellular effects
- Increase of cellular levels is important for cancer development
- High levels of H<sub>2</sub>O<sub>2</sub> in cancer cells are incompatible with cell survival and lead to susceptibility of these cells to H<sub>2</sub>O<sub>2</sub> –induced cell death compared to normal cells
- Unclear which specific concentrations are selectively killing cancer cells
- Every approach which leads to elevation of cellular H<sub>2</sub>O<sub>2</sub> levels may induce selective cell death in cancer cells and may be exploited therapeutically

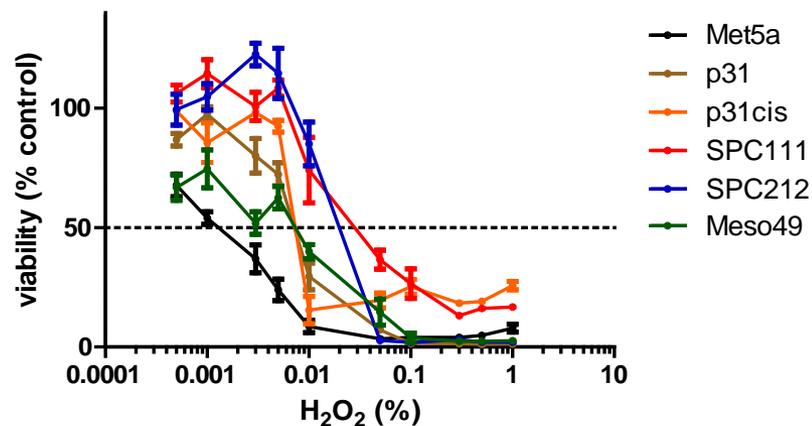


Lopez-Lazaro M et al, 2007

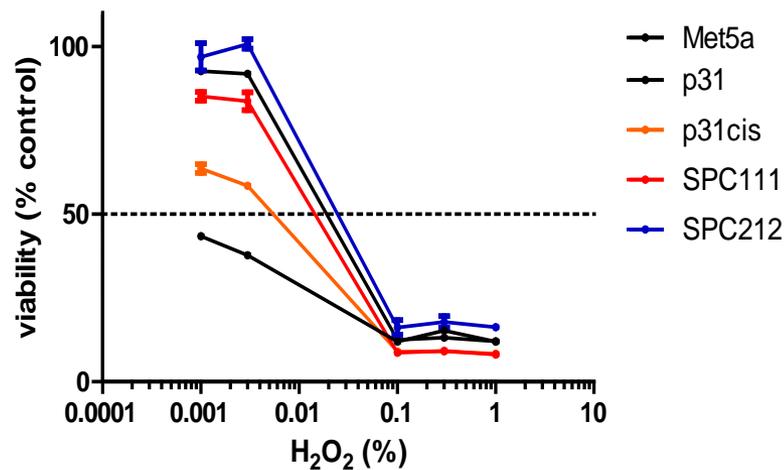
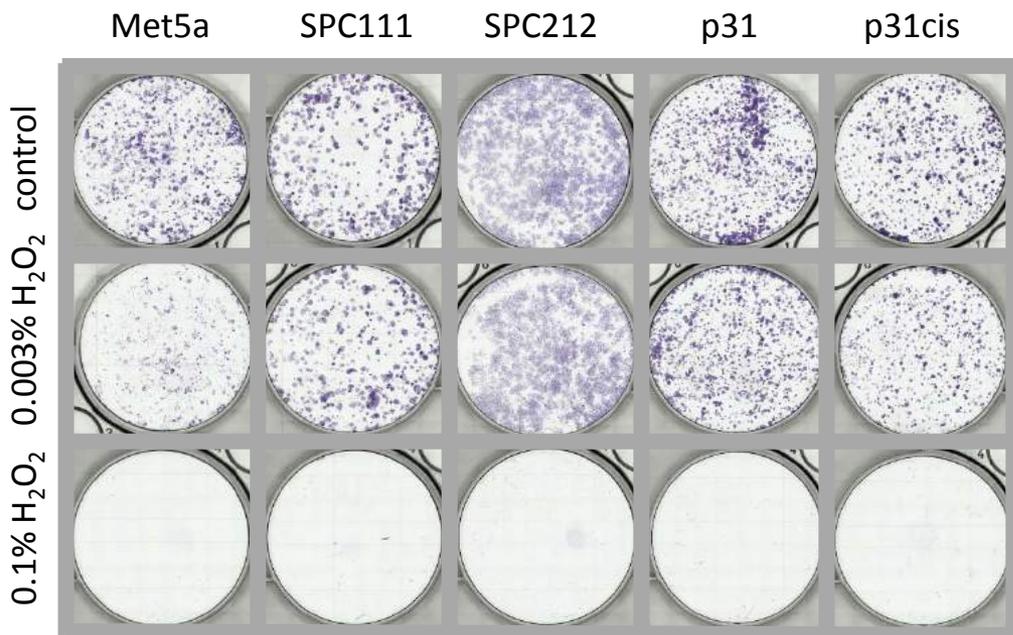
# Comparison intracavitary treatment options

Author	n	Median OS (months)	Treatment	Morbidity (major)	Mortality (30d)
div. authors (1994-2010)	>100	9.3-13.2	EPP, P/D, HIOC	13-85 %	0-29%
Sugarbaker et al (2013)	72	35.3 No RT N1,N2 mets	EPP,P/D HIOC Neoadj.CHT (14%) Adj.CHT (57%) Adj.RT (57%)	NR Only length of stay as surrogate	4%
Lang-Lazdunski et al (2015)	102	25	P/D, hyperthermic pleural lavage with povidone-iodine, prophylactic chest wall radiotherapy, and systemic treatment	29.4 %	0
Friedberg et al (2012)	38	31.7	RP + intra.op, PDT, adjuvant CHT (25), neoadjuvant CHT (4), both (6)	Resp.insuff. (16%) DVT+ PE (24%) chyle leak (5%)	3%
Hoda,Klikovits et al,(in preparation)	30	31	Neoadj.CHT, EPP, H2O2, adj.RT	17.6 %	0

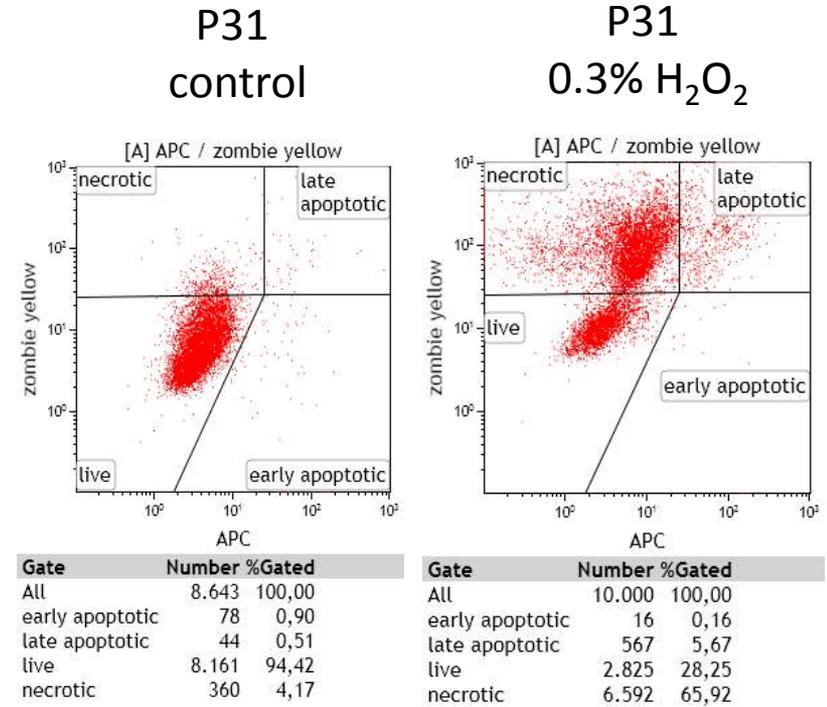
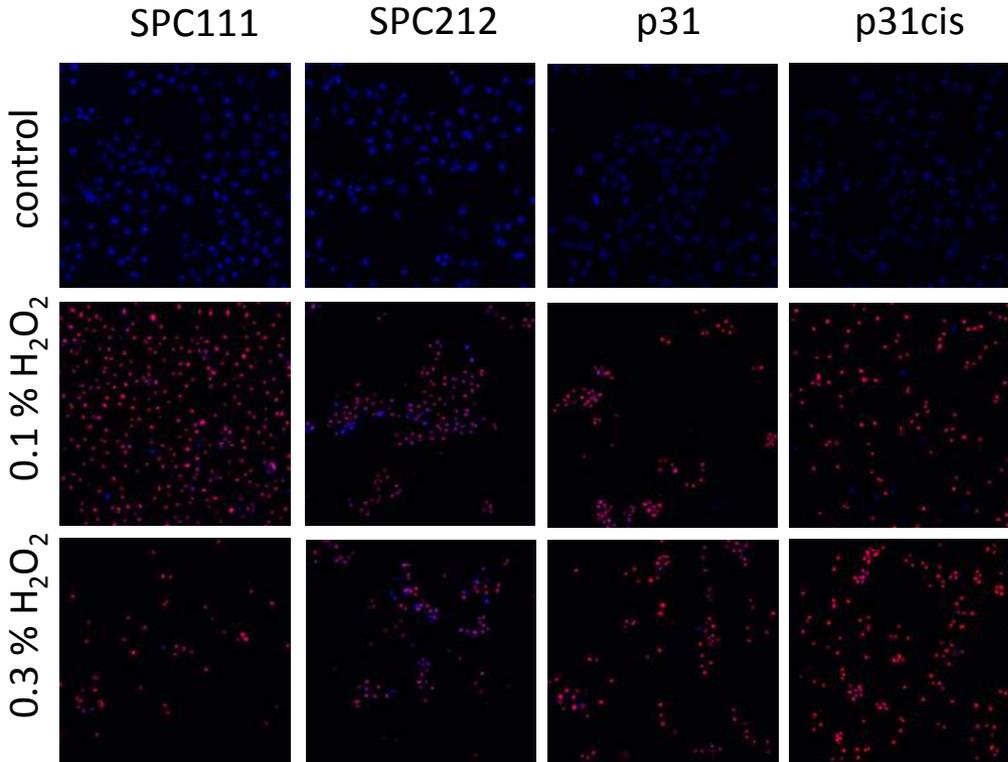
# H2O2 impairs cell proliferation in short- and long-term assays



courtesy of Viktoria Laszlo, PhD



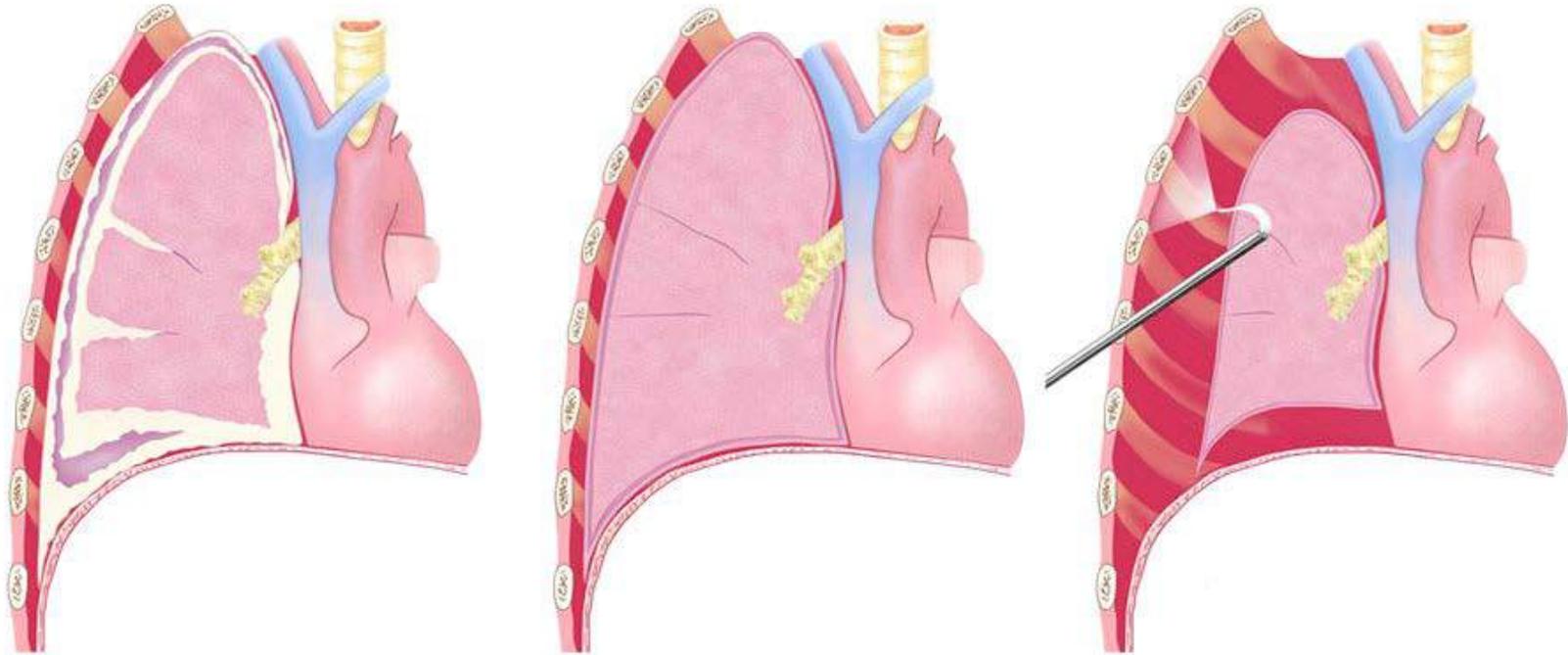
# H2O2 leads to cell death - necrosis



courtesy of Viktoria Laszlo, PhD

# New approaches on the block

## Autologous Fibrin + Cisplatin



InfluenceMESO, PI: Isabelle Opitz,  
Walter Weder, University of Zurich

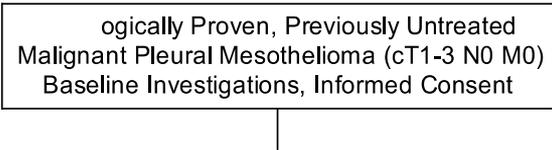
# New approaches on the block

## A Feasibility Study Evaluating Surgery for Mesothelioma After Radiation Therapy

### *The “SMART” Approach for Resectable Malignant Pleural Mesothelioma*

*B. C. John Cho, MD,\* Ron Feld, MD,† Natasha Leighl, MD,† Isabelle Opitz, MD,‡ Masaki Anraku, MD,‡ Ming-Sound Tsao, MD,§ David M. Hwang, MD,§ Andrew Hope, MD,\* and Marc de Perrot, MD,‡*

#### Study Schema



Disease free survival (%)

# New approaches on the block

## Combining multimodality with immunotherapy

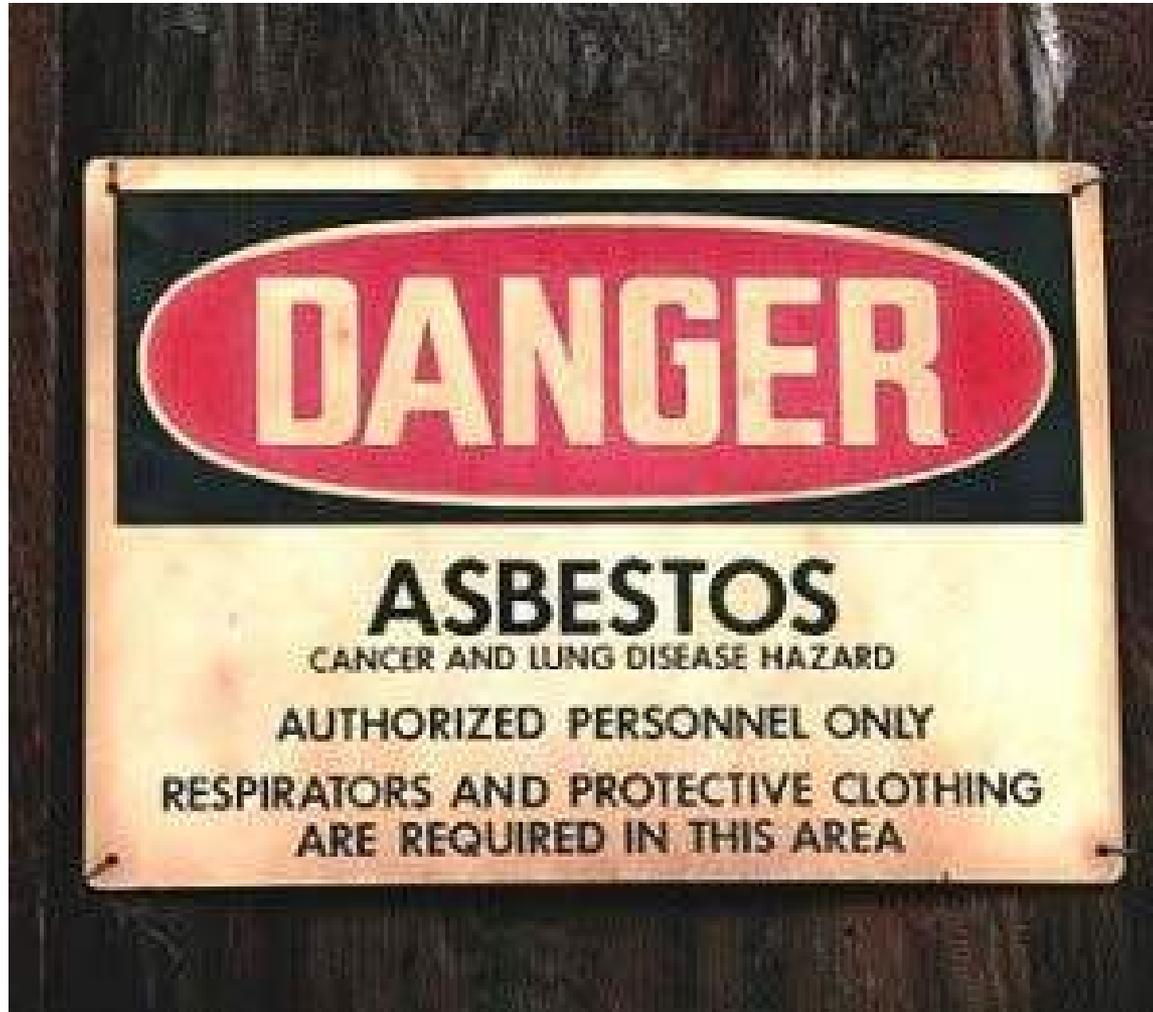
Wong RM et al.  
*Am J Respir Cell  
Mol Biol* 2014

Multimodality regimen	Immunomodulatory/ antitumor effects	Reference
Radiation + immunotherapy Fractionated local irradiation + CTLA-4 blockade	Enhanced induction of tumor-specific T lymphocytes; delayed growth of nonirradiated distant tumor	37
Fractionated local irradiation + therapeutic vaccination	Transient systemic MDSC depletion; enhanced tumor-specific T-lymphocyte induction	38
Fractionated local irradiation + adoptive T lymphocyte transfer	Transient systemic Treg depletion; enhanced proliferation of donor T lymphocytes in recipients	39
Chemotherapy + immunotherapy Intratumoral Ad-IFN- $\alpha$ immunogene therapy followed by cisplatin + gemcitabine.	Systemic Treg and MDSC depletion; enhanced tumor-specific T-lymphocyte induction; increased intratumor T-lymphocyte infiltration	41
Cyclophosphamide + therapeutic vaccination	Systemic Treg depletion	42
Gemcitabine + CTLA-4 blockade	Systemic and intratumor Treg depletion; induction of protective immunologic memory	43
Surgical tumor reduction + immunotherapy Preoperative intratumoral Ad-IFN- $\beta$ immunogene therapy + complete resection	Increased intratumor T-lymphocyte infiltration; induction of protective immunologic memory	44
Complete resection + postoperative therapeutic vaccination	Increased intratumor T-lymphocyte infiltration; delayed growth of recurrent and unresected distant tumor	45
Partial resection + postoperative gemcitabine + anti-CD40 agonist immunotherapy	Induction of protective immunologic memory	46
Cryoablation + CTLA-4 blockade	Increased intratumor Tlymphocyte infiltration; induction of protective immunologic memory	47

# Summary

<b>Diagnosis</b>	<b>VATS &amp; open biopsy</b>
<b>Palliation and control of symptoms</b>	<b>Talc -Pleurodesis</b>
<b>Staging</b>	<b>Mediastinoscopy, VATS, Laparoscopy</b>
<b>Cyto-reductive procedures „Curative intent“</b>	<b>Pleurectomy / Decortication</b>
	<b>Extrapleural Pneumonectomy within Multi-Modality-Treatment ± HIOC</b>
<b>Experimental therapy approaches</b>	<b>Other Intracavitary therapies Neoadjuvant RT, Comb. IT</b>

# Asbestos Awareness





**Thank you for your kind attention!**